

FINAL REPORT
(Under Section 173 Cr.P.C.)

IN THE COURT OF SPECIAL JUDGE (CBI), NEW DELHI

- (01) Name of Branch : ACB/CBI/ New Delhi FIR No. : RC DAI 2009 A 0045
- Year : 2009 Date : 21.10.2009
- (02) Final Report / Charge sheet No. : 01
- (03) Date : 02.04.2011
- (04) Act : IPC Sections : 120-B, 468, 471 & 420 & 109
- (05) Type of Final Form / Report : PC Act, 1988 13(2) r/w 13(1)(d)
Charge sheeted / Not Charge sheeted for want of evidence/ FR undetected / FR untraced / FR offence abated / FR unoccured (Tick applicable portion)
- (06) If Final Report Unoccured : False / Mistake of fact / Mistake of Law / No cognizable / Civil Nature (tick applicable portion)
Not applicable
- (07) If Charge sheeted : **Original**
- (08) Name of the I.O. : Vivek Priyadarshi Rank : Supdt. of Police
- (09) (a) Name of the Complainant / Informant : Source
- (b) Father's Name : -
- (10) Details of Properties/Articles/Documents recovered/ Seized during investigation and relied upon (separate list can be attached necessary).

Sl. No.	Property Description	Estimated Value (in Rs.)	PS Property Register No.	From whom/where recovered or seized	Dispo:
As per list attached					

- (11) (1) **Particulars of accused persons charge sheeted (use separate sheet for each accused)**
- (i) **Name** : Shri Andimuthu Raja
Whether verified : Yes
- (ii) **Father's Name** : Shri S.K. Andimuthu
- (iii) **Year/Date of Birth** : 10.05.1963
- (iv) **Sex** : Male
- (v) **Nationality** : Indian
- (vi) **Passport No** : D1026482
Date of Issue : 09.06.2009
Place of Issue : New Delhi
- (vii) **Religion** : Hindu (viii) **Whether SC/ST/OBC** :
- (ix) **Occupation** : The then Minister of Communication & Information Technology, Govt. of India.
- (x) **Address** :
- (i) **Present address** : 2A, Motilal Nehru Marg, New Delhi
- (ii) **Permanent address** : Village Vellure, Taluk and Distt. Perambalur, Tamilnadu
- (xi) **Whether verified** : Yes
- (xii) **Provisional Criminal No.** : N.A.
- (xiii) **Regular Criminal No. (if known)** : N.A.
- (xiv) **Date of Arrest** : 02.02.2011
- (xv) **Date of release on bail** : Not released
- (xvi) **Date on which forwarded to Court** : 03.02.2011
- (xvii) **Under Acts & Sections** : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) **Name(s) of bailers/sureties and address** : N.A.
- (xix) **Previous convictions with case reference** : N.A.
- (xx) **Status of the accused** : The then Minister of Communication & Information Technology, Govt. of India. Now resigned.

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender)

(11) (2) Particulars of accused persons charge sheeted (use separate sheet for each accused)

(i) Name : Shri Siddhartha Behura
Whether verified : Yes

(ii) Father's Name : Late Shri Satyanand Behura

(iii) Year/Date of Birth :
(iv) Sex : Male
(v) Nationality : Indian
(vi) Passport No :
Date of Issue : Place of Issue

(vii) Religion : Hindu (viii) Whether SC/ST/OBC :
Whether SC/ST/OBC : Secretary (Telecom) now retired.

(ix) Occupation :
(x) Address :
(i) Present address : A-141, Defence Colony, New Delhi
(ii) Permanent address : 1. P-342, Tower P-3, ATS Village, Sector-98, Noida, U.P.
2. C-20, Sector-33, Noida, UP.

(xi) Whether verified : Yes
(xii) Provisional Criminal No. : N.A.
(xiii) Regular Criminal No. (if known) : --
(xiv) Date of Arrest : 02.02.2011
(xv) Date of release on bail : Not released.
(xvi) Date on which forwarded to Court : 03.02.2011

(xvii) Under Acts & Sections : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988

(xviii) Name(s) of bailers/sureties and address : N.A.

(xix) Previous convictions with case reference : N.A.

(xx) Status of the accused : IAS, the then Secretary (Telecom) now retired.
(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender)

- (11) (3) **Particulars of accused persons charge sheeted (use separate sheet for each accused)**
- (i) **Name** : Sh Ravindra Kumar Chandolia
Whether verified : Yes
- (ii) **Father's Name** : Shri R.N. Chandolia
- (iii) **Year/Date of Birth** : 20.10.1960
- (iv) **Sex** : Male
- (v) **Nationality** : Indian
- (vi) **Passport No** : 0-315748
Date of Issue : 24.10.1994
Place of Issue : New De.
- (vii) **Religion** : Hindu
- (viii) **Whether SC/ST/OBC** : ---
- (ix) **Occupation** : Govt. Service (IES officer) the then PS to MOC&IT Shri A. Raja, Presently working as Economic Adviser, Tariff Commission, 7th Floor, Lok Nayak Bhawan, Khan Market, New Delhi (under suspension)
- (x) **Address** :
- (i) **Present address** : D-II/334, Vinay Marg, Chanakya Puri, New Delhi
- (ii) **Permanent address** : 1. C-6/39, Safdarjung Development Area, New Delhi
2. 6A/26, WEA, Karol Bagh, New Delhi.
- (xi) **Whether verified** : Yes
- (xii) **Provisional Criminal No.** : N.A.
- (xiii) **Regular Criminal No. (if known)** : N.A.
- (xiv) **Date of Arrest** : 02.02.2011
- (xv) **Date of release on bail** : Not released.
- (xvi) **Date on which forwarded to Court** : 03.02.2011
- (xvii) **Under Acts & Sections** : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act-1988
- (xviii) **Name(s) of bailers/sureties and address** : N.A.
- (xix) **Previous convictions with case reference** : N.A.
- (xx) **Status of the accused** : Public Servant (IES officer, presently under suspension) working as Economic Adviser, Tariff Commission)

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender)

- (11) (4) **Particulars of accused persons charge sheeted (use separate sheet for each accused)**
- (i) **Name** : Shri Shahid Usman Balwa
Whether verified : Yes
- (ii) **Father's Name** : Shri Usman Ebrahim Balwa
- (iii) **Year/Date of Birth** : 04.01.1974
- (iv) **Sex** : Male
- (v) **Nationality** : Indian
- (vi) **Passport No** :
Date of Issue : **Place of Issue**
- (vii) **Religion** : Hindu (viii) **Whether SC/ST/OBC** : N.A.
- (ix) **Occupation** : Private Person (the then Managing Director, M/s Swan Telecom Pvt. Ltd. now known as Etisalat DB Telecom Pvt. Ltd.)
- (x) **Address** :
 (i) **Present address** : Aaliya Manor, Road No.9, TPS-IV, Bandra (W), Mumbai.
 (ii) **Permanent address** : Aaliya Manor, Road No.9, TPS-IV, Bandra (W), Mumbai.
- (xi) **Whether verified** : Yes
- (xii) **Provisional Criminal No.** : N.A.
- (xiii) **Regular Criminal No. (if known)** : N.A.
- (xiv) **Date of Arrest** : 08.02.2011
- (xv) **Date of release on bail** : Not released
- (xvi) **Date on which forwarded to Court** : 09.02.2011 in Mumbai for transit remand and 10.02.2011 at Delhi.
- (xvii) **Under Acts & Sections** : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) **Name(s) of bailers/sureties and address** : N.A.
- (xix) **Previous convictions with case reference** : N.A.
- (xx) **Status of the accused** : Private Person
 (Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender)

- (11) (5) Particulars of accused persons charge sheeted (use separate sheet for each accused)
- | | | |
|-------------------------------------------------|---|----------------------------------------------------------------------------------------------------------------------------------------------------|
| (i) Name | : | Sh. Vinod Goenka |
| Whether verified | : | Yes |
| (ii) Father's Name | : | Sh. K M Goenka |
| (iii) Year/Date of Birth | : | 02.09.1959 |
| (iv) Sex | : | Male |
| (v) Nationality | : | Indian |
| (vi) Passport No | : | |
| Date of Issue | : | |
| (vii) Religion : Hindu | : | |
| (ix) Occupation | : | Place of Issue
(viii) Whether SC/ST/OBC : --
Private Person (Director, M/s Swan Telecom Pvt. Ltd. and Managing Director, M/s DB Realty Ltd.) |
| (x) Address | : | |
| (i) Present address | : | Karmyog, Plot No.11, Road No.06, Hatkesh Society, Juhu Scheme, Mumbai-56. |
| (ii) Permanent address | : | Karmyog, Plot No.11, Road No.06, Hatkesh Society, Juhu Scheme, Mumbai-56. |
| (xi) Whether verified | : | Yes |
| (xii) Provisional Criminal No. | : | N.A. |
| (xiii) Regular Criminal No. (if known) | : | N.A. |
| (xiv) Date of Arrest | : | Not arrested |
| (xv) Date of release on bail | : | N.A. |
| (xvi) Date on which forwarded to Court | : | N.A. |
| (xvii) Under Acts & Sections | : | 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988 |
| (xviii) Name(s) of bailers/sureties and address | : | N.A. |
| (xix) Previous convictions with case reference | : | N.A. |
| (xx) Status of the accused | : | Private Person(Director, M/s Swan Telecom Pvt. Ltd. and Managing Director, M/s DB Realty Ltd.) |

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / None

- (11) (6) **Particulars of accused persons charge sheeted (use separate sheet for each accused)**
- (i) **Name** : M/s Swan Telecom Pvt. Ltd.,
(now, M/s Etisalat DB Telecom Pvt. Ltd.) Mumbai
- Whether verified** : Yes
- (ii) **Father's Name** : N.A.
- (iii) **Year/Date of Birth** : N.A.
- (iv) **Sex** : N.A.
- (v) **Nationality** : N.A.
- (vi) **Passport No** : N.A.
- Date of Issue** : N.A.
- (vii) **Religion** : N.A.
- Place of Issue** : N.A.
- (viii) **Whether SC/ST/OBC** : N.A.
- (ix) **Occupation** : N.A.
- (x) **Address**
- (i) **Present address** : 5th Floor, Infinity Towers, Mind Space, Link Road, Malad (W), Mumbai-400064.
Regd. Office: DB House, Yashodham, Gen. R.K. Vaidya Marg, Goregaon (E), Mumbai-400063.
- (ii) **Permanent address** : 5th Floor, Infinity Towers, Mind Space, Link Road, Malad (W), Mumbai-400064.
Regd. Office: DB House, Yashodham, Gen. R.K. Vaidya Marg, Goregaon (E), Mumbai-400063.
- (xi) **Whether verified** : Yes
- (xii) **Provisional Criminal No.** : N.A.
- (xiii) **Regular Criminal No. (if known)** : N.A.
- (xiv) **Date of Arrest** : N.A.
- (xv) **Date of release on bail** : N.A.
- (xvi) **Date on which forwarded to Court** : N.A.
- (xvii) **Under Acts & Sections** : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) **Name(s) of bailers/sureties and address** : N.A.
- (xix) **Previous convictions with case reference** : N.A.
- (xx) **Status of the accused** : Private Limited Company
(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / NA

(11) (7) Particulars of accused persons charge sheeted (use separate sheet for each accused)

(i) Name : Shri Sanjay Chandra

Whether verified : Yes

(ii) Father's Name : Shri Ramesh Chandra

(iii) Year/Date of Birth :

(iv) Sex : Male

(v) Nationality : Indian

(vi) Passport No :
Date of Issue :

(vii) Religion : Hindu (viii) Place of issue : N.A.
Whether SC/ST/OBC :
Private Person (Managing Director, Unitech Ltd.,

(ix) Occupation :
C-41, Mayfair Gardens, Khe-
Gaon Marg, New Delhi

(x) Address :
(i) Present address : C-41, Mayfair Gardens, Khe-
Gaon Marg, New Delhi

(ii) Permanent address :
C-41, Mayfair Gardens, Khe-
Gaon Marg, New Delhi

(xi) Whether verified : Yes

(xii) Provisional Criminal No. : N.A.

(xiii) Regular Criminal No. (if known) : N.A.

(xiv) Date of Arrest : N.A.

(xv) Date of release on bail : N.A.

(xvi) Date on which forwarded to Court : N.A.

(xvii) Under Acts & Sections : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988

(xviii) Name(s) of bailers/sureties and address : N.A.

(xix) Previous convictions with case reference : N.A.

(xx) Status of the accused : Private Person (Managing Director, Unitech Ltd.,

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / None

- (11) (8) Particulars of accused persons charge sheeted (use separate sheet for each accused)
- (i) Name : M/s Unitech Wireless (Tamilnadu) Ltd. (representing all the 8 Unitech group companies later merged into it)
- Whether verified : Yes
- (ii) Father's Name : N.A.
- (iii) Year/Date of Birth : N.A.
- (iv) Sex : N.A.
- (v) Nationality : N.A.
- (vi) Passport No : N.A.
- Date of Issue : N.A.
- (vii) Religion : N.A. (viii) Whether SC/ST/OBC : N.A.
- (ix) Occupation : N.A.
- (x) Address :
- (i) Present address : M/s. Unitech Ltd., Unitech House, L- Block, South City-1, Gurgaon-122001
- (ii) Permanent address : M/s. Unitech Ltd., Unitech House, L- Block, South City-1, Gurgaon-122001
- (xi) Whether verified : Yes
- (xii) Provisional Criminal No. : N.A.
- (xiii) Regular Criminal No. (if known) : N.A.
- (xiv) Date of Arrest : N.A.
- (xv) Date of release on bail : N.A.
- (xvi) Date on which forwarded to Court : N.A.
- (xvii) Under Acts & Sections : 120 B, 468, 471 & 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) Name(s) of bailers/sureties and address : N.A.
- (xix) Previous convictions with case reference : N.A.
- (xx) Status of the accused : Limited Company.
(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / NA

- (11) (9) Particulars of accused persons charge sheeted (use separate sheet for each accused)
- (i) Name : Shri Gautam Doshi
 Whether verified : Yes
- (ii) Father's Name : Late Sh. Bhailal Doshi
- (iii) Year/Date of Birth : 23.12.1952
- (iv) Sex : Male
- (v) Nationality : Indian
- (vi) Passport No : NA
 Date of Issue : Place of Issue
- (vii) Religion : Hindu. (viii) Whether SC/ST/OBC : N.A.
- (ix) Occupation : Private Person (Group Managing Director of Reliance ADA Group, Reliance Centre, Ballard Estate, Mumbai 400020)
- (x) Address :
 (i) Present address : B-131, Grand Paradi, Chems Corner, near Pedder Road Mumbai 400036
 (ii) Permanent address : B-131, Grand Paradi, Chems Corner, near Pedder Road Mumbai 400036
- (xi) Whether verified : Yes
- (xii) Provisional Criminal No. : N.A.
- (xiii) Regular Criminal No. (if known) : N.A.
- (xiv) Date of Arrest : N.A.
- (xv) Date of release on bail : N.A.
- (xvi) Date on which forwarded to Court : N.A.
- (xvii) Under Acts & Sections : 120 B, 468, 471 & 109 r/w 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) Name(s) of bailers/sureties and address : N.A.
- (xix) Previous convictions with case reference : N.A.
- (xx) Status of the accused : Private Person (Group Managing Director of Reliance ADA Group, Reliance Centre, Ballard Estate, Mumbai 400020)

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / None

- (11) (10) Particulars of accused persons charge sheeted (use separate sheet for each accused)
- | | | |
|-------------------------------------------------|----------------|---------------------------------------------------------------------------------|
| (i) Name | : | Shri Surendra Pipara |
| Whether verified | : | Yes |
| (ii) Father's Name | : | Late Shri Kanmal Pipara |
| (iii) Year/Date of Birth | : | 17.12.1963 |
| (iv) Sex | : | Male |
| (v) Nationality | : | Indian |
| (vi) Passport No | : | N.A. |
| Date of Issue | : | Place of Issue |
| (vii) Religion : Hindu | (viii) Whether | : N.A. |
| | SC/ST/OBC | |
| (ix) Occupation | : | Private Person, Group President of Reliance Anil Dhirubhai Ambani Group. |
| (x) Address | : | |
| (i) Present address | : | Flat No. 733, Akshay Giri Kunj No.3, Paliram Road Andheri (west) Mumbai. |
| (ii) Permanent address | : | Flat No. 733, Akshay Giri Kunj No.3, Paliram Road Andheri (west) Mumbai. |
| (xi) Whether verified. | : | Yes |
| (xii) Provisional Criminal No. | : | N.A. |
| (xiii) Regular Criminal No. (if known) | : | N.A. |
| (xiv) Date of Arrest | : | N.A. |
| (xv) Date of release on bail | : | N.A. |
| (xvi) Date on which forwarded to Court | : | N.A. |
| (xvii) Under Acts & Sections | : | 120 B, 468, 471 & 109 r/w 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988 |
| (xviii) Name(s) of bailers/sureties and address | : | N.A. |
| (xix) Previous convictions with case reference | : | N.A. |
| (xx) Status of the accused | : | Private Person, Group President of Reliance Anil Dhirubhai Ambani Group. |

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / None

- (11) (11) Particulars of accused persons charge sheeted (use separate sheet for each accused)
- (i) Name : Shri Hari Nair
 Whether verified : Yes
 (ii) Father's Name : Shri K. Sethumadhavan Nair
 (iii) Year/Date of Birth : 02.09.1972
 (iv) Sex : Male
 (v) Nationality : Indian
 (vi) Passport No : NA
 Date of Issue : Place of Issue
 (vii) Religion : Hindu (viii) Whether : N.A.
 SC/ST/OBC
 (ix) Occupation : Private Person, Sr. Vice President of Reliance ADA Group.
- (x) Address :
 (i) Present address : EMP 21/302, Pluto Co-operative Housing Society, Evershine Millennium Paradise, Thakur Village, Kandivali (East), Mumbai - 400 101.
 (ii) Permanent address : EMP 21/302, Pluto Co-operative Housing Society, Evershine Millennium Paradise, Thakur Village, Kandivali (East), Mumbai - 400 101.
- (xi) Whether verified : Yes
 (xii) Provisional Criminal No. : N.A.
 (xiii) Regular Criminal No. (if known) : N.A.
 (xiv) Date of Arrest : N.A.
 (xv) Date of release on bail : N.A.
 (xvi) Date on which forwarded to Court : N.A.
- (xvii) Under Acts & Sections : 120 B, 468, 471 & 109 r/w 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
- (xviii) Name(s) of bailers/sureties and address : N.A.
 (xix) Previous convictions with case reference : N.A.
 (xx) Status of the accused : Private Person, Sr. Vice President of Reliance ADA Group.

(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody Absconding / Proclaimed offender) / None

(11) (12) Particulars of accused persons charge sheeted (use separate sheet for each accused)

(i) Name	:	M/s. Reliance Telecom Ltd.
Whether verified	:	Yes
(ii) Father's Name	:	N.A.
(iii) Year/Date of Birth	:	N.A.
(iv) Sex	:	N.A.
(v) Nationality	:	N.A.
(vi) Passport No	:	N.A.
Date of Issue	N.A.	Place of Issue : N.A.
(vii) Religion : N.A.	(viii) Whether SC/ST/OBC	: N.A.
(ix) Occupation	:	N.A.
(x) Address	:	
(i) Present address	:	Dhirubhai Ambani Knowledge City, Navi Mumbai-400710.
(ii) Permanent address	:	Dhirubhai Ambani Knowledge City, Navi Mumbai-400710.
(xi) Whether verified	:	Yes
(xii) Provisional Criminal No.	:	N.A.
(xiii) Regular Criminal No. (if known)	:	N.A.
(xiv) Date of Arrest	:	N.A.
(xv) Date of release on bail	:	N.A.
(xvi) Date on which forwarded to Court	:	N.A.
(xvii) Under Acts & Sections	:	120 B, 468, 471 & 109 r/w 420 IPC and section 13 (2) r/w 13 (1) (d) PC Act 1988
(xviii) Name(s) of bailers/sureties and address	:	N.A.
(xix) Previous convictions with case reference	:	N.A.
(xx) Status of the accused	:	Limited Company
(Forwarded / Bailed by Police / Bailed by Court / Judicial Custody / Absconding / Proclaimed offender) / NA		

(12) Particulars of accused persons not charge-sheeted (use : NIL.
separate sheet for each accused)
Any special remarks including reasons for not charge : N.A.
sheeting

(13) (i) Particulars of witnesses to be examined:
Attach separate sheet, if required.

Sl. No.	Name	Father's / Husband's Name	Occupation	Address	Type of evidence to be tendered
As per list attached					

(ii) List of Articles /documents
Attach separate sheet (if required) : As per list of documents
attached

(14) If FIR is false, indicate action taken or proposed to be taken u/s 182/211
IPC : - N.A.-

(15) Brief facts of the case (add separate sheet, if necessary):

On 21.10.2009, the CBI registered a case no. RC DAI 2009 A 0045 against unknown officials of Department of Telecommunications, Govt. of India, unknown private persons/companies and others for the offences punishable under sections 120-B IPC read with 13(2) r/w 13(1)(d) of Prevention of Corruption Act, 1988, on allegations of criminal conspiracy and criminal misconduct, in respect of allotment of Letters of Intent, Unified Access Services (UAS) Licences and spectrum by the Department of Telecommunication. Following allegations have been leveled in the FIR :-

(a) The entry fee for the new pan India UAS licences in the year 2008 was kept by Department of Telecommunications (DOT) as Rs.1658 Crores, at which price the Cellular Mobile Telephone Service (CMTS) licences were awarded by DOT after auction in the year 2001. These UAS licences, issued in 2008 were issued on first come first served basis without any competitive bidding.

- (b) A press release was issued by DOT on 24.9.2007, which appeared in the newspapers on 25.9.2007, mentioning that the new applications for UAS licences will not be accepted by the DoT after 1.10.2007 till further orders. However applications received upto 25.09.2007 only were considered, which was also against the recommendations of Telecom Regulatory Authority of India (TRAI) that no cap should be placed on the number of Access Service Providers in any service area.
- (c) Even First Come First Served policy was implemented by the DOT in a manner which resulted into wrongful gain to certain companies. Further, there are allegations that the suspect officials of DoT had selectively leaked the information to some of the applicants regarding the date of issuance of letter of intent on 10.01.2008. In the letter of intent, an arbitrary condition was incorporated that whosoever deposits the fees (as per conditions in Letters of Intent, i.e. LOIs) first, would be the first to get license. Since some of the applicants, who had this prior information, were ready with the amount and they were able to deposit the fee earlier than others. Thus, favour was allegedly shown to some applicants by way of leaking the information about the date of issuance of letter of intent.
- (d) Although, the FDI limit was increased from 49 to 74% in December, 2005, but there was no lock-in period or restriction imposed on sale of equity or issuance of additional equity. As a result of this M/s. Swan Telecom Pvt. Ltd., which paid to DOT Rs. 1537 Crores for UAS Licences of 13 circles, offloaded its 45% equity to M/s Etisalat of UAE for Rs. 4200 Crores. Similarly, M/s. Unitech Wireless (Group of 08 companies), which paid to DOT Rs.1658 Crores for UAS Licences of all 22 circles, offloaded its 60% equity to M/s Telenor of Norway for Rs. 6100 Crores. These stakes were sold by the said companies even before the roll out of services by them. The estimated loss to Government by grant of licences to these two companies alone comes to Rs 7105 Crores. On pro rata basis, the estimated loss for all 122 UAS Licences issued in 2008 is more than Rs. 22000 Crores.

15.2 Investigation was conducted in respect of the aforesaid allegations and it has revealed as under :-

Background of the matter

Consequent to liberalization policy of 1991 of the Government of India promoting participation of private sector into the service sector, National Telecom Policy (NTP), 1994 was announced by the Central Government in 1994. Two Licences for Cellular Mobile Telephone Service (CMTS) each in the four Metro Cities were granted to private operators in 1994 itself. A license is required to be obtained by a company or legal person under Section 4 of Indian Telegraph Act, 1885 for the Commission of telephone services in India. Department of Telecommunications (DOT) has classified whole territory of India into various telecom circles / service area (as of now numbering 22) and has been issuing separate telecom licences for each service area.

Subsequently, in 1995 Department of Telecommunications (DOT) invited tenders for inducting 2 CMTS Operators each in all Telecom Circles of the country, other than four Metros. In 1996, two licences in each of the Telecom Circles were granted to private operators in 18 telecom circles. The license fee was to be paid over a period of 10 years, as per the terms of licences. In addition, right of the Government was reserved to operate the services as third operator. Tenders were also invited in January 1995, for award of Basic Service Licences for Telecom Circles and the licences were finally granted only to six companies in six telecom circles.

The Telecom Regulatory Authority of India (TRAI) Act, 1997 was enacted by Government of India. As per section 11 of the Act (amended in 2000), the functions of TRAI are:

"Section 11 (1) Notwithstanding anything contained in the Indian Telegraph Act, 1885, the functions of the Authority shall be to-

(a) make recommendations, either suo motu or on a request from the licensor, on the following matters, namely:-

- (i) need and timing for introduction of new service provider;
- (ii) Terms and conditions of license to a service provider.

Provided further that the Central Government shall seek the recommendations of the Authority in respect of matters specified in sub-clauses (i) and (ii) of clause (a) of this sub-section in respect of new license to be issued to a service provider and the Authority shall forward its recommendations within a period of sixty days from the date on which that Government sought the recommendations:

Subsequently, a Group on Telecom (GoT) was constituted by the Government of India, which recommended changes in Telecom policy. The Union Cabinet considered and approved New Telecom Policy, 1999 (NTP-99) effective from 1.4.1999. NTP-99 has been the bedrock regarding issuance of licences and allocation of spectrum for achieving the objective of availability of affordable and effective communications for the citizens which is at the core of the vision and goal of the telecom policy. The para 3.1.1. of the NTP 99 mentions, inter-alia :-

"Availability of adequate frequency spectrum is essential not only for providing bandwidth to every operator but also for entry of additional operators"

"It is proposed to review the spectrum utilization from time to time keeping in view the emerging scenario of spectrum availability, optimal use of spectrum, requirements of market, competition and other interest of public. The entry of more operators in a service area shall be based on the recommendations of the TRAI who will review this as required, and no later than every two years."

"CMSP operators would be required to pay a one-time entry fee. The basis for determining the entry fee and the basis for selection of additional operators would be recommended by the TRAI. Apart from the one time entry fee, CMSP operators would also be required to pay license fee based on a revenue share. It is proposed that the appropriate level of entry fee and percentage of revenue share arrangement for different service areas would be recommended by TRAI in a time-bound manner, keeping in view the objectives of the New Telecom Policy".

In July, 1999 the Government decided in favour of migration of existing licencees to the Revenue Share Regime of NTP 1999. Accordingly, a migration package for migration from fixed license fee to revenue share regime was offered to existing licencees, effective from 1.8.99. Under the migration package existing licencees had to forego their duopoly rights and additional operators were inducted in a multipoly regime. All the existing Basic and Cellular Operators migrated to Revenue sharing regime of NTP-99 w.e.f. 1.8.1999. Accordingly, Government PSUs viz. MTNL and BSNL were also given CMTS Licences as 3rd Cellular / CMTS operator.

The guidelines for issue of licence for Basic Services under NTP 99 was announced based on the recommendations of TRAI, wherein the licensing of Basic Telephone service was opened on continuous basis on receipt of application and subject to fulfillment of eligibility conditions. As per para 26 of the guidelines, the licencees were to be allocated spectrum for wireless access system in local area on first-come-first-served basis. Based on these guidelines, 25 additional Basic Telephone Service licences were issued in 2001 to Reliance, Tata, HFCL etc.

Based on TRAI's recommendations and on the basis of competitive bidding process, one CMSP license each in four Metro Cities and in 13 Telecom Circles (17 Licences) were granted as 4th Cellular Operators in the year 2001. Bids were for upfront entry fees only and annual license fee was to be paid as per specified percentage of Adjusted Gross Revenue (AGR). Spectrum charges were also payable as AGR percentage.

TRAI, in its recommendation dated 20.02.2003, regarding introduction of 5th & 6th CMTS Operators recommended that : *"TRAI is of the opinion that induction of additional mobile service providers in various service areas can be considered if there is adequate availability of spectrum for the existing service providers as well as for the new players, if permitted."*

On 10.09.2003, a Group of Ministers (GoM) on Telecom matters was constituted by the Government of India under the chairmanship of the then Finance Minister with the approval of Hon'ble Prime Minister vide Cabinet Secretariat Memo

dated 10th September 2003. One of the 8 Terms of Reference of GoM was "to chart the course to a Universal Licence".

In the mean time TRAI initiated consultations on the issue of Unified Licensing and vide recommendations dated 27.10.2003, recommended Unified Licensing Regime. TRAI recommended that for fixing the entry fee for migrating to UASL Regime, the entry fee for fourth cellular operators shall be the entry fee for migration to UASL Regime. Para 7.19 of the recommendations provided that : "It is recommended that the 3rd alternative as mentioned in para-7.18 above may be accepted for fixing the entry fee for migration to Unified Access Licensing regime for Basic and Cellular services at the circle level." Para 7.18 of the recommendations provided that "The 3rd alternative is that the existing entry fee of the fourth Cellular Operator would be the entry fee in the new Unified Access Licensing Regime. BSOs would pay the difference of the fourth CMSP's existing entry fee and the entry fee paid by them. It may be recalled that, even in the past, entry to cellular and basic services has been on fixed fee basis, e.g., for metros in the case of cellular and for the second BSO".

In para 7.39 of the recommendations dated 27.10.2003, TRAI mentioned that: "The induction of additional mobile service providers in various service areas can be considered if there is adequate availability of spectrum. As the existing players have to improve the efficiency of utilization of spectrum and if Government ensures availability of additional spectrum then in the existing licensing regime, they may introduce additional players through a multi-stage bidding process as was followed for the 4th cellular operators."

The GoM accepted the TRAI recommendations on Unified licensing on 30.10.2003 and asked the DoT to place the matter before the Union Cabinet. Subsequently, on 31.10.2003 the recommendations of GoM were considered by the Union Cabinet. As per the Cabinet decision dated 31.10.2003, the recommendations of Group of Ministers (GoM) on Telecom matters chaired by the then Hon'ble Finance Minister, inter-alia, on issues as quoted below was approved:

.....The scope of NTP-99 may be enhanced to provide for licensing of Unified Access Services for basic and cellular licence services and unified Licensing comprising all telecom services. Department of Telecommunications may be authorised to issue necessary addendum to NTP-99 to this effect.

The recommendations of TRAI with regard to implementation of the Unified Access Licensing Regime for basic and cellular services may be accepted.

DoT may be authorised to finalise the details of implementation with the approval of the Minister of Communications & IT in this regard including the calculation of the entry fee depending on the date of payment based on the principle given by TRAI in its recommendations."

Based on the above Cabinet decision, an addendum to NTP-99 was notified on 11.11.2003. Also on 11.11.2003, "Guidelines for Unified Access (Basic & Cellular) Services Licence" were issued by DoT wherein it was decided to move towards a Unified Access Services Licensing regime. The guidelines, inter-alia, stipulated that "With the issue of these Guidelines, all applications for new Access Services Licence shall be in the category of Unified Access Services Licence."

As a consequence to amendment of NTP- 1999 and issue of UASL guidelines on 11.11.2003, and clarification dated 14.11.2003 of Chairman, TRAI, certain new UAS Licences were issued in 2003-04 at entry fee discovered through auction for 4th cellular operator in 2001, which was also the fee for migration of basic telecom operators to UASL regime in 2003. In the circles where no price was discovered by auction of CMTS licences in 2001, the fee applicable to Basic Telephone licences in such service areas was considered. The amount of entry fee for all 22 telecom circles / service areas, in this manner, comes at Rs. 1658 crores. This practice was continued by the DOT in the years 2005-06 also.

After enhancement of FDI in telecom sector from 49% to 74%, DoT on 14.12.2005 issued Guidelines for Unified Access Services (UAS) licences. These guidelines, inter-alia stipulated that :-

"Licences shall be issued without any restriction on the number of entrants for provision of Unified Access Services in a Service Area."

With view to check the hoarding of Spectrum and to promote healthy competition in telecom business by telecom companies, a provision under clause 8 was made in UASL guidelines dated 14.12.2005. Clause 8 of the said UASL guidelines dated 14.12.2005 provides that "no single company / legal person either directly or through its associates, shall have substantial equity holding in more than one LICENSEE Company in the same service area for the access services namely, Basic, Cellular and Unified Access Service. Substantial equity herein will mean an equity of 10% or more. A promoter company / legal person cannot have stakes in more than one licensee company for the same service area. A certificate to this effect shall be provided by the applicant's Company Secretary along with applications". The guidelines issued for UAS Licences on 14.12.2005 are the extant guidelines for grant of new UAS licence. All UAS licences issued in 2008 are governed by these detailed guidelines.

Since introduction of UAS licensing regime in 2003, 51 new UAS licences were issued till March 2007 based on the policy of continuous award on First-Come-First-Served (FCFS) basis. As per this policy the applications which were received first in Department of Telecommunications were issued Letter of Intent first. The applications received later were not considered till the applications received earlier were decided and allocated Letter of Intent (LOI). In case approvals for more than one LOI in the same telecom circle was received simultaneously, the earlier applicant was issued LOI first and the latter one was issued LOI at least a day after, in order to maintain the same priority for signing of UAS Licence as well as allocation of spectrum.

On 13.04.2007, when Sh. Dayanidhi Maran was MOC&IT, DOT sought recommendations of TRAI on the issue of limiting the number of Access providers in each service area and review of the terms and conditions of the Access provider license keeping in mind that 159 licences of Access Services (CMTS/Basic/UASL) had so far already been issued and these were increasing demand on spectrum in a substantial manner.

TRAI provided its recommendations dated 28.08.2007 on aforesaid issues, inter-alia, mentioning below in its Summary of Recommendations :-

- (i) No cap be placed on the number of access service providers in any service area.

It was recommended in the background of observation of TRAI in para 2.36 that – "Having considered all the above aspects and considering the implications of having to suggest a framework covering other issues that have been referred by the Government; the Authority is not in favour of suggesting a cap on the number of access service providers in any service area. It is not advisable to exogenously fix the number of access service providers in a market which is in a dynamic setting."

In para 2.99 it was also mentioned that "There is a need to ensure availability of adequate spectrum, to ensure efficient utilization of the spectrum, and making the processes of spectrum allocation completely transparent, and based on a road map and well-researched plan."

- (ii) In future all spectrums excluding the spectrum in 800, 900 and 1800 bands (i.e. 2G spectrum) should be auctioned so as to ensure efficient utilization of this scarce resource.

In the 2G bands (800 MHz/900 MHz/1800 MHz), the allocation through auction may not be possible as the service providers were allocated spectrum at different times of their license and the amount of spectrum with them varies from 2X4.4 MHz to 2X10 MHz for GSM technology and 2X2.5 MHz to 2X5 MHz in CDMA technology. Therefore, to decide the cut off after which the spectrum is auctioned will be difficult and might raise the issue of level playing field.

TRAI also observed in its recommendations dated 28.08.2007, in para 2.73, that :-

"The allocation of spectrum is after the payment of entry fee and grant of license. The entry fee as it exists today is, in fact, a result of the price discovered through a market based mechanism applicable for the grant of license to the 4th cellular operator. In today's dynamism and unprecedented

growth of telecom sector, the entry fee determined then is also not the realistic price for obtaining a license. Perhaps, it needs to be reassessed through a market mechanism. On the other hand spectrum usage charge is in the form of a royalty which is linked to the revenue earned by the operators and to that extent it captures the economic value of the spectrum that is used. Some stakeholders have viewed the charges / fee as a hybrid model of extracting economic rent for the acquisition and also meet the criterion of efficiency in the utilization of this scarce resource. The Authority in the context of 800, 900 and 1800 MHz is conscious of the legacy i.e. prevailing practice and the overriding consideration of level playing field. Though the dual charge in present form does not reflect the present value of spectrum it needed to be continued for treating already specified bands for 2G services i.e. 800, 900 and 1800 MHz. It is in this background that the Authority is not recommending the standard options pricing of spectrum, however, it has elsewhere in the recommendation made a strong case for adopting auction procedure in the allocation of all other spectrum bands except 800, 900 and 1800 MHz."

CHARGE

Investigation has revealed that in the mean time, during May 2007, accused Andimuthu Raja (A. Raja) took over as Minister of Communications & Information Technology (MOC&IT). Accused Ravindra Kumar Chandolia (R K Chandolia) also joined as Private Secretary (PS) to MOC&IT at the same time. On 1st January, 2008 accused Siddhartha Behura joined Department of Telecommunications in Ministry of Communications & Information Technology as Secretary (Telecom). Accused Siddhartha Behura and R K Chandolia had earlier also worked with accused A. Raja, as Additional Secretary and PS, respectively, when accused A. Raja was Minister of Environment & Forests, and were acquainted with each other in such manner. Investigation also revealed that accused A Raja was also already familiar with accused Shahid Balwa, Vinod Goenka and Sanjay Chandra in context of various clearances of Ministry of Environment & Forests to various real estate projects of their companies – M/s DB Realty Ltd. and M/s Unitech Ltd. respectively, operating in real estate projects, during the tenure of accused A Raja as Minister of Environment & Forests.

Investigation of the case has revealed the whole gamut of offences pertaining to 5 main issues and the outcome of investigation and charges in respect of the same are discussed here-in-below :-

A. INVESTIGATION ABOUT CUTOFF DATE

The investigation has revealed that receipt of applications for new Unified Access Services Licences in DOT, situated at Sanchar Bhawan, 20 Ashoka Road New Delhi (UASL) has been a continuous process. The applications had been processed in the order in which these were received. However, after accused A. Raja took over as MOC&IT in May 2007 and TRAI recommendations dated 28.08.2007 were received, there was a spurt in the number of applications for new UAS Licences. At this time accused A Raja entered into a conspiracy with other accused persons & companies with a purpose to issue UAS Licences to M/s Swan Telecom Pvt. Ltd., which had already applied, and companies promoted by M/s Unitech Ltd., which were yet to apply for UAS Licences, by manipulating the priority list on the basis of LOI compliances instead of existing guidelines / practice of deciding applications on the basis of date of application as per availability of spectrum.

Investigation has revealed that during this period accused R. K. Chandolia, PS to MOC&IT had been continuously monitoring the status of the receipt of applications in Access Services (AS) Cell of Department of Telecommunications. He was continuously updating himself with the status of applications and names of applicant companies. On 24.9.2007, he enquired from the concerned officer of Access services cell as to whether applications of Unitech Ltd. for new UAS Licences had been received and directed that no applications should be accepted after the receipt of applications from M/s Unitech Limited, which were expected to be received on the same day. When informed that the receipt of applications could not be arbitrarily stopped, DDG (AS-I) was asked to put up a note in this regard. A note dated 24.9.2007 was put up by Access Services cell mentioning that if receipt of applications is to be discontinued, it needed to be told to the public through press

release and proposed 10.10.2007 as the date till which applications may be received, till further orders.

Investigation has revealed that in the meantime accused Sanjay Chandra, Managing Director, M/s Unitech Ltd., as authorized by the said company to take care of the telecom affairs, caused to make applications by 8 group companies formulated for this purpose, viz. M/s. Aska Projects Ltd., M/s. Nahan Properties Pvt. Ltd., M/s. Unitech Builders & Estates Pvt. Ltd., M/s. Unitech Infrastructures Pvt. Ltd., M/s. Azare Properties Ltd., M/s. Adonis Projects Pvt. Ltd., M/s. Hudson Properties Ltd., and M/s. Volga Properties Pvt. Ltd. Later, these companies were renamed after these got UAS licences from DOT, as M/s Unitech Wireless group companies. Subsequently, all the said 8 companies were merged into M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. Hereinafter, M/s Unitech Wireless (Tamilnadu) Pvt. Ltd., has been considered as representing all the 8 Unitech group companies later merged into it.

However, accused A. Raja, in pursuance of conspiracy and for ensuring better prospects for his favoured companies cut it short and decided the cutoff date to be 01.10.2007. A press release was issued to this effect on 24.09.2007, which appeared in newspapers on 25.9.2007. The investigation has revealed that even though this cutoff date of 01.10.2007 had been announced, accused A Raja, in conspiracy with other accused persons, had already taken a view to keep the cutoff date as 25.09.2007, as earlier conveyed to Access Services cell officer by accused R. K Chandolia. This was also manifest when he approved an amended draft letter to be sent to Ministry of Law & Justice, wherein the alternatives proposed mentioned that applications upto 25.09.2007 would be considered. Accused A Raja approved to issue this letter, even though, his attention was drawn by the DOT officers to para 3.1.1 of NTP99 which mandates adequate availability of spectrum for allocating new licences and TRAI's repeated recommendations about giving new licences subject to availability of spectrum for existing operators and for new operators. Accused A Raja, however, decided to send this letter dated 26.10.2007 to Ministry of Law & Justice for its opinion on the various options indicated for allocation of new licences.

On receiving said reference Ministry of Law & Justice opined vide note dated 01.11.2007 that the matter being very important, needed to be considered by Empowered Group of Ministers. Investigation has disclosed that accused A Raja, instead of referring this important matter to Empowered Group of Ministers, stuck to this decision on cutoff date, which was decided in conspiracy with other accused persons including accused Sanjay Chandra of M/s Unitech Ltd. and accused Shahid Balwa & accused Vinod Goenka, with a purpose to rope in the applications of M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) within the consideration zone despite there being no sufficient spectrum in many telecom circles and with a design to shuffle the priority list to unduly benefit especially M/s Swan Telecom Pvt. Ltd. by allowing it to get priority over other applicants in the prime telecom circle of Delhi for scarce spectrum. In pursuance of the said conspiracy accused A Raja did not review the availability of spectrum circle wise, despite being so advised by the concerned DOT officers who advised to decide the number of LOIs to be issued in each service area and also put up the details of spectrum availability circle wise indicating that spectrum available in many circles was inadequate to accommodate applications received till 25.09.2007. Accused A Raja instead went ahead to decide the cutoff date as 25.09.2007, in conspiracy with aforesaid accused persons. The availability of spectrum in each circle, and number of new licences that could be accommodated in each circle, as per spectrum availability as in November 2007, and subsequently in January, 2008, as per DOT records put up to the accused A Raja are as follows :-

S. No.	Service Area	Spectrum available (November 2007)			Spectrum available (January 2008)	
		Total (MHz)	Total (MHz)*	New Operators to be accommodated for initial spectrum allotment	Total (MHz)	New Operators to be accommodated for initial spectrum allotment
1.	Delhi	15	15	03/03	08	01
2.	Mumbai	25	25	05/05	20	4
3.	Chennai	30	60	06/>10	45	10
4.	Kolkata	25	45	5/10	40	09
5.	AP	25	55	5/11	45	10
6.	Karnataka	25	55	5/11	40	09
7.	Kerala	30	65	6/>10	50	>10
8.	Tamil Nadu	30	60	6/>10	50	>10
9.	Maharashtra	25	45	5/>10	30	6
10.	Gujarat	20	20	4/4	9	2

11.	Rajasthan	10	10	2/2	1	0
12.	Punjab	25	25	5/5**	15	3
13.	Haryana	25	25	5/5**	8	1
14.	J&K	25	25	5/5	10	2
15.	UP (E)	25	25	5/5**	13	2
16.	UP (W)	20	20	4/4	10	2
17.	MP	25	55	5/>10	40	9
18.	West Bengal	20	20	4/4	13	2
19.	Bihar	30	30	6/6	18	4
20.	HP	30	30	6/6	12	2
21.	NE/Assam	25	25	5/5	10	2
22.	Orissa	30	60	6/>10	45	10

* Without considering defense usages in the band (1735-1775 MHz)

** Some earlier commitment for allotment of spectrum in certain districts in the service area of 2001 licensee were to be met first.

The DOT officers, including then Secretary (Telecom), also drew attention of accused A Raja, vide note dated 25.10.2007, to para 3.1.1 of NTP-99 which requires DOT to seek TRAI recommendations on introduction of new operators in a service area. As per the NTP-99 and section 11 of the Telecom Regulatory Authority of India Act, 1997 (amended in 2000), TRAI's recommendations were required for, and TRAI was obliged to recommend, either suo motu or on a request from the licensor, the need and timing for introduction of new service provider and also the terms and conditions of license to a service provider. However, accused A Raja, in pursuance of the said conspiracy brushed aside the legal position & the mandate of the TRAI on need and timing for introduction of new service providers; and instead arbitrarily decided on 02.11.2007 on file the cutoff date to be 25.9.2007, thereby benefitting M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it) for many telecom circles and M/s Swan Telecom Pvt. Ltd. for Delhi circle.

In furtherance of the conspiracy, accused A Raja, later on the same day, i.e. 02.11.2007 itself, wrote a letter to the Hon'ble Prime Minister, misrepresenting the facts & fraudulently justifying his decision regarding the cutoff date of 25.9.2007 on the ground that on this date the announcement of cutoff date appeared in newspapers. He also misled the Hon'ble Prime Minister and incorrectly stated the opinion of the Ministry of Law & Justice to refer the matter to EGOM to be out of context. Investigation has revealed that accused A Raja was already in criminal

conspiracy with accused Sanjay Chandra, Managing Director of M/s. Unitech Ltd. and accused Shahid Balwa & Vinod Goenka of M/s Swan Telecom Pvt. Ltd. before the publication of cutoff date in newspapers. He knowingly misrepresented the facts and misled the Hon'ble PM, while mentioning that the department was not deviating from the existing procedure in as much as the overriding principle of introducing new cellular operators subject to availability of sufficient spectrum was flouted. He also suppressed the design he already had in mind regarding the manner in which he, in conspiracy with other co-accused persons, and for benefitting his favoured companies, intended to allocate the licences, which was clearly indicated in various options mentioned in the letter dated 26.10.2007 written to Ministry of Law & Justice.

Investigation has revealed that while this communication from MOC&IT to Hon'ble PM was in transit, Hon'ble PM sent a letter to Shri A. Raja on 2.11.2007. This letter appropriately flagged the issue of "processing of large number of applications received for fresh licences against the back drop of inadequate spectrum to cater to overall demand." Para 3 of the Annexure to the Hon'ble PM's letter also referred to NTP 99 and mentioned that "since spectrum is very limited even in the next several years all the licencees may never be able to get spectrum." The suggestion from the high office of Hon'ble PM, that availability of spectrum had to be assessed before committing to issue licences, and that a licence without requisite spectrum meant nothing to a telecom operator, were, however, brushed aside by the accused A Raja, as adherence to these directions would have foiled his design to unduly favour the applicant companies, he was in conspiracy with.

On receipt of this letter dated 02.11.2007 from Hon'ble PM in late evening, and having been caught on the wrong foot, even before his letter dated 2.11.2007 could reach PMO, accused A Raja immediately called accused R K Chandolia, his PS at his residence in the night itself. The accused A Raja, with the help of co-accused R K Chandolia, and other staff, drafted a reponse to the letter of Hon'ble PM and finalized it on the night of 02.11.2007 itself at his camp office at his residence. This important matter relating to the policy decisions of the Department of Telecommunications, which required a serious consideration by the Department of Telecommunication in terms of the policy issues, was not even dealt with in the files of the department, and was decided by the said accused persons in furtherance of

their conspiracy with private persons / companies aforesaid. In his response, accused A.Raja misrepresented, with a dishonest intention, the fact that "there was, and is, no single deviation or departure in the rules and procedures contemplated in all the decisions taken by my Ministry and as such full transparency is being maintained by my Ministry and further assure you the same in future also".

The investigation has, therefore, disclosed that accused A Raja, in conspiracy with accused R K Chandolia, decided the cutoff date for consideration of applications to be those received upto 25.09.2007, to wrongly benefit accused Sanjay Chandra, Managing Director, M/s Unitech Ltd., M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it), accused Shahid Balwa, accused Vinod Goenka M/s Swan Telecom Pvt. Ltd. by accommodating applications of M/s. Unitech group of companies and M/s Swan Telecom Pvt. Ltd. into consideration zone for all circles applied for, despite inadequate availability of spectrum in many circles including Delhi (one of most lucrative) for the companies standing in queue ahead of these companies.

B. INVESTIGATION INTO VIOLATIONS OF FIRST COME FIRST SERVED POLICY.

The investigation has revealed that the DOT had been following the principle of first come first served basis for allocation of UAS Licences since the year 2003 and this principle was adopted from the procedure followed for the allocation of spectrum for WLL services of Basic telephone operators. The first come first served principle meant that the applicant which applied first shall be allocated LOI, Licence and spectrum first. This existing procedure was also described, almost correctly, as Alternative I in the DOT letter dated 26.10.2007 addressed to Ministry of Law & Justice, which was approved by the MOC&IT himself.

Investigation has revealed that under the existing procedure / policy for allocation of licences on first come first served principle, LOI was issued first to an applicant who had applied first. Then sufficient time was given for compliance of LOI conditions. The LOI prescribed a time of 7 days for acceptance / compliance of the

LOI and 15 days to deposit Entry Fee and Performance Bank Guarantee (PBG) / Financial Bank Guarantee (FBG). Licences were, then, also issued on the same priority as per dates of application. After issuance of licence, the licensee was required to make an application before Wireless, Planning & Coordination (WPC) Wing of DOT for allocation of spectrum. This gap facilitated time lead to an applicant to retain his date of application seniority at all stages.

However, in furtherance of the criminal conspiracy, the said procedure was manipulated by accused A Raja in conspiracy with accused Siddhartha Behura (Telecom Secretary w.e.f. 01.01.2008) and R K Chandolia and was redefined to benefit the Sanjay Chandra, Managing Director, M/s Unitech Ltd., M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it), accused Shahid Balwa, accused Vinod Goenka and M/s Swan Telecom Pvt. Ltd. The first indication of such ill conceived design of A Raja, in collusion with other accused persons, including accused Siddhartha Behura who joined this conspiracy on 01.01.2008, was manifest in the letter dated 26.10.2007 sent by DOT to Secretary, Ministry of Law & Justice. This letter mentioned that "--- In the present scenario the number of applications are very large and spectrum is limited and it may not be possible for the Government to provide LOI / Licence / Spectrum to all applicants at all if the existing procedure is followed. Moreover the existing procedure of sequential processing will also lead to inordinate delays depriving the general public of the benefits which more competition will bring out".

Investigation has revealed that on 02.11.2007, Director (AS-I), DOT put up a note seeking orders on issuing LOIs as per existing policy which was approved by MOC&IT, while also approving the cutoff date as 25.09.2007. However, then Secretary (Telecom) observed on 5.11.2007 that - "action may be initiated after orders of the MOC&IT are obtained on the above issues. He had expressed his desire to discuss this further". A note was again put up on 07.11.2007 by the Director(AS-I) mentioning therein that as per the existing policy, the LOIs were granted based on date of applications to satisfy the principle of first come first served basis. In this context he also referred to the policy reported to Parliament in Rajya Sabha Question No. 1243 answered on 23.8.2007. Accused A Raja although approved the note, but with dishonest intentions and in furtherance of the conspiracy

deleted para 3 of the draft LOI, which was also put up vide this note. The said para 3 mentioned that "the date of payment of entry fee would be the priority date for signing of licence agreement. If the date of payment of entry fee in more than one case is same then license will be first signed with the applicant company whose application was received earlier".

The aforesaid change in the LOI draft was the manifestation of the malicious design, first indicated in the letter dated 26.10.2007 written to Ministry of Law & Justice, by accused A Raja with an aim to benefit accused private persons / companies by deviating from the existing policy in a manner to be beneficial to the said accused private persons / companies.

Investigation has, however, revealed that when the file went to the Licensing Finance (LF) branch of DOT for vetting of the LOI, the DOT officers objected to the changes made in the draft LOI and mentioned on 23.11.2007 that "LOI making the payment of Entry Fee as the priority date has been deleted. However, it would be appropriate to clarify as to what the priority date would be. It appears logical to keep the date of application as date of priority provided the applicant is able to establish that he is eligible as on the date of application and is also eligible when the LOI is being issued. It is suggested that this should be clarified to the applicants by inserting a suitable para in the LOI for the sake of clarity especially in view of the large number of applications received".

In this note itself it was also mentioned that "in para 5 of the Draft LOI it has been clarified that the payment of entry fee shall not confer right on the licensee for the allocation of radio spectrum which shall be allotted as per existing policy/ guidelines as amended from time to time subject to availability. In this regard it is pointed out that the present occasion is unique in the sense that a large number of applications are being processed simultaneously and it would be appropriate for all concerned to know the likelihood of allotment of spectrum to them. NTP 99 already stipulates that 'availability of adequate frequency spectrum is essential' ... particularly in these days when it is the wireless services that are the order of the day and these services cannot be provided without spectrum. Hence, it would be appropriate that the prospective licencees know the approximate time within which

they will get spectrum. In any case for spectrum allocation also, the date of priority should also be the same as the date of his application provided he is found eligible on the date of application and he deposits the Entry Fee and complies to the LOI within the stipulated time".

Investigation has revealed that the aforesaid note clearly spelled out not only what was the policy of first come first served but also the manner in which it was being implemented till such time by the Department of Telecommunications. This note was further endorsed by Member (Finance), Telecom Commission and Secretary (Telecom) thereby also suggesting revision of the entry fee for new licences in line with the revision of fee for dual technology spectrum as suggested by Ministry of Finance in its letter dated 22.11.2007. However, finding this note, and other suggestions of DOT officers, an impediment in his ill-conceived design, accused A Raja deliberately condemned not only the observations in the note but also the officers attempting to put the things in correct perspective.

Investigation has revealed that, in aforesaid manner the DOT officials tried to prevent accused A Raja to proceed ahead with his design to delete a clause which would have resulted in reshuffling of the priority from the date of application to time of submission of compliance of LOIs. Accused A Raja, therefore, had no option but to clarify that LOIs in previously used proforma may be issued, because the revision of LOI proforma as suggested by DOT officers would have thwarted his design prematurely. He, therefore, directed that a separate letter seeking duly signed copies of all the documents submitted at the time of applying for UASL as per existing guidelines may be obtained, thereby mandating that eligibility on the date of application was essential requirement. Such letters were thereafter issued to each applicant during December, 2007 asking for the certificates that the companies met various eligibility parameters as on date of application and thereafter.

In furtherance of the conspiracy accused A Raja had entered into with R K Chandolia, Sanjay Chandra, Managing Director, M/s Unitech Ltd., accused Shahid Balwa and accused Vinod Goenka for favouring M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it) and M/s Swan Telecom Pvt. Ltd., he wrote a letter dated 26.12.2007 to Hon'ble PM, with

the help of accused R K Chandolia. In this letter he intentionally and deliberately misrepresented the facts about first come first served policy and wrote, in the context of 'Issue of New Licences' that:-

"DoT has been implementing a policy of First- come-First Served for grant of UAS licences. The same policy is proposed to be implemented in granting licence to existing applicants. However, it may be noted that grant of UAS licence and allotment of Radio Frequency is a three stage process.

1. Issue of Letter of Intent (LOI): DoT follows a policy of First-Come-First Served for granting LOI to the applicants for UAS licence, which means, an application received first will be processed first and if found eligible will be granted LOI.
2. Issue of Licence: The First-Come-First Served policy is also applicable for grant of licence on compliance of LOI condition. Therefore, any applicant who complies with the condition of LOI first will be granted UAS licence first. This issue never arose in the past as at one point of time only one application was processed and LOI was granted and enough time was given to him for compliance of conditions of LOI. However, since the Government had adopted a policy of "No Cap" on number of UAS licence, a large number of LOIs are proposed to be issued simultaneously. In these circumstances, an applicant who fulfils the conditions of LOI first will be granted licence first, although several applicants will be issued LOI simultaneously. The same has been concurred by the Solicitor General of India during the discussions.
3. Grant of Wireless Licence: The First-Come-First Served policy is also applicable for grant of wireless licence to the UAS licensee. Wireless licence is an independent licence to UAS licence for allotment of Radio Frequency and authorizing launching of GSM/CDMA based mobile services. There is a misconception that UAS licence authorizes a person to launch mobile services automatically. UAS licence is a licence for providing both wire and wireless services. Therefore, any UAS licence holder wishes to offer mobile service has to obtain a separate wireless licence from DoT. It is clearly indicated in clauses 43.1 and 43.2 of the UAS Licence Agreement of the DoT.

Since the file for issue of LOI to all eligible applicants was approved by me on 2.11.2007, it is proposed to implement the decision without further delay and without any departure from existing guidelines."

Investigation has revealed that the aforesaid letter was drafted by accused A Raja and accused R K Chandolia at the camp office cum residence of accused A Raja, and was not a result of the deliberations of the Department of Telecommunications in its files as such. The investigation has not revealed any discussions with the then Ld. Solicitor General. The position reflected in this letter as above, was in stark deviation from the existing procedures, and was fraudulently adopted as the procedure for grant of UAS licences in conspiracy with accused Sanjay Chandra, Managing Director, M/s Unitech Ltd.; accused Shahid Balwa and accused Vinod Goenka with an intention to favour M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it) and M/s Swan Telecom Pvt. Ltd.

Investigation revealed that on 01.01.2008 accused Siddhartha Behura joined DOT as Secretary (Telecom) and joined the ongoing conspiracy between accused A Raja, R K Chandolia and other private persons / companies. In furtherance of the said conspiracy on 7.1.2008, accused R.K. Chandolia gave a copy of letter dated 26.12.2007, sent by accused A Raja to Hon'ble PM, to DDG(AS-I) in the office chamber of A Raja and followed it up with a written forwarding letter dated 07.01.2008 enclosing therewith copies of letter exchanged between MOC&IT and Hon'ble PM. Accused R K Chandolia asked DOT officers to treat these letters as policy directives and accordingly put up note regarding processing of files for allocation of new licences.

Investigation has revealed that while putting up a note dated 07.01.2008 for processing UASL applications received upto 25.9.2007, Director (AS-I) reiterated the existing policy and noted that "sequence of granting LOIs/UAS Licence has been maintained till now according to the date of respective application for a particular service area". In his note he raised the issue of date of eligibility and DDG (AS-I) clarified that the eligibility on the date of application needs to be considered. However, he reproduced the parts of the letter dated 26.12.2007 addressed by MOC&IT to Hon'ble PM on policy matters regarding grant of UAS Licences and mentioned that these are to be treated as policy directives.

Investigation in this regard has revealed that when this matter was put up on 7.1.2008 before the accused Siddhartha Behura, Secretary (Telecom), he attached a draft press release for approval of MOC&IT. This draft Press Release contained the manner in which Letters of Intent were planned to be issued to applicants. Investigation also revealed that MOC&IT 'approved' the same and asked Secretary to obtain Solicitor General's opinion since he was appearing before the TDSAT and High Court Delhi. After this accused Siddhartha Behura took the file himself to the then Ld. Solicitor General of India, who advised - "I have seen the matter. The issues regarding new LOI's are not before any court. What is proposed is fair and reasonable. The press release makes for transparency. This seems to be in order." Investigation has revealed that accused A Raja, in conspiracy with accused Siddhartha Behura subsequently struck out the last para of the press release, which mentioned - "However, if more than one applicant complies with LOI condition on the same date, the inter-se seniority would be decided by the date of application". Investigation has also revealed that, when accused A Raja struck out last para of draft press release, at the same time he also inserted, in his aforementioned note dated 07.01.2008, the words - "press release appd as amended". This insertion in his note was willfully done by accused A Raja after the then Solicitor General had already recorded his note dated 07.01.2008 after his note, on the running note sheet. By this dishonest act accused A Raja, in conspiracy with accused Siddhartha Behura, fraudulently portrayed to the Department of Telecommunications that the amended draft had the consent of the then Ld. Solicitor General. In this manner he falsified the records in furtherance of his design to cheat DOT by manipulating the allocation of new licences in a manner wrongfully benefitting the accused private persons / companies aforesaid. This amendment in the press release led to redefining the concept of first come first served on the basis of priority in submission of compliance to the LOI against the established practice of priority in order of receipt of applications. Investigation has revealed that this press release was issued to the public on 10.1.2008 at 1347 hours.

Investigation has revealed that in furtherance of the conspiracy accused R.K. Chandolia, in conspiracy with accused Siddhartha Behura, designed the manner in which the LOIs were to be distributed to various applicants and asked the DOT officials to implement it. When the concerned DOT officers resisted to the proposed

unfair and ill-conceived scheme proposed, which was not in line with the first come first served principle, accused Siddhartha Behura directed the DOT officers to implement it and asked to take his approval for the same on file, if so required. Later, when DOT officers sought his approval for this scheme of distribution of LOIs, he even approved it. This ill-conceived design included establishing 4 counters to distribute LOIs, in the committee room of Sanchar Bhawan at 2nd Floor, subverting the system of first come first served in letter as well as in spirit. In this design, the accused persons deliberately did not even ensure that only after the first batch of 4 applicants had been issued the LOIs, the second batch be called. The manner in which the counters were placed, priority of the applicants as per date of application and the number of LOIs / letters that were to be distributed at each counter, is as mentioned below :

S. No.	Counter No.1	Counter No. 2	Counter No. 3	Counter No. 4
1	M/s. By Cell (Priority : 1) (1 rejection letter only)	M/s. Tata Teleservices. (Priority : 2) (3 LOIs + 1 In-Principle approval for Dual Technology)	M/s. Idea Cellular. (Priority : 3) (9 LOIs)	M/s. Spice Communications. (Priority : 4) (4 LOIs)
2	M/s. Swan Telecom (Priority : 5) (13 LOIs)	M/s. HFCL Infotel (Priority : 6) (Rejection Letter)	M/s. S. Tel (Priority : 7) (6 LOIs)	M/s. Parsvnath. (Priority : 8) (1 rejection letter only - absent)
3	M/s. Datacom Solutions (Priority : 9) (22 LOIs)	M/s. Loop Telecom (Priority : 10) (21 LOIs)	M/s. Allianz (Priority : 11) (A letter)	M/s. Unitech Group. (Priority : 12) (22 LOIs)
4	M/s. Shyam Telalink (Priority : 13) (21 LOIs)	M/s. Selene Infrastructure (Priority : 14) (Rejection Letter)		

Investigation has revealed that on 10.01.2008 another press release was issued by Department of Telecommunications in the afternoon asking the representatives of all applicant companies to collect the Letters of Intent at 3.30PM at Sanchar Bhawan and the same was put up by DOT on its website. The representatives of the companies were also telephonically informed by the DOT officers for this purpose. Representatives of the companies assembled at committee room of the Sanchar Bhawan for collection of LOIs / letters, and collected the LOIs

from said counters. Investigation has revealed that the distribution of LOIs was not in first come first served manner and the willful design of such distribution resulted into an disorderly manner of priority. The distribution of LOIs in aforesaid fraudulent manner resulted in reshuffling of the priority of applicants from the date of application to time of compliance which had difference of few minutes and completely changed the priority to the benefit of M/s. Swan Telecom Pvt. Ltd. (STPL), which got first priority in Delhi where spectrum for one licensee only was available, and M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it), for many circles where spectrum was not sufficient to accommodate last applicant. The altered order of priority, vis-à-vis the dates of application are as mentioned in a tabular form below :-

SL. No.	SERVICE AREA	COMPANY	DATE OF APPLICATION	Date of LOI Compliances & Entry Fee	Time of LOI Compliances & Entry Fee
1	Mumbai	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	16:10
2	Delhi	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	16:11
3	Andhra Pradesh	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:14
4	Assam	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:14
5	Bihar	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:15
6	Delhi	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:16
7	Gujarat	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:16
8	Haryana	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:17
9	Himachal Pradesh	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:17
10	Jammu & Kashmir	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:18
11	Karnataka	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:18
12	Kerala	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:18
13	Kolkata	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:19
14	Madhya Pradesh	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:20
15	Uttar Pradesh (East)	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:20
16	Uttar Pradesh (West)	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:20
17	Rajasthan	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:21
18	Tamilnadu (including Chennai)	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:21
19	Mumbai	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:22
20	North East	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:22
21	Orissa	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:22
22	Maharashtra	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:23
23	West Bengal	Datacom Solutions Pvt. Ltd.	28-Aug-2007	10/1/2008	16:23

24	Tamilnadu (including Chennai)	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:30
25	Karnataka	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:31
26	Punjab	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:32
27	West Bengal	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:32
28	Assam	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:33
29	Kolkata	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:33
30	Orissa	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:33
31	Jammu & Kashmir	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:34
32	North East	Idea Cellular Ltd.	26-Jun-2006	10/1/2008	16:34
33	Rajasthan	Adonis Projects P. Ltd. (Unitech).	24-Sep-2007	10/1/2008	16:41
34	Delhi	Hudson Properties P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:41
35	Mumbai	Unitech Infrastructures P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:41
36	Punjab	Adonis Projects P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:42
37	Andhra Pradesh	Aska Projects Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:42
38	Karnataka	Aska Projects Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:43
39	Kerala	Aska Projects Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:43
40	Haryana	Adonis Projects P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:44
41	Himachal Pradesh	Adonis Projects P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:44
42	Jammu & Kashmir	Adonis Projects P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:44
43	Uttar Pradesh (West)	Adonis Projects P. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:45
44	Kolkata	Azare Properties Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:45
45	Tamilnadu (including Chennai)	Unitech Builders & Estates Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:45
46	Assam	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:46
47	Bihar	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:46
48	Gujarat	Volga Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:47
49	Madhya Pradesh	Volga Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:47
50	Uttar Pradesh (East)	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:48
51	West Bengal	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:48
52	Maharashtra	Volga Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:48
53	North East	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:49
54	Orissa	Nahan Properties Pvt. Ltd. (Unitech)	24-Sep-2007	10/1/2008	16:49
55	Delhi	Spice Communications Ltd.	31-Aug-2006	10/1/2008	16:51
56	Haryana	Spice Communications Ltd.	31-Aug-2006	10/1/2008	16:52
57	Andhra Pradesh	Spice Communications Ltd.	31-Aug-2006	10/1/2008	16:53
58	Maharashtra	Spice Communications Ltd.	31-Aug-2006	10/1/2008	16:55
59	Assam	Tata Teleservices Ltd.	21-Jun-2006	10/1/2008	17:20
60	Jammu & Kashmir	Tata Teleservices Ltd.	21-Jun-2006	10/1/2008	17:20
61	North East	Tata Teleservices Ltd.	21-Jun-2006	10/1/2008	17:20

62	Assam	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
63	Bihar	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
64	Himachal Pradesh	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
65	Jammu & Kashmir	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
66	North East	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
67	Orissa	S Tel Ltd.	7-Jul-2007	10/1/2008	18:10
68	Andhra Pradesh	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
69	Gujarat	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
70	Haryana	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
71	Maharashtra	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
72	Tamilnadu (including Chennai)	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
73	Uttar Pradesh (East)	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:15
74	Karnataka	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:20
75	Punjab	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:25
76	Rajasthan	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:25
77	Uttar Pradesh (West)	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:25
78	Kerala	Swan Telecom Pvt. Ltd.	2-Mar-2007	10/1/2008	18:30
79	Bihar	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:22
80	Madhya Pradesh	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:23
81	Orissa	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:23
82	Uttar Pradesh (West)	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:24
83	Jammu & Kashmir	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:25
84	West Bengal	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:25
85	Karnataka	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:27
86	Kolkata	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:27
87	Kerala	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:28
88	Punjab	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:28
89	Delhi	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:29
90	Andhra Pradesh	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:30
91	Maharashtra	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:30
92	Haryana	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:31
93	North East	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:32
94	Tamilnadu (including Chennai)	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:33
95	Uttar Pradesh (East)	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:33
96	Assam	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:34
97	Gujarat	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:34
98	Himachal Pradesh	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:35
99	Rajasthan	Loop Telecom Private Ltd.	6-Sep-2007	11/1/2008	9:35

100	Andhra Pradesh	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:43
101	Assam	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:44
102	Bihar	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:44
103	Delhi	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:45
104	Gujarat	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:45
105	Haryana	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:45
106	Himachal Pradesh	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:45
107	Jammu & Kashmir	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:46
108	Karnataka	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:46
109	Kerala	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:46
110	Kolkata	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:46
111	Madhya Pradesh	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:47
112	Maharashtra	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:50
113	Mumbai	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:50
114	North East	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:51
115	Orissa	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:51
116	Punjab	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:52
117	Tamilnadu (including Chennai)	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:52
118	Uttar Pradesh (East)	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:52
119	Uttar Pradesh (West)	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:52
120	West Bengal	Shyam Telelink Limited	25-Sep-2007	11/1/2008	9:53

Investigation has revealed that as per the ill-conceived design of distribution of LOIs and receipt of LOI compliance / Entry Fee, etc., applicant company representatives were required to rush to the reception area of the Sanchar Bhawan at Ground Floor, after receiving the LOIs, for submission of LOI compliance / Entry Fee, etc.. As a result of the said conspiracy, M/s Swan Telecom Pvt. Ltd. was the first to submit compliances for Delhi (where spectrum was limited for one licensee only) and Mumbai circles; and M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) were able to get priority in all circles over many other applicants which had applied much before it. This desperate race to the reception area led to a lot of chaos, which also resulted in a situation that physical fitness of the representatives became the main deciding factor for priority in submission of compliance of LOIs and entry fee, etc., making a mockery of the first-come-first-served policy. This design certainly benefitted those in

criminal conspiracy and led to incidental gains/losses to others. In this manner the whole process of allocation of LOIs and licences was vitiated and arbitrary in nature.

Investigation has also revealed that the accused persons connected with M/s. Swan Telecom Pvt. Ltd. and M/s Unitech Ltd. had prior knowledge of such ill-conceived design of first-come-first-served process and had been keeping the demand drafts ready since early November, 2007 and October, 2007 itself, respectively. M/s Swan Telecom Pvt. Ltd. had got first FBG & PBGs made for 2 circles as early as first half of November, 2007. It was subsequently changed to Delhi and Mumbai, in view of the advance knowledge that spectrum was limited in metros especially in Delhi circle. The first manifestation of the knowledge of M/s Swan Telecom about the manner in which the policy shall be implemented is seen in the fact that M/s Swan Telecom Pvt. Ltd. applied to Punjab National Bank for loan as early as in October, 2007 and mentioned that the Demand Drafts would be required at a very short notice as these are required to be deposited as soon as the LOI would be issued. Similarly, M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) also had its DDs ready by 10th October 2007, even before the decision was taken to call the applicants for the issuance of LOIs by twisting the FCFS policy giving priority for issue of licences to those who complied with LOI conditions first. In December 2007, through media reports, such indications became public and most of the companies were thereafter, keeping their demand drafts / PBG/FBG, etc. ready for depositing whenever called for.

C. Dual Technology approvals and Spectrum Allocation :

Investigation has revealed that TRAI in its recommendations dated 28.08.2007 had, at para 6.21 and 6.23, mentioned as under:-

"6.21 : A licensee using one technology may be permitted on request, usage of alternate technology and thus allocation of dual spectrum. However such a licensee must pay the same amount of fee which has been paid by existing

licensees using the alternative technology or which would be paid by a new licensee going to use that technology”

“6.23 : Regarding inter se priority for spectrum allocation, when the existing licensee becomes eligible for allocation of additional spectrum specific to the new technology, such a licensee has to be treated like any other existing licensee in the queue and the inter se priority of allocation should be based on the criteria that may be determined by the Department of Telecommunications for the existing licensee.”

Investigation has revealed that Telecom Commission approved the said recommendations and the same were accepted by MOC&IT on 17.10.2007. However, accused A Raja, while approving the recommendations, noted that “in view of above approvals, pending requests of existing UASL operators for use of dual/alternate wireless access technology should be asked to pay the required fees. Allocation of spectrum in alternate technology should be considered from the date of such requests to WPC subject to payment of required fees”.

Accordingly, on 18.10.2007 accused A Raja accorded in principle approvals for dual technology spectrum to M/s. Reliance Communications Limited, M/s HFCL Infotel Limited and M/s Shyam Telelinks Limited, and mentioned in his note that “...for allocation of spectrum for dual technology, the date of payment of required fee should determine the seniority”.

Investigation has also revealed that as soon as the DOT accepted TRAI recommendations on allowing allocation of Dual Technology spectrum and this decision was notified on 19.10.2007 through a press release, M/s. Tata Teleservices Ltd. (TTSL) and M/s Tata Teleservices (Maharashtra) Ltd. (TTML), existing CDMA operators in many circles, also submitted applications for dual technology spectrum on 19.10.2007. Before, the said press release was issued, M/s Reliance Communications (for 18 circles), M/s HFCL Infotel Limited (1 circle) and M/s Shyam Telelinks Limited (1 circle) had already been granted in-principle approvals for dual technology spectrum on 18.10.2007. During the same time Cellular Operators' Association of India (COAI) & others filed a petition no. 286 of 2007 before Telecom

Disputes Settlement & Appellate Tribunal (TDSAT) challenging the policy on dual technology.

Investigation has revealed that when this request of M/s TTSL / M/s TTML was put up for in principle approval to use GSM technology under UAS Licence, accused A Raja dishonestly clubbed this issue also with the letter dated 26.10.2007 being sent to Ministry of Law & Justice for guidance as to in which manner the pending applications of new licencees and dual technology spectrum be decided. In fact, as per the approval of the TRAI recommendations by Telecom Commission, which was also approved by the MOC&IT earlier; the matter regarding inter-se seniority of the applicants for dual technology spectrum and spectrum for new licencees had already been decided, and the dual technology spectrum applicants were to be treated at par with the existing licencees, and not with applicants for new licencees.

As mentioned in the report earlier, Ministry of Law & Justice had, on this, opined on 01.11.2007 that the matter be referred to Empowered Group of Ministers. However, the same was also not acceded to by accused A Raja, as already elaborated in above paras. During the proceedings of petition no. 286 of 2007, filed by Cellular Operators Association of India (COAI) & Others, DOT filed an affidavit on 13.11.2007 before TDSAT. In this affidavit the details about criteria for deciding inter se priority of allocation of spectrum, in consonance with the TRAI recommendations as accepted by the DOT, was again spelt out. These details were also spelt out in a note dated 14.12.2007 about the proceedings dated 12.12.2007 before TDSAT and a list of the action points reflecting the position of processing of pending requests of M/s TTSL/M/s TTML for usage of dual technology over the processing of pending applications for grant of new UAS Licences was also approved. This position was also communicated to the WPC Wing of DOT, which is the custodian of frequency spectrum and is required to allocate spectrum to various licencees as per policy.

Investigation has revealed that accused A Raja, in conspiracy with other accused persons, did not accord in-principle approval to M/s TTSL / M/s TTML till 10.01.2008, when LOIs for new licences were distributed to applicants till 25.09.2007, and dishonestly clubbed the distribution of in-principle approvals to

TTSL/TTML with distribution of LOIs for new licences. Accordingly, M/s Tata Tele Services Limited & TTML were given in principle approvals on 10.01.2008 for use of dual technology in 20 Circles, along with the LOIs given to new licencees. In para 2 of the letter conveying in principle approval it has been clearly mentioned that date of receipt of payment of required fee shall determine the date of priority for allocation of spectrum. Investigation has revealed that TTSL and TTML deposited the requisite fee on the same day, i.e. 10.01.2008 and also submitted applications for allocation of startup GSM spectrum in 20 service areas on the same day i.e. 10.01.2008 with WPC wing of DOT.

Investigation has revealed that these applications were received by DOT at the reception counter and further delivered in the office of Wireless Advisor. However, the applications were thereafter not traceable and have remained untraced, except one application for Karnataka Circle, which has been traced by the WPC officials during investigation, in the WPC office itself.

Investigation has also revealed that vide a note dated 14.01.2008, Under Secretary (AS-III) put up the case of TTSL/TTML for amendment of UAS Licence condition 43.5(iv), allowing TTSL/TTML the use of dual technology spectrum, on a pattern similar to the one issued to M/s Reliance Communications Ltd earlier. This file reached the office of accused A Raja for his approval on 23.01.2008. However, accused A Raja, in furtherance of his conspiracy with accused Shahid Balwa & Vinod Goenka of M/s Swan Telecom Pvt. Ltd. and accused Sanjay Chandra of M/s Unitech Ltd., and to wrongly benefit M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) and M/s Swan Telecom Pvt. Ltd. kept the file pending with him till 27.02.2008. In pursuance of this conspiracy, accused A Raja, in the meantime, approved signing of new licences w.e.f. 26.02.2008. M/s Swan Telecom Pvt Ltd signed the licence for Mumbai & Delhi service areas on 26.02.2008 and applied for allocation of spectrum on 27.02.2008. It was only after ensuring the receipt of applications of M/s Swan Telecom Pvt. Ltd. in Delhi & Mumbai service areas before WPC wing, accused A Raja approved the amendments in the UAS Licence of M/s Tata Tele Services Limited / TTML on 27.02.2008. Investigation has also revealed that even this amendment was formally communicated to M/s Tata Tele Services Limited / M/s TTML on 04.03.2008, only

after M/s Unitech Ltd. group companies had also signed all the licences and applied for the spectrum in various circles. The amended UAS license agreement between Tata Teleservices Ltd. (TTSL) / TTML and DOT was signed on 04.03.2008. The authorized person for TTSL/TTML as abundant precaution submitted another set of application in WPC Cell for allocation of GSM spectrum in all the applied circles also referring to the date of their first application dated 10.01.2008. However, accused public servants, in conspiracy with aforesaid accused private persons / companies, dishonestly treated this date of 05.03.2008 as the date of seniority for allocation of spectrum for M/s TTSL /TTML instead of the date of making payments i.e. 10.01.2008, which was to be treated as priority as per accused A Raja's decision earlier. Even as per the policy guidelines for dual technology and approvals of Telecom Commission and DOT, M/s Tata Tele Services Limited/ TTML being existing telecom operators were to be treated as existing licencees and had inter se priority over the new applicants.

Investigation has revealed that WPC Wing allocated spectrum to all the applicants in 8 circles, where sufficient spectrum was available to accommodate all the new licencees as well as TTSL / TTML, simultaneously. However, the issue of inter-se priority became relevant & critical for the remaining circles, where sufficient spectrum was not available to cater to the requirement of all the new licencees & dual technology spectrum seekers. There were demands for spectrum from existing telecom operators, dual technology spectrum seekers and new operators in the remaining 14 circles including Delhi, where only one applicant could be accommodated for want of adequate spectrum. Investigation has revealed that the concerned officers of WPC were being persistently pestered by all accused public servants to process applications in these circles, especially for Delhi, in the order of their applications for spectrum were received in WPC, while treating the date of application of TTSL/TTML as 05.03.2008, instead of 10.01.2008. Investigation has also revealed that when the file for spectrum allocation in Delhi circle was not processed in this manner, accused Siddhartha Behura and accused R K Chandolia caused the transfer of two concerned officers of WPC, who were not prepared to tow their line, out of the WPC wing on 25.08.2008. In pursuance of conspiracy with accused Shahid Balwa & Vinod Goenka of M/s Swan Telecom Pvt. Ltd. and accused Sanjay Chandra of M/s Unitech Ltd., accused A Raja and other accused public

servants forced the then Wireless Advisor to put up a note in the aforesaid manner and on his putting up such a note, accused Siddhartha Behura and accused A Raja approved allocation of spectrum to M/s Swan Telecom Pvt. Ltd. in Delhi circle on very next day, i.e. 26.08.2008. This became a precedent for remaining circles also wherein M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) got priority over few other companies which applied earlier, depriving M/s Tata Tele Services Limited / TTML of their priority over other new licencees in various circles.

Investigation has, therefore, revealed that accused A Raja in conspiracy with accused Siddhartha Behura, accused R K Chandolia, accused Shahid Balwa & Vinod Goenka of M/s Swan Telecom Pvt. Ltd. and accused Sanjay Chandra of M/s Unitech Ltd., allocated spectrum to M/s Swan Telecom Pvt. Ltd. in Delhi circle unreasonably depriving M/s Tata Tele Services Limited and M/s Spice Communications, which were having priority over M/s Swan Telecom Pvt. Ltd., in terms of the Dual Technology approvals and seniority of new applicants as per date of application, respectively. Investigation has also disclosed that the manner in which spectrum was allocated in Delhi circle was subsequently treated as a precedent for other circles, and M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) got spectrum in many circles ahead of M/s. Loop Telecom, M/s. Tata Teleservices (dual technology), M/s. S. Tel and M/s. Swan Telecom. In telecom circles of Gujarat, West Bengal, UP (East), UP (West), Punjab, Haryana, Assam, J & K, Bihar and Himachal Pradesh, M/s. Unitech group companies got spectrum in full in some telecom circles and most of the areas in other circles, ahead of other companies, which had applied for the UAS license prior to M/s Unitech group companies but got partial spectrum / spectrum in fewer districts only in these circles. The allocation of new UAS licences and spectrum, in this manner, was in stark violation of the TRAI recommendations dated 20.02.2003 and 27.10.2003 and NTP-99, which mandated that applications for CMTS / UAS licences could be considered only if sufficient spectrum was available for existing operators as well as new applicants. Had this principle been followed, in most of the aforementioned telecom circles M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it) would not have got any license at all and M/s Swan Telecom Pvt. Ltd. would not have got UAS

license for Delhi service area. Investigation has also disclosed that after accused A Raja demitted the office of MOC&IT, DOT has now admitted the case of priority of TTSL/TTML for spectrum over new UAS licensees.

Investigation has also disclosed accused R K Chandolia rented his residential property C-6/39 second floor, Safdarjung Development Area, New Delhi to M/s Associated Hotels Pvt. Ltd. (a sister concern of M/s. D B Realty Ltd.) on 03.03.2009, on a monthly Rs. 63,000/-.

Investigation has also revealed that accused A Raja and Siddhartha Behura, in conspiracy with accused Shahid Balwa & Vinod Goenka of M/s Swan Telecom Pvt. Ltd. asked DOT officers to put up a note recommending allowing intra service roaming arrangements between two service providers in a circle by amending the UASL terms and conditions. The said accused persons so asked, despite the fact that on 24.04.2008 Chairman, TRAI, in a letter to accused Siddhartha Behura, then Secretary (Telecom) conveyed the need for compliance of the contents of section 11 of the TRAI Act, especially as regards for issue of licences to new service providers and amendments to the terms and conditions of the license of existing service providers. It was also clarified by TRAI that the terms and conditions of inter-connectivity, including intra circle roaming arrangement, between the service providers was also critical condition of the license conditions and for that as per the provisions of the section 11 of the Act, the recommendations of the Authority had to be obtained, before the same were amended.

However, accused A Raja and other public servants, unauthorisedly proceeded further to amend the access services license to make it mandatory for all service providers to allow Intra-Service Area Roaming arrangements with other service providers. However, the officers did not put up the note in a similar manner and instead recommended to allow mutual commercial arrangements for Intra service area without any force on the operators to enter into Intra service area facility. Accused A Raja approved the same on 11.06.2008 in DOT file no. 842-725/2005-VAS(Pt.)-I on subject "Amendment to Access Service Licences reg Intra-Service Area Roaming". He also, while approving the note, directed that Secretary may discuss with Industry for making it mandatory. Investigation has revealed that

later out of many applicants for intra circle roaming arrangements with BSNL, only M/s Swan Telecom Pvt. Ltd. could sign a Memorandum of Understanding with BSNL for such an arrangement on 13.10.2008.

Investigation has also revealed that M/s Swan Telecom Pvt. Ltd., despite being the only company to receive spectrum in Delhi service area, among new licencees, since 2008 did not roll-out its services and failed to meet the roll-out obligations, and continued to receive the patronage of accused A Raja till he demitted the office of MOC&IT.

Investigation has revealed that M/s Etisalat (Mauritius) Ltd., a group company of M/s Emirates Telecommunications Corporation (ETISALAT) of UAE, subscribed to 11,29,94,228 shares of the M/s Swan Telecom Pvt. Ltd. on 17.12.2008 for a total consideration of Rs.3228,44,61,409/- (Rs. 3228 Crores). Similarly, M/s Genex Exim Ventures Pvt. Ltd. subscribed to 1,33,17,245 shares of the company on 17.12.2008 for a total consideration of Rs.380,49,73,846/- (Rs. 380 Crores). Investigation revealed that this amount of Rs. 380 Crores was arranged by M/s Genex Exim Ventures Pvt. Ltd. through M/s ETA Star Infrastructure Ltd. having its account at Oriental Bank of Commerce, Goregaon, Mumbai. Investigation further revealed that M/s Al Waha Investments Ltd., Dubai, UAE, remitted Rs. 380 crores from Mashreq Bank, Dubai on 17.12.2008 in favour of M/s ETA Star Infrastructure Ltd. at its aforesaid account. This amount was transferred by M/s ETA Star Infrastructure Ltd. to M/s Genex Exim Ventures Pvt. Ltd., which used the same for taking aforesaid equity in M/s Swan Telecom Pvt. Ltd. As per the said subscriptions, price of each share of Rs. 10/- comes at Rs. 285.7178, meaning thereby a premium of Rs.275.7178 on each share. The total no. of shares held by M/s Tiger Trustees Pvt. Ltd., having 90.1% equity of M/s Swan Telecom Pvt. Ltd., which was wholly held by DB group, prior to entry of M/s Etisalat (Mauritius) Ltd. was 10,22,19,000. At a premium of Rs.275.7178, the total value of shares held by M/s Tiger Trustees Pvt. Ltd., held by Dynamix Balwa group of companies promoted by accused Shahid Balwa and Vinod Goenka, comes at Rs.2818.3597 Crores.

Investigation has also revealed that a subscription agreement dated 28.10.2008 between various Unitech group companies, their holding companies and

M/s Telenor Asia Pte. Ltd. & Telenor Mobile Communications AS, Telenor agreed to infuse extra equity into the companies for 66.5% stake. The pre money enterprise value of the company was pegged at Rs. 4400 Crores of which Rs. 1146.7 Crores was external debt and Rs. 773 Crore as shareholders loans. Net pre money equity value of the promoters was treated as Rs. 2480 Crores. Accordingly the investment consideration of Telenor was kept at Rs. 5093 Crores. Actual investment of promoters in equity was Rs. 138 Crores, which was valued at Rs. 2480 Crores, indicating a gain of Rs. 2342 Crores to promoters of M/s Unitech Wireless.

Investigation also revealed that M/s Telenor Asia Pte Ltd. subscribed to 340,532,767 shares of the company during the period 20.03.2009 to 22.02.2010, in 6 tranches. These shares were allotted at total consideration of Rs.6135,62,53,270/- (Rs. 6135 Crores). Price of each share of Rs. 10/- comes at Rs. 179.731, meaning thereby a premium of Rs.169.731 on each share. The total no. of shares held by Unitech group in 8 group companies of Unitech Wireless, prior to agreement with Telenor was 138000000. At a premium of Rs.169.731, the total premium amount / gain to the Unitech group as such comes at Rs.2342.2878 Crores, which is the same amount as arrived at according to the agreement.

D. Eligibility of Companies

Investigation has revealed that in January - February, 2007 accused Gautam Doshi, Surendra Pipara and Hari Nair in furtherance of their common intention to cheat the Department of Telecommunications, structured / created net worth of a company M/s Swan Telecom Pvt. Ltd., out of funds arranged from M/s Reliance Telecom Ltd. or its associates, for applying to the DOT for UAS Licences in 13 circles, where M/s Reliance Telecom Ltd. had no GSM spectrum, in a manner that its association with M/s Reliance Telecom Ltd. may not be detected, so that DOT could not reject its application on the basis of clause 8 of the UASL Guidelines dated 14.12.2005 . The clause 8 of the UASL guidelines is as mentioned below :-

"No single company/ legal person, either directly or through its associates, shall have substantial equity holding in more than one LICENSEE Company in the same service area for the Access Services namely; Basic, Cellular and

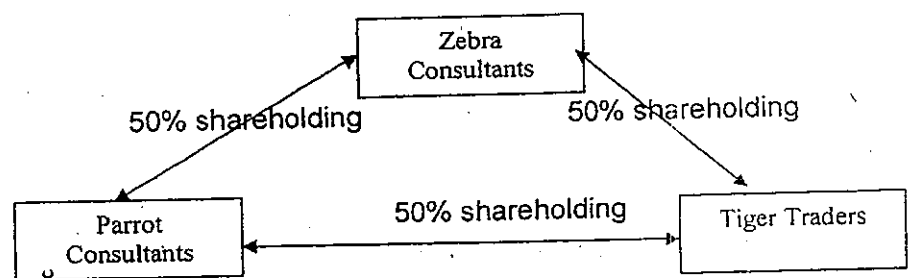
Unified Access Service. 'Substantial equity' herein will mean 'an equity of 10% or more'. A promoter company/ Legal person cannot have stakes in more than one LICENSEE Company for the same service area. A certificate to this effect shall be provided by the applicant's company Secretary along with application."

In pursuance of the said common intention of accused persons, they structured the stake-holdings of M/s Swan Telecom Pvt. Ltd. in a manner that only 9.9 % equity was held by M/s Reliance Telecom Ltd. (RTL) and rest 90.1% was shown as held by M/s Tiger Traders Pvt. Ltd. (later known as M/s Tiger Trustees Pvt. Ltd. - TTPL), although the entire company was held by the Reliance ADA Group of companies through the funds raised from M/s Reliance Telecom Ltd. etc.

Investigation has disclosed that M/s Swan Telecom Pvt. Ltd. (STPL) was, at the time of application dated 02.03.2007, an associate of M/s Reliance ADA Group / M/s Reliance Communications Limited / M/s Reliance Telecom Limited, having existing UAS Licences in all telecom circles. Investigations has also disclosed that M/s Tiger Traders Pvt. Ltd., which held majority stake (more than 90%) in M/s Swan Telecom Pvt. Ltd. (STPL), was also an associate company of Reliance ADA Group. Both the companies had no business history and were activated solely for the purpose of applying for UAS Licences in 13 telecom circles, where M/s Reliance Telecom Ltd. did not have GSM spectrum and M/s Reliance Communications Ltd. had already applied for dual technology spectrum for these circles. Investigation has disclosed that the day to day affairs of M/s Swan Telecom Pvt. Ltd. and M/s Tiger Traders Pvt. Ltd. were managed by the said three accused persons either themselves or through other officers / consultants related to the Reliance ADA group. Commercial decisions of M/s Swan Telecom Pvt. Ltd. and M/s Tiger Traders Pvt. Ltd. were also taken by these accused persons of Reliance ADA group. Material inter-company transactions (bank transactions) of M/s Reliance Communications / M/s Reliance Telecommunications Ltd. and M/s Swan Telecom Pvt. Ltd. (STPL) and M/s Tiger Traders Pvt. Ltd. were carried out by same group of persons as per the instructions of said accused Gautam Doshi and Hari Nair.

Investigation about the holding structure of M/s Tiger Traders Pvt. Ltd. has revealed that the aforesaid accused persons also structured two other companies

i.e. M/s Zebra Consultancy Private Limited & M/s Parrot Consultants Private Limited. Till April, 2007, by when M/s Swan Telecom Pvt. Ltd. applied for telecom licenses, 50% shares of M/s Zebra Consultancy Private Limited & M/s Parrot Consultants Private Limited, were purchased by M/s Tiger Traders Pvt. Ltd. Similarly, 50% of equity shares of M/s Parrot Consultants Private Limited & M/s Tiger Traders Private Limited were purchased by M/s Zebra Consultancy Private Limited. Also, 50% of equity shares of M/s Zebra Consultancy Private Limited and M/s Tiger Traders Private Limited were purchased by M/s Parrot Consultants Private Limited. These 3 companies were, therefore, cross holding each other in an inter-locking structure, as depicted below, w.e.f. March 2006 till 4th April, 2007:-



Investigation has revealed that in such a cross holding structure, no one is the absolute owner of any company and practically same are controlled by the Directors. The accused Hari Nair, in league with accused Gautam Doshi and accused Surendra Pipara, however dishonestly misrepresented to DOT that M/s Tiger Traders Pvt. Ltd. was held by India Telecom Infrastructure Fund held by Ashok Wadhwa group of companies, when DOT asked clarification about the holdig structure of M/s Tiger Traders Pvt. Ltd. in March, 2007.

Investigation regarding M/s Tiger Traders Pvt Limited (TTPL) has also disclosed that the source of funds to raise its equity and also to subscribe shares of other companies has also come from group companies of Reliance ADA group. Further the source of funds i.e. Rs. 3 crores during January 2007 and Rs. 95.51 Crores during March, 2007, utilized by M/s Tiger Traders Pvt Ltd to subscribe to majority equity of M/s Swan Telecom Pvt. Ltd. (STPL), has been arranged through the group companies of Reliance ADA Group. Moreover, a sum of Rs.992 crores,

which constituted bulk of the networth of M/s.STPL, was also paid by Reliance Telecom Ltd. under the garb of subscribing the preference share of STPL. The preference share were purchased at an abnormally high premium of Rs.999 /- per share (face value Rs.1) of the company which had no business history. Moreover, the entire amount of Rs.992 crores was immediately returned by STPL to Reliance Communication Ltd. showing an advance to a purchase order. Investigation revealed that the said transactions were carried out at the instructions of accused Gautam Doshi and Hari Nair. During the relevant period, the board meetings of M/s Swan Telecom Pvt. Ltd. (STPL) & TTPL were chaired by accused Surendra Pipara and he was party to all decisions taken. It shows that till October, 2007, M/s Swan Telecom Pvt. Ltd. was an associate of companies of Reliance ADA Group, including M/s Reliance Telecom Ltd. / Reliance Communications Ltd., which held pan India telecom licenses. As such on the date of application M/s Swan Telecom Pvt. Ltd. (STPL) was ineligible as its application was in violation of clause 8 of UASL guidelines dated 14.12.2005 regarding substantial equity. Similarly, investigation has also disclosed that the other companies including M/s Swan Consultants Services Pvt. Ltd., M/s AAA Consultancy Services Co. Pvt. Ltd., M/s ADAE Ventures Pvt. Ltd., M/s Giraffe/Siddhartha Consultancy Services Pvt. Ltd., M/s Sonata Investments Ltd., M/s Zebra Consultancy Services Pvt. Ltd., M/s Parrot Consultants Services Pvt. Ltd. etc., which have been used to transfer funds / hold M/s Tiger Traders Pvt. Ltd. (TTPL) and M/s Swan Telecom Pvt. Ltd. (STPL), were also associate companies of Reliance ADA Group.

Investigation has, therefore, disclosed that accused Gautam Doshi, Group President of Reliance ADA Group and director / board member, M/s Reliance Telecom Ltd., with others has played an active role in structuring and funding of M/s Swan Telecom Pvt. Ltd. (STPL), TTPL and other companies. Further all the important decisions regarding transfer of funds to TTPL / M/s Swan Telecom Pvt. Ltd. (STPL) through various companies were taken by him. Further the commercial decisions on behalf of M/s Reliance Telecom Ltd. including investments in M/s Swan Telecom Pvt. Ltd. (STPL), transfer of shares to Delphi Investment Ltd., etc have also been taken by him.

Investigation has, therefore, also disclosed that accused Hari Nair, in collusion with accused Gautam Doshi and Surendra Pipara structured different companies. He has been instrumental in transferring of funds of Rs. 95.51 Crores and Rs. 3 Crores to TTPL and Swan Telecom Pvt. Ltd. (STPL). Besides being company Secretary of Swan Telecom Pvt. Ltd. (STPL) Ltd., he was also on the board to various companies and also the authorized bank signatory. As Company Secretary of M/s Swan Telecom Pvt. Ltd. (STPL), he in league with accused Gautam Doshi and Surendra Pipara, dishonestly and fraudulently submitted false information to DOT under his signatures regarding its share holding by different companies thereby concealing the material facts, which could lead DOT to consider the company as ineligible for getting a UAS license. He along with accused Gautam Doshi was party to different commercial decisions taken on behalf of different companies. As such he has played active role in management of day to day affairs of different companies including Swan Telecom Pvt. Ltd. (STPL) and TTPL.

Accused Surendra Pipara in league with accused Gautam Doshi and Hari Nair has prepared false minutes of board meetings of M/s Swan Telecom Pvt. Ltd. (STPL) and TTPL showing appointment of one Sh. Ashok Wadhwa as Director and his presence during the meetings. He has also presided over board meetings of M/s Swan Telecom Pvt. Ltd. (STPL) when the crucial decisions regarding raising its equity, allotment of shares, applications to DoT etc. were taken by M/s Swan Telecom Pvt. Ltd. (STPL). Similarly he chaired the Board Meetings of TTPL when the company subscribed majority equity in M/s Swan Telecom Pvt. Ltd. (STPL) and funds for the purpose were arranged. As Director in STPL and TTPL, he was also representing the interests of Reliance Telecom Ltd. Therefore, he along with accused Gautam Doshi and Hari Nair were managing day to day affairs of the companies during the relevant period i.e. January, 2007 to March, 2007.

During investigation Ministry of Corporate Affairs has also confirmed vide a report of Registrar of Companies, Mumbai that M/s Swan Telecom Pvt. Ltd. was an associate of M/s Reliance Telecom Ltd and M/s Reliance Communications Ltd.. Investigation has disclosed that M/s Reliance Telecom Ltd., which is a subsidiary of M/s Reliance Communications Ltd had invested in M/s Swan Telecom Pvt. Ltd. (STPL) in form of minority equity stake and preference shares. However, the

Investigation has also revealed that certain complaints alleging substantial stake of Reliance ADA group in M/s Swan Telecom Pvt. Ltd. (STPL) as on date of application, were received by DOT. However, the same were not considered by the accused Siddhartha Behura and accused A Raja and M/s Swan Telecom Pvt. Ltd. (STPL) was declared eligible for issuing LOI for UAS Licence.

Investigation has, therefore, revealed that accused A Raja & Siddhartha Behura, in criminal conspiracy with accused Shahid Balwa and Vinod Goenka, Directors of M/s Swan Telecom Pvt. Ltd., abused their official position and without initiating any enquiry suggested by LF branch in respect of complaints received against M/s Swan Telecom Pvt. Ltd. and thereby allowed the said ineligible company to get LOI, UAS Licence and spectrum, despite there being sufficient material to raise doubt about eligibility of M/s Swan Telecom Pvt. Ltd. for getting UAS Licence. It is worthwhile to mention that for being ineligible on date of application, many other applicants were denied Letters of Intent and thus no licences could be issued to those companies which were ineligible on the date of application.

Investigation has also disclosed that Sanjay Chandra, Managing Director, M/s Unitech Limited, who was in-charge of, and was responsible to, the company for the conduct of its business especially in the telecom area, also joined the conspiracy with accused A Raja and R K Chandolia to cheat DOT for grant of licence in fraudulent manner, and in pursuance of the same M/s Unitech group companies filed applications for UAS Licences in all the 22 circles. As a result the applications of Unitech group companies were included in the consideration zone for award of licences despite inadequate spectrum in FCFS licencing regime. Investigation has disclosed that the said Unitech group companies, having object clauses of reality, did not even have their object clauses under Memorandum & Articles of Association amended before applying to enter into business of telecom. M/s Unitech group companies fraudulently concealed critical information in this regard to avoid rejection for being ineligible. Investigation has revealed that M/s Unitech group companies acquired eligibility after the grant of UAS Licences.

Investigation has also revealed that several other companies like M/s Swan Capital, M/s Cheetah Corporate Services Pvt. Ltd. and M/s Parshwanath Developers

had been rejected on these very grounds of not being eligible for want of Object Clause regarding telecom as business on the date of application. The criminal conspiracy resulted in cheating of the DoT in fraudulent allotment of UAS Licence/ spectrum to an ineligible companies through abuse of the official position and undue gain passed on to the company.

Investigation has, thus, revealed that both the applicant companies viz. M/s Swan Telecom Pvt. Ltd. and M/s Unitech Wireless (Tamilnadu) Pvt. Ltd. (representing all the 8 Unitech group companies later merged into it), unduly favoured, were ineligible as on date of application.

E. CHEATING THE GOVERNMENT EXCHEQUER BY NON-REVISION OF ENTRY FEE

Investigation has also disclosed that there were many triggers which warranted a thoughtful reconsideration, by accused A Raja, then MOC&IT of the DOT policy and precedents regarding allocation of new UAS licences at the entry fee discovered through auction in the year 2001. In the year 2007-08, when the dual technology spectrum was allotted by him to few companies and also new UAS licences were issued to as many as 122 applicants, the circumstances had entirely changed since the year 2001. In such changed circumstances, elaborated in the following paras, the new UAS Licences as well as dual technology spectrum were required to be either auctioned or allocated on established first come first served principle at a revised entry fee :-

- I. The number of entire licences issued in as many as 4-5 years during 2003 to 2007 were miniscule as compared to the number of licences proposed to be issued in 2008, which finally came about to be 122 after deciding the remaining 110 applicants as ineligible.
- II. The telecom sector had undergone tremendous growth and the parameters like teledensity, Adjusted Gross Revenues, etc. had undergone phenomenal rise since the year 2001 when the last auction of licences took place.

III. In few circles, e.g. Bihar, Orissa, W.B. & A.N and Assam, etc. where either no bidders came forward during auction in 2001 or were not having sufficient number of operators, the prices of basic telecom license were taken as UAS Licence fee in the year 2003 for migration to UAS Licensing regime. In these circles the UAS Licence fee was fixed in the range of Rs.1 to 5 Crores as compared to other circles where the entry fee was in the range of Rs. 20 – 206 Crores. The entry fee needed to be compulsorily revised in these circles to reflect tremendous change in circumstances in these circles.

IV. Ministry of Finance strongly recommended the revision of spectrum fee for the dual technology entry fee, vide a letter dated 22.11.2007, which was pegged at the same prices as for the entry fee for new licences. The Finance Secretary mentioned - "it is not clear how the rate of Rs. 1600 crore, determined as far back as in 2001, has been applied for a licence given in 2007 without any indexation, let alone current valuation. Moreover, in view of the financial implications, the Ministry of Finance should have been consulted in the matter before you had finalized the decision. I request you to kindly review the matter and revert to us as early as possible with responses to the above issue. Meanwhile, all further action to implement the above licences may please be stayed."

V. On the issue of LOIs for grant of new UAS licences, Ms. Manju Madhavan, then Member (Finance) also put up a note dated 30.11.2007 for consideration of the MOC&IT as under :

"We are in receipt of communication dated on 22/11/2007(PUC17/C) from the Department of Economic Affairs wherein they have expressed concerned that we are offering the rates obtained in 2001 as entry fee even in 2007, without any indexation/current valuation. They want to be consulted in the matter. Though the communication is in the context of crossover license and a reply has been sent it is equally applicable in the present context. ... Since the rates have not been revised and the Finance Secretary has raised the issue, I am of the view that this issue should be examined in depth before any further

steps are taken in this matter. Para 3 of the PUC (17/C) may also be considered."

- VI. By its own admission, the DOT sent a reference dated 26.10.2007 to Ministry of Law & Justice seeking an opinion of the Ld. Attorney General, because the situation was unprecedented. In this reference it was mentioned that :-

"There has been a spurt in the number of applications received by DOT for grant of UAS licences after receipt of TRAI recommendations.... To deal with the situation for grant of new licences as well as grant of approval for use dual technology spectrum to the existing operators, a number of alternatives are available..... It is requested that the opinion of Ld. Attorney General of India/Solicitor General of India may please be communicated on this issue so as to enable DOT to handle such unprecedented situation in a fair and equitable manner which would be legally tenable."

- VII. The Law Ministry, on this issue, opined that – "in view of the importance of the case and various options indicated in the Statement of the case it is necessary that the whole issue is first considered by an empowered Group of Ministers & in that process legal opinion of AG can be obtained".

- VIII. Hon'ble Prime Minister sent a letter dated 02-11-2007 to MOC&IT and mentioned that - "A number of issues relating to allocation of spectrum have been raised by telecom sector companies as well as in sections of the media. Broadly, the issues relate to enhancement of subscriber linked spectrum allocation criteria, permission to CDMA service providers to also provide services on the GSM standard and be eligible for spectrum in the GSM service band, and the processing of a large number of applications received for fresh licences against the backdrop of inadequate spectrum to cater to overall demand..... The key issues are summarized in the annexed note. I would request you to give urgent consideration to the issues being raised with a view to ensuring fairness and transparency and let me know of the position before you take any further action in this regard". In the Annexure to said letter one of the issues raised was – "In order that spectrum use efficiency

gets directly linked with correct pricing of spectrum, consider (i) introduction of a transparent methodology of auction, wherever legally and technically feasible, and (ii) revision of entry fee, which is currently benchmarked on old spectrum auction figures."

- IX. TRAI had also deliberated in the para 2.73 of its recommendation dated 28.08.2007 that "in today's dynamism and unprecedented growth of telecom sector the Entry Fee determined then is also not the realistic price for obtaining a license. Perhaps it needs to be re-assessed through a market mechanism".

Investigation has disclosed that accused A Raja, then MOC&IT, despite the above mentioned repeated suggestions from various corners of the Government for the revision of Entry Fee to be charged from the new UAS Licencees & Dual technology applicants, deliberately and dishonestly did not consider auction or revision of entry fee, and gave away licences at same fee which was discovered in 2001, in criminal conspiracy with Sanjay Chandra, Managing Director, M/s Unitech Ltd., M/s Unitech Wireless (Tamilnadu) Pvt.Ltd. (representing all the 8 Unitech group companies later merged into it), accused Shahid Balwa, accused Vinod Goenka and M/s Swan Telecom Pvt. Ltd. Consequent to this criminal conspiracy, he deprived the Govt. exchequer of possible revenues which could have accrued, even retaining the level playing field for the new operators. Accused A Raja has falsely taken the shelter under the extant policies to award licences at the entry fee applicable for 4th cellular operator as determined in 2001. However, no material could be collected during the investigation conducted so far that other UASL applicant companies also conspired with accused A Raja and other accused public servants not to enhance the entry fee.

Investigation has revealed that Ministry of Finance deliberated the matter regarding revision of entry fee and spectrum pricing with Department of Telecommunications in various meetings during 2007-2008. Later accused A Raja, then MOC&IT went ahead to allocate new licences at an entry fee discovered through auction in 2001, despite the suggestions of Ministry of Finance to the contrary. Later, the Ministry of Finance raised the issue of revising spectrum charges

for excess allocation of 2G spectrum, as DOT contested that it was now contractually obliged to issue contracted amount of spectrum to new LOI holders. At this stage the price of spectrum suggested by Ministry of Finance, and agreed to in principle by the Department of Telecommunications, was a price indexed from the entry fee discovered in 2001 on the basis of change in Adjusted Gross Revenue (AGR) per MHz per year during the years 2002-03 to 2007. The change in the Adjusted Gross Revenue (AGR) per MHz per year during the years 2002-03 to 2007 was pegged at around 3.5 times by the Department of Telecommunications during this time, and is an appropriate & preferred parameter for indexation, as suggested by TRAI also later in its recommendations dated 11.05.2010.

Based on growth in Adjusted Gross Revenue (AGR) per MHz per year during the years-2002-03 to 2007, which grew by 3.5 times during this time, additional revenue of around Rs. 22,535.6 Crores in respect of entry fee of new UAS licences granted by accused. A Raja to various applicants and Rs. 8,448.95 Crores in respect of fee paid by Dual Technology users, totaling to Rs.30,984.55 Crores could have accrued to the Government exchequer.

During investigation it was learnt that TRAI has appointed an expert committee to calculate the current price of the spectrum on technical and commercial parameters, in continuation of its aforementioned recommendations dated 11.05.2010 on "Spectrum Management and Licensing Framework". CBI also sent a letter dated 19.01.2011 requesting the Secretary, TRAI that the expert committee so appointed by TRAI may also be asked to work upon such prices for all telecommunication circles for various years from 2001 to 2008 on yearly basis. TRAI has conveyed this request to the expert group as communicated to CBI vide TRAI letter no. 103/6/2010-MN/53 dated 27.01.2011. The report in this respect is yet to be received from TRAI.

Investigation has also revealed that in furtherance of the said conspiracy, M/s Dynamix Realty, a partnership firm of M/s DB Realty Ltd. and other DB Group companies, paid Rs 200 crores to M/s Kalaignar TV Pvt. Ltd. during December 2007 to August 2009, following a circuitous route through M/s Kusegaon Fruits

Vegetables Pvt. Ltd. (a DB Group company) and M/s Cineyug Films Pvt. Ltd. (DB group holds 49 % equity in it). However, the chain of this, and other suspected quid pro quo (related to M/s Green House Promoters Pvt. Ltd.), needs to be consolidated and further evidence needs to be collected to prove the allegations. Further investigation regarding these transactions, including custodial interrogation of the accused persons, is in progress. A supplementary police report will be filed in the case as soon as possible.

The investigation has established that accused public servants, viz. S/Sh A Raja, then MOC&IT; Siddhartha Behura, then Secretary (Telecom) & RK Chandolia, then PS to MOC&IT, in abuse of their official position, and in conspiracy with Shahid Usman Balwa, Director, M/s Swan Telecom Pvt. Ltd., Vinod Goenka, Director, M/s Swan Telecom Pvt. Ltd. and Sanjay Chandra, Managing Director of M/s Unitech Ltd., gave undue favours to M/s Swan Telecom Pvt. Ltd. (now Etisalat DB Telecom Pvt Ltd) and M/s Unitech Wireless (Tamil Nadu) Private Ltd representing all the 8 Unitech group companies later merged into it), both ineligible applicants for UAS Licences.

Accused persons Sh: Gautam Doshi, Group Managing Director, Reliance ADA Group; Sh. Hari Nair, Senior Vice President of Reliance ADA Group and Sh. Surendra Pipara, Senior Vice President of Reliance ADA Group, on receipt of approval for allocation of dual technology spectrum to M/s Reliance Communications Ltd., knowingly did not withdraw their fraudulent application for UAS Licence and in conspiracy with accused Shahid Usman Balwa and Vinod Goenka, transferred the management and control of M/s Swan Telecom Pvt. Ltd. to them, thereby intentionally aiding / facilitating accused Shahid Usman Balwa and Vinod Goenka to get UAS Licences for M/s Swan Telecom Pvt. Ltd. despite full knowledge that the company was ineligible on date of application, as it was in violation of clause 8 of UASL guidelines dated 14.12.2005.

The aforesaid facts and circumstances constitute commission of offences, during 2007-09, punishable u/s 120-B, 420, 468, 471 of IPC and also punishable u/s 13 (2) r/w 13 (1) (d) of Prevention of Corruption Act 1988 against accused persons viz. S/Sh A Raja, then MOC&IT; Siddhartha Behura, then Secretary (Telecom); Rk Chandolia, then PS to MOC&IT; Shahid Usman Balwa, Director, M/s Swan Telecom

Pvt. Ltd., Vinod Goenka, Director, M/s Swan Telecom Pvt. Ltd.; M/s Swan Telecom Pvt. Ltd. (now Etisalat DB Telecom Pvt Ltd) through its Director; Sanjay Chandra, Managing Director of M/s Unitech Ltd.; M/s Unitech Wireless (Tamil Nadu) Private Ltd through its Director; Sh. Gautam Doshi, Group Managing Director, Reliance ADA Group; Sh. Hari Nair, Senior Vice President of Reliance ADA Group; Sh. Surendra Pipara, Senior Vice President of Reliance ADA Group & M/s Reliance Telecom Ltd. through its Director. Further, following substantive offences are made out :-

- a) Sh. A Raja, then MOC&IT - the offence punishable u/s 420, 468, 471 IPC & 13 (2) r/w 13 (1) (d) PC Act 1988.
- b) Sh. Siddhartha Behura, then Secretary, Department of Telecom - the offence punishable u/s 420 IPC & 13 (2) r/w 13 (1) (d) PC Act 1988.
- c) Sh. R K Chandolia, then PS to MOC&IT - the offence punishable u/s 420 IPC & 13 (2) r/w 13 (1) (d) PC Act 1988.
- d) Sh. Shahid Usman Balwa, Director, M/s Swan Telecom Pvt. Ltd.; Sh Vinod Goenka, Director, M/s Swan Telecom Pvt. Ltd. and M/s Swan Telecom Pvt. Ltd. (now M/s Etisalat DB Telecom Pvt Ltd) through its Director - offences punishable u/s 420/ 468/ 471 IPC
- e) Sh. Sanjay Chandra, Managing Director, M/s Unitech Ltd. and M/s Unitech Wireless (Tamil Nadu) Private Ltd through its Director - offence punishable u/s 420 IPC
- f) Sh. Gautam Doshi, Group Managing Director, Reliance ADA Group; Sh. Hari Nair, Senior Vice President of Reliance ADA Group & Sh. Surendra Pipara, Senior Vice President of Reliance ADA Group & M/s Reliance Telecom Ltd. through its Director - offence punishable under section 109 r/w 420 of IPC.

Sanction for prosecution is not required against the accused A Raja and Siddhartha Behura. Sanction for prosecution against accused R K Chandolia under section 19(1)(a) of the Prevention of Corruption Act, 1988 is enclosed herewith. Accused A Raja, Siddhartha Behura, R K Chandolia and Shahid Balwa are in judicial custody. Accused persons namely Vinod Goenka, Sanjay

Chandra, Gautam Doshi, Surendra Pipara and Hari Nair were not arrested during investigation.

It is, therefore, prayed that cognizance of the aforesaid offences may be taken. Process may be issued for the accused persons to face the trial as per Law.

(16) Refer Notice served Yes/No _____ Date _____
(Acknowledgment to be placed)

(17) Dispatched on : April 2, 2011

(18) No. of enclosures: 3

(19) List of enclosures:

(i) List of relied upon witnesses (64-74)

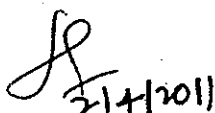
(ii) List of relied upon documents (75-124)

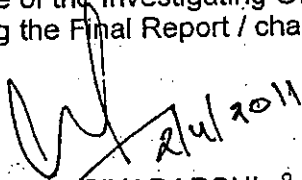
(iii) Sanction for Prosecution against R K Chandolia(125-127)

(If necessary, additional list of documents and witnesses shall be submitted).

Forwarded by Head of Branch
/CBI/ACB/Delhi.

Signature of the Investigating Officer
submitting the Final Report / charge sheet


Name : S K PALSANIA
DIG & HOB : ACB : CBI :
DELHI


Name : VIVEK PRIYADARSHI
SP : ACB : CBI : DELHI

List of Witnesses (RC 45 (A)/2009-DLI)

S. No.	Name & Address of the witnesses	No. pag
1.	Shri Avadhesh Kumar Srivastava (A.K. Srivastava), age 59 years (20.06.1951) S/o Late Sh. Lal ji. Lal Srivastava, R/o 323, Great India Apartment, Sector-6, Plot 15, Dwarka, New Delhi, presently working as Dy. Director General (Access Service), Dept. of Telecommunication, Sanchar Bhawan, New Delhi.	10
2.	Sh. Nitin Jain, S/o Sh. Gyan Prakash Jain, the then Director AS-I, Deptt. of Telecommunications, Sanchar Bhawan, New Delhi presently working as Deputy Director General (Data Services), Deptt. of Telecommunications, M/o Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o House No. E-8, Andrews Ganj Extension, New Delhi - 110049.	10
3.	Sh. Rajesh Kumar Gupta (R K Gupta), S/o Sh. Sābhash Chand Gupta R/o House No. 408-P, Sector-12A, Gurgaon, the then ADG (AS-I), presently working as Director (AS.I), Deptt. of Telecommunication, Sanchar Bhawan, 20, Ashoka Road, New Delhi.	1
4.	Sh. Abhay Shanker Verma (A S Verma), S/o Sh. Shiva Shanker Prasad Verma, R/o 1/402, Millennium Apartments, Plot No. 2, Sector 9, Dwarka, New Delhi, the then Director (AS), Deptt. of Telecommunications, M/o Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi, presently working on deputation as General Manager (P&IS), National Highway Authority of India, G-5 & 6, Sector 10, Dwarka, New Delhi.	
5.	Sh. Sukhbir Singh, age 40 years (09.10.1970) S/o Sh. Pritam Singh present R/o 3/7, New Minto Road Hostel, Block-1, Minto Road, New Delhi-02, Permanent R/o 416, Phase-10, SAS Nagar, Mohali, presently working as Director Vigilance (Tech.), Deptt. of Telecommunications, Room No. 908, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi-01.	
6.	Shri N M Manickam S/o Shri. K M Narayanaran, R/o 13/37- D S Appt., Jafferkanpet, Chennai - 83, the then Director (AS), Deptt. of Telecommunications, M/o Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi, working as General Manager, BSNL Tamilnadu Circle, Chennai.	
7.	Shri K.Sridhara (Kasa Sridhara) S/o Sh. K.Chandrudu, the then Member (Technology) in the Department of Telecommunications, Sanchar Bhawan, New Delhi-01 (since retired). Presently working as Director, School of Computer Services, Delhi Kannada Educational Society, Lodhi Road, New Delhi-110003. R/o A-901, DELL Towers, H.R.C., Professional Hub, ½ Vaibhav Khand, Indrapuram, Ghaziabad.	
8.	Shri Manoj Kumar Khatri (M.K.Khatri) S/o Late Ramchander Khatri, the then Assistant Director (AD), VAS-III Section, presently working as Assistant Director, TERM Cell, Bhopal. R/o H.No. 10, Comfort Enclave, E-8 Extn., Gulmohar Colony, Bhopal	
9.	Shri Ashok Kumar Dhar (A. K. Dhar) S/o Late B.N. Dhar, the then ADG (VAS-I), Ministry of Communications & IT, Deptt. of Telecommunications,	

	Sanchar Bhawan, 20, Ashoka Road, New Delhi. Since retired and Presently residing at RT-318, Royale Tower, Shipra Sun City, Indirapuram, Ghaziabad, U.P. Permanent R/o 389- Shastrinagar, Jammu- 180004.	
10.	Shri Madan Chaurasia S/o Late Shambhoo Chaurasia, Section Officer, AS-I Section (Access Service-I), Room No. 713, Deptt. of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o Flat No. C-2, Om Yamuna Apartments, Radhe Shyam Park, Ghaziabad, UP.	
11.	Sh. B.L. Panwar, Assistant Director (VAS-I), Department of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi.	
12.	Shri Kirthy Kumar S/o Sh. Raymond Dumond, the then Dy. Director General (C&A), Ministry of Communications & IT, Deptt. of Telecommunications, Sanchar Bhawan, 20, Ashoka Road, New Delhi (since retired). Presently residing at B-15, Hudco Place, Andrews Ganj, New Delhi - 49. Permanent R/o F1, Abhinaym Flats, Phase-I, Nolambur, Moggapair, Chennai-56.	
13.	Shri Sudhir Kumar Saxena S/o Sh. J C Saxena, the then Director (T), Ministry of Communications & IT, Deptt. of Telecommunications, Sanchar Bhawan, 20, Ashoka Road, New Delhi. Presently working as Executive Director (Electrical Energy Management), Railway Board, Ministry of Railways, Raisina Road, New Delhi. Presently residing at 14-A, Railway Board Officers Colony, Chanakyapuri, N.Delhi-21. Permanent R/o 27-2B, Aravaliview, Rail Vihar, Sector 56, Gurgaon.	
14.	Shri S E Rizwi S/o Shri Sohail Ahmad the then as well as at present working as Under Secretary (T), Telecommunications, Ministry of Communications & IT, Deptt. of Telecommunications, Room No. 511, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o 801, Tagore Road Hostel, New Minto Road, New Delhi-2. Permanent R/o Bismillah Manzil, Chak Rahmat, (BHEEGO), Darbhanga, Bihar	
15.	Shri Subhash Chander Sharma (S.C.Sharma) S/o Late Harpal Sharma the then UDC, C.R.Section, Department of Telecom, 20, Ashoka Road, New Delhi-01. Presently working as Assistant, C.R.Section, Department of Telecom, 20, Ashoka Road, New Delhi-01. R/o 322/1, Masjid Moth, New Delhi-49.	
16	Shri Adi Ram S/o Sh. Parshadi Lal, the then Section Officer (CR), Ministry of Communications & IT, Deptt. of Telecommunications, Sanchar Bhawan, 20, Ashoka Road, New Delhi (since retired). Presently residing at H.No. 169, Pocket-9, Sec-21, Rohini, Delhi- 85.	

17.	Shri Shiv Kumar Sharma S/o Late Dheer Chand Sharma, presently working as Assistant in CR Section, Ministry of Communications & IT, Deptt. of Telecommunications, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o X/3955, Gali No. 13, Shanti Mohala, Gandhi Nagar, Delhi-31	1
18.	Shri Surinder Singh Negi (S.S.Negi) S/o Late Sultan Singh Negi the then LDC, C.R.Section, Ministry of Telecommunications & IT, Department of Telecommunications, 20, Ashoka Road, New Delhi-01. Presently working as UDC, C.R.Section, Ministry of Telecommunications & IT, Department of Telecommunications, 20, Ashoka Road, New Delhi-01. R/o 101-T, Sec-4, DIZ Area, Gole Market, New Delhi-01. Permanent Address-Village-Talli Pakholi, PO. Chandoli Malli, District Paudi Gadwal, Uttarakhand.	1
19.	Shri Mukesh Kumar aged about 40 years S/o Shri Ghasi Ram working as Peon, CR Section, Ministry of Communications & IT, Deptt. of Telecommunications, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o D-51, Kidwai Nagar (East), New Delhi-23. Permanent R/o Mohalla Pahadi Darwaja, Dhampur, District Bijnaur, Pahari Darwaja, UP.	
20.	Shri R.P.Agarwal, S/o Late Shri Jagan Nath Bansal, the then Wireless Adviser, Department of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashok Road, New Delhi, present and permanent R/o DDA SFS Flat No.165, Ashok Vihar, Phase-IV, Delhi.	1
21.	Shri Ram Jee Singh Kushvaha (R.J.S. Kushvaha) S/o Shri R.D.Singh Kushvaha R/o 4-C, MSD Flat, Minto Road Complex, Minto Road, New Delhi (Ph. 011-23233474), Permanent Address- 256, Sahadatpura, Mau, U.P., presently working as Joint Wireless Adviser (JWA),Regional Licensing Office (RLO), Northern Region, IMS Campus, Ghitorni, New Delhi-110030.	5
22.	Shri Dinesh Jha, S/o Late Shri B.N. Jha R/o 502, Tagore Road Hostel, Minto Road, New Delhi (Ph. 011-23221196), Permanent Address- Village and Post - Jiraul (Madhubani) Bihar, presently working as Deputy Director, Wireless Monitoring Organisation (HQ), Pushpa Bhawan, Madangir Road, New Delhi-62	2
23.	Shri T K Varada Krishnan S/o Late T K Pattabhi Raman, Joint Wireless Adviser, WPC Wing, Room No. 604, Deptt. of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi. R/o B-204, Pragati Vihar Hostel, Lodhi Road, New Delhi- 3. Permanent R/o No. 218, G. North Mada Street, Tirupati, Andhra Pradesh - 517 501.	1
24.	Shri M K Rao S/o Shri M. Adam, Deputy Wireless Advisor, Wireless Planning and Coordination (WPC) Wing, Ministry of Communications & IT, Deptt. of Telecommunications, Room No. 610, Sanchar Bhawan, 20, Ashoka Road, New Delhi. Presently residing at 1/43, Old Rajender Nagar, Karol Bagh, New Delhi. Permanent R/o Itha Nagar, Tnali, Guntor District, Andhra Pradesh.	2
25.	Mrs. M.Revathi W/o G.Mahesh, working as Assistant Wireless Advisor (AWA), O/o WPC Wing, DoT, 6 th Floor, Sanchar Bhawan, New Delhi-01. R/o A-501, Pragati Vihar Hostel, Lodhi Road Complex, New Delhi-03. P/Add- D/o M.Vengama Naidu, Andhara Bank Colony, Vidhya Nagar,	

	Kota Mandal, Nellore District, Andhara Pradesh, Pin- 524413.	
26	Shri B.B. Singh (D.O.B. 13.02.1956) s/o Late Shri G.B. Singh present r/o Type-5, Quarter No.4, Door Sanchar Colony, Sector-D, Aliganj, Lucknow permanent r/o Sekhu Pura Awas Vikas Colony, Kursi Road, Lucknow, U.P., the then Dy. Director General (LF), DOT, Sanchar Bhawan, 20, Ashoka Road, New Delhi presently working as Controller Communication Accounts, Utter Pradesh, (East), Lucknow.	6
27	Shri Shah Nawaz Alam (D.O.B. 27.04.1967) s/o Dr. S Khan, Director, LF Branch-I, Deptt. of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, Ashoka Road, New Delhi. Present r/o 24 SF, Parshvath Estate, Sector Omega -II, Greater Noida.	3
28	Mrs. Lata Dawar W/o Dr. M.M. Dawar, Personal Secretary to the Wireless Advisor, WPC Wing, DoT, Sanchar Bhawan, Ashoka Road, New Delhi. R/o 259, Dr. Mukherjee Nagar, Delhi-9.	2
29	Smt. Deepa Rohra, w/o Shri Sanjay Rohra presently working as PA to Joint Wireless Advisor at Room No.603, 6 th Floor, Sanchar Bhawan, Min. of DoT, New Delhi r/o 10/335, IInd Floor, Sunder Vihar, New Delhi-110087	
30	Sh. Nripendra Mishra S/o Late S C Mishra, the then Chairman, Telecom Regulatory Authority of India (TRAI), presently residing at A-222, 1st Floor, New Friends Colony, New Delhi.	
31	Shri Dinesh Shankar Mathur (D.S Mathur) S/o Late Durgesh Shankar Mathur, the then Secretary, Deptt. of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, 20, Ashoka Road, New Delhi. Presently working as Chairman Madhya Pradesh Commercial Taxes Appellate Board, Bhopal, New Narmada Bhawan, Jail Road, Bhopal. R/o B-24, Charimii Bhopal, 462016.	1
32	G. E. Vahanvati S/o Late Essaji Vahanvati, the then Solicitor General of India presently Attorney General of India, R/o 10, Motilal Nehru Marg, New Delhi, permanent R/o 15/16, Joy Eden, Mery Weather Road, Colata, Mumbai.	
33	Shri G S Grover S/o Late Jeevan Singh Grover the then Member (Services), Deptt. of Telecommunications, Ministry of Communications & IT, Sanchar Bhawan, Ashoka Road, New Delhi. R/o 37, Dr. Mukherjee Nagar (West), Delhi- 9.	
34	Shri R. Gopalan, Secretary (Economic Affairs); Deptt. of Economic Affairs, Ministry of Finance, Govt. of India, New Delhi. (Sanctioning authority, statement not recorded)	
35	Shri A. Sowmya Narayanan S/o Sh. T.N. Aravamudan the then Senior Manager of BPL Mobile Communications Ltd. (now changed to Loop Mobile India Ltd.), presently working as Deputy General Manager of Loop Mobile India Ltd., 708-709, Prakash Deep, 7, Tolstoy Marg, New Delhi-1. R/o E-501, Pavitra Apartments, Vasundhara Enclave, Delhi-96.	
36	Shri Rahul Vatts S/o Late S.P. Vatts the then DGM, Regulatory, Idea Cellular Ltd., presently working as Assistant Vice President, Idea Cellular Ltd. 1005-6, Kailash Building, 26, KG Marg, New Delhi-1. R/o D-53, Ajay Enclave, New Delhi-81.	
37	Sh. Akhlesh Kumar Saxena S/o Late S B L Saxena, the then Officer of Spice D 1, Sector 3 Noida, UP. Presently working as Vice President	

	(Corporate Affairs), Spice Global D 1, Sector 3 Noida, UP. R/o 910, Milano Block, Mahagun Mansion 2, Indrapuram, Ghaziabad.	
38	Ms. Preeti Malhotra D/o Late J C Malhotra, the then Company Secretary, Spice Communications Ltd. D 1, Sector 3 Noida, UP. Presently working as Executive Director, Spice Mobility Ltd. D 1, Sector 3 Noida, UP. R/o 40 NRI Complex, Greater Kailash IV, New Delhi-110019.	3
39	Sh. Anand Dalal S/o Sh. Ishwar Singh Dalal, Vice President- Corporate Regulatory Affairs, TATA Teleservices Ltd., 2 A, Old Ishwar Nagar, near New Friends Colony, New Delhi. R/o BA-3A, Munirka DDA Flats, New Delhi- 67.	8
40	Dr. Rakesh Mehrotra S/o Dr. R.R. Mehrotra, the then Chief Corporate Regulatory Officer, TATA Teleservices Ltd., 2, Old Ishwar Nagar, near New Friends Colony, New Delhi. R/o A-207, Sector 26, Noida, UP.	5
41	Shri Ajay Sharma, S/o Sh. Ramkaran Sharma, Assistant Vice President (Network), Videocon Telecommunication Ltd., 248, Udhog Vihar, Phase - IV, Gurgaon. R/o 608, Tower B, Surya CGHS, Sector 55, Gurgaon.	4
42	Shri Arun Kumar Dalmia, S/o Late Purshotamdass Dalmia, the then Advisor, Allianz Infrastructure Pvt. Ltd., R/o 91, Sun Flower, Cuffe Parade, Mumbai-5.	3
43	Shri Mohit Gupta S/o Shri Pradeep Kumar Gupta, the then Executive, Unitech Ltd., Unitech House, Block L, South City -1, Gurgaon. Presently residing at C-575, New Friends Colony, New Delhi.	2
44	Ms. Niira Radia, D/o Late Shri Iqbal Narain Menon (Chairperson, Vaishnavi Corporate Communications Pvt. Ltd.), Director of NOESIS Strategic Consulting Pvt. Ltd., 5 th Floor, Dr. Gopal Das Bhawan, 28, Barakhamba Road, New Delhi R/o 9 Oak Drive, DLF Farms, Chattarpur, Delhi -30.	19
45	Sh. Raj Kumar Kapoor, age 68 years S/o Late S L Kapoor, the then Director Bycell Communications Pvt. Ltd. presently retired and residing at 274, GH 4, Nira Apartments, Paschim Vihar, New Delhi -63 Recorded U/s 161 Cr.PC at CBI office New Delhi.	1
46	Shri Rupender Sikka S/o Late Siri Ram Sikka, the then Consultant, S. Tel Pvt. Ltd., Unitech Cyber Park, 1 st Floor, Tower B, Sector 39, Gurgaon. R/o 316, Pocket A 3, Sector 8, Rohini, Delhi-110085.	4
47	Shri Shamik Das S/o- Shri S B Das, Chief Executive Officer (CEO) of S Tel Private Limited, First Floor, Tower B, Unitech Cyber Park, Sector 39, Gurgaon, Haryana. R/o- B 221, CR Park, New Delhi-19.	6
48	Shri Surendra Lunia S/o Sh. Mohan Lal Lunia, the then Chief Executive Officer, HFCL Infotel Ltd., B-71, Industrial Area, Phase VII, Mohali, Punjab. Presently working as Managing Director, Infotel Business Solution Ltd. 77 B, IFFCO Road, Sector 18, Gurgaon. R/o 5010, DLF Phase IV, Gurgaon.	4
49	Shri T. Narasimhan S/o Sh. K.S. Thirumalai, the then President and presently working as Dy. Chief Executive Officer, Sistema Shyam Telesevices Ltd. earlier known as Shyam Telalink Ltd. 334, Udyog Vihar, Phase-IV, Gurgaon, Haryana. R/o C-45, South Extn.-II, New Delhi-110049.	4
50	Sh. P.K.Mittal S/o Late K.K.Mittal the then Dy. Director General (AS-II) presently working as Senior GM (EB), O/o CGMT, J&K Circle, Jammu.	2

	R/o 1 Special Govt. Quarters, Gandhi Nagar, Jammu. P/Add- B-111, Kendriya Vihar, Central Govt. Welfare Housing Society Complex, Sector-51, Noida.	
51	Shri Sudhir Gupta S/o Late R.D Gupta present and permanent R/o House No. 54, Sector 37, Noida, UP, presently working as Principle Advisor (Mobile Networks), Telecom Regulatory Authority of India (TRAI), Mahanagar Doorsanchar Bhawan, Jawaharlal Nehru Marg, Old Minto Road, New Delhi -2.	25
52	Shri Vivek Narayan S/o Shri P N Agrawal, Additional GM, BSNL Corporate Office, Room No. 115, BSNL Bhawan, Janpath, New Delhi. Presently residing at Flat No. 201, Sector 17, Pocket A, Dwarka, New Delhi.	5
53	Shri Subhash Sharma S/o Late K L Sharma the then General Manager, Systema Shyam Teleservices Ltd., A-60, Naraina Industrial Area, Phase I, New Delhi, present office at 334, Udyog Vihar, Phase - IV, Gurgaon R/o F-3/27, 2 nd Floor, Sector 11, Rohini, Delhi-85.	3
54	Shri Vinod Raina S/o P N Raina the then Manager (Accounts), Systema Shyam Teleservices Ltd., A-60, Naraina Industrial Area, Phase I, New Delhi, present office at 334, Udyog Vihar, Phase - IV, Gurgaon R/o Flat No. 60, Sector 1, Pocket 1, Dwarka, New Delhi.	7
55	Shri Yogender Pandey S/o Late Arjun Upadhyay working as Peon in the office of Wireless Advisor, WPC Wing, DoT, Sanchar Bhawan, Ashoka Road, New Delhi. R/o J-663, Kalibari Marg, New Delhi-1. Permanent R/o Village Puri, O-Pawapuri, Distt. Nalanda (Bihar)	
56	Sh. A.N. Sethuraman, age 59 years (15.04.1952) S/o Late Sh. A.S. Natarajan, R/o C-94, IInd Floor, South Extension-II, New Delhi, presently working as Group President, Reliance, A-202, Ansal Apptt. No.6, Aurangzab Road, New Delhi-110011	
57	Sh. Anand Subramaniam, age 40 years (14.04.1970) S/o Sh. M. J. Subramaniam, R/o C-201 Nav Durga Complex, Sector 19A, Nerul, New Mumbai - 400706, presently working as Assistant Vice President, Reliance Capital Ltd., Reliance Centre, Ballard Pier, Mumbai.	
58	Shri Amit Khot, age - 35 yrs. S/o Shri Vishnupant Khot R/o A-9/702, Prakrati Palms, Near Brahmmand Phase-7, Azad Nagar, off Ghodbunder Road, Thane (West) Mumbai-400607	
59	Sh. Amit Vij, age 29 years (D.O.B 05.09.1981) S/o Shri Satish Kumar Vij, R/o 8-A/2, 3 rd Floor, Old Rajendra Nagar, New Delhi - 60	
60	Sh. Anil Rustgi, age 49 years (29.12.1960) S/o Late Sh. Banarsi Das Rustgi, R/o 135, Medha Apartment, Mayur Vihar, Phase-I, Delhi-91,	
61	Sh. Adithya Bhat, age 37 years (25.7.1973) S/o Sh. Janardhan Bhat R/o 20, Neelkanth Apartment Amar Mahal, Chembur Mumbai 89	
62	Sh. Ashraf, age 59 years (04.07.1952) S/o Sh. Yusuf, R/o, 602, A.K. Tower, Madina Manzil Compound, S.V. Road, Goregaon (W), Mumbai-62	
63	Sh. Ankur Huria age 31 years (11.10.1979) S/o Late Sh. Ashok Kumar Huria R/o Flat No. 102, Sector-A, Pocket-C, Vasant Kunj, New Delhi - 110070	
64	Shri Alok Kumar S/o Shri R.S.kulshreshtha R/o B-97, Dayanand Marg, Tilak nagar, Jaipur - 302004	

65.	Sh. Deodatta Pandit, age 54 years (04.03.1957) S/o Sh. Bhagwant Pandit, R/o A-24, Pranam CHS, Chikuwadi, Shimpoli, Boriwali (W), Mumbai-400092.	3
66.	Sh. Faiyaz Ahmed, age 38 years (29.3.1973) S/o Sh. Peer Mohd. Shaikh, R/o 702, Khateeja Apartment, 'B' Wing 313, Bazar Road, Bandra (W), Mumbai-50	1
67.	Sh. Gaurav Jain, S/o Sh. (Late) Ashok Kumar Jain, R/o H. No. 58F, Ground Floor, Sector-40 Gurgaon - 122001, Permanent Resident of H. No. 46/1A, Street No. 16, East Azad Nagar, Delhi - 110051, presently working as Senior Manager (Legal & Compliance) in Unitech Limited	60
68.	Mrs. Kiran Sharma, age 43 years (01.07.1967) w/o Shri Sanjay Sharma, R/o 74, Shivalik Apartment, Alaknanda, Kalkaji, New Delhi	33
69.	Sh. Krishan Goyal, age 28 years (4.3.1982) S/o Sh. Nem Chand Agarwal R/o 2628, Brahmanpuri Kosi Kalan Mathura, presently residing at E- 27 Sector 55 Noida, UP	3
70.	Mrs. Mythili Ramakrishnan, Age 52 years (6.6.1958) W/o Sh. T.S. Ramakrishnan, Manager Large Corporate Branch (CCB), Cuffe Parade Maker Tower 'E' Wing, Mumbai, R/o Flat No.605, Building No.2, Averest World, Opp. Bare India Kolshet Road, Thane (W), Mumbai.	7
71.	Paresh Rathod age 42 years (D.O.B.24.6.1968), S/o Late Sh. Purushottam Lal Rathod, R/o flat no 204, Reliance Energy Quarters, Near Deonar Depot, Chembur, Mumbai -88.	28
72.	Sh. Rajendra Singh Meena, age 43 years (D.O.B 01.11.1968) S/o Shri Kumwar Pal Singh, R/o Sector -1, Building No. 106, Room No. 978 Central Govt. Servant Colony, Antop Hill, Mumbai - 37	15
73.	Sh. Rajbir Singh Chahal S/o Late Sh. Fateh Singh, Age 53 yrs, R/o Flat No. 2504 'D' Wing Lloyad Estate, Wadala(E), Mumbai-400037	6
74.	Sh. Ujjwal Mehta, age 45 years (D.O.B 03.10.1965) S/o Shri Virai Mehta, R/o Flat No. 201, Karmyog, North South Road No. 6, J.V.P.D., Vile Parle West, Mumbai - 56	
75.	Sh. Vinod Kumar Khuman, Age 47 years (14.08.1963) S/o Sh. Arjun R. Kumar, R/o A-405, Maurya Apartment, Raheja Township, Mallard (E), Mumbai-400097.	4
76.	Sh./ Venkitaraman S. Iyer, age 50 years, (D.O.B.-8.9.1960), S/o Sh. N.Subramanian Iyer R/o 902, Vishnu Towers, P.K.Road, Mulund, Mumbai - 400080.	3
77.	Sh. Varadhrayan Srinivasan, age - 49 years, (DOB- 31.3.1961), S/o Late Sh. P.Srinivasan, R/o Building No.5, B-604, Jyoti Complex, Film City Road, Goregaon (East), Mumbai-400063. Presently working as Assistant Vice President (Trade & Finance Deptt.), HDFC Bank, Fort Branch, Mumbai.	4
78.	Sh. Prem Lal Malik, age- 56 years, DOB- 2.1.1955, S/o Late B.R.Malik, R/o KU-149, 1st Floor, Vishaka Enclave, Pitampura, Delhi-110088. P/R/o 337, Shanti Kunj, Near FCI Godwon Alwar, Rajasthan. Presently working as Deputy Registrar of Companies, Delhi & Haryana, at IFCI Tower, 4 th Floor, Nehru Place, N.Delhi.	13
79.	Sh. Vinay Kumar Gupta, age- 60 years, S/o Late Sri Prakash Gupta, R/o Flat No. 50, Ramakrishna Apartment, Sector-9, Rohini, Delhi-85. Presently working as Consultant in Indian Institute of Corporate Affairs,	2

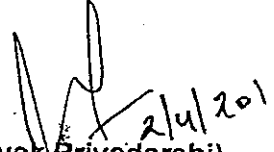
	2 nd , Floor, Paryavaran Bhawan, N.Delhi.	
80.	Pradeep Sevanti Lal Shah, Age 55 Years (D.O.B. 11/02/1955) S/o Late Sevanti Lal Shah, R/o Flat No. 8A, Sanjay Society, Opp. Bengal Chemicals, Veer Savarkar Marg, Mumbai-400025.	26
81.	Dr. Vinod Kumar Budhiraja, S/o Sh. Tara Chand, R/o 1302, Zahra Towers, Dr. E Moses Road, Worli, Mumbai-400 018, Permanent Address- as above, presently working as Chief Regulatory Officer, M/s Etisalat DB Telecom Pvt. Ltd., Vandana Bldg., 11, Tolstoy Marg, New Delhi-1.	2
82.	Sh. Tarun Das, S/o Sh. P.N. Das, R/o F-308, Pragati Vihar Hostel, Lodhi Road, New Delhi – 110003, Permanent Address 1/2 Bediadanga Masjid, Bari Bye Lane, Kolkata – 700039, presently working as Deputy Advisor, TRAI, Mahanagar Doorsanchar Bhawan, Jawahar Lal Nehru Marg, New Delhi – 110002,	1
83.	Sh. Vijay Kumar, S/o Sh. Shri Chand, R/o House No. 223, Village – Tuglakabad, New Delhi, 110044, presently working as Zonal Inspector, MCD Property Tax Office, R.K. Puram, Sector – 9, New Delhi.	1
84.	Sh. Vijender Kumar Sharma, S/o Late Sh. B.R. Sharma, R/o House No436, Dhruva Apartments, Plot No. 4, Patparganj, Delhi-92 presently working as Vice-President (Regulatory), M/s DB Realty Ltd., 7-B, Vandana Bldg., Tolstoy Marg, New Delhi-110001	1
85.	Ms. Manju Madhavan, D.O.B. 10.10.1948, W/o Sh. S.Wadhavan, R/o 127, IInd Cross, 5 th Block, Kora Mangala, Bangalore.	3
86.	Rajender Singhi, S/o Sh. K.M.Singhi, R/o A-64/1, DDA Flats Saket, New Delhi presently working as GM (Commercial) Reliance Communication Ltd. Vijaya Building, 17, Barakhamba Road, New Delhi.	3
87.	Amit Sarin, Age – 39 yrs. (D.O.B. 05.09.1971) S/o Shri Ashok Sarin R/o 28 Sri Ram Road, Civil Lines, Delhi, Director & CEO, Anantraj Industries Ltd. Jhandewan Extn., New Delhi	4
88.	Ashish Karyekar S/o Late Shri Sudhakar D. Karyekar R/o. Flat No. 704, BSES Quarters, Chembur Receiving Station, Deonar, Mumbai 88, General Manager of Reliance Infrastructure Limited (Reliance ADA Group)	9
89.	Ashish Tambawala S/o Late Shri Chandrakant C Tambawala r/o 406, Lennie, Cross Road No. 4, Swami Samarth Nagar, Andheri West, Mumbai - 400053, working as Sr. Vice President, Account and Finance (Reliance Infrastructure Limited)	23
90.	Bharat Amberkar S/o Late Shri Bharat Jagannath Amberkar r/o Gorai Swapna Coop. Soc Ltd., Plot No.12 , Flat No.A2 Second Floor, Gorai-1 Borivli (W) Mumbai 400092, working as Additional Vice President, Account and Finance (Reliance Infrastructure Limited)	22
91.	Devendra Chandavarkar, age - 46 yrs. S/o Shri Narayan Bhalchandra Chandavarkar R/o 2/5, Talmaki Wadi, J.D. Road, Tardeo, Mumbai – 400007, Branch manager, ICICI Bank Ltd., Backbay Reclamation Branch, 163, Backbay Reclamation, H T Parekh Marg, Churchgate, Mumbai – 400020	8
92	Hasit Shukla, Age – 48 yrs. (D.O.B. 28.08.1962) S/o Shri Navinchandra Shukla R/o 403, Sabita HSG, 16 th Road, Bandra West, Mumbai 400	3

	050, Company Secty, Reliance Telecom Ltd.	
93	Kaushal Nagpal S/o Late Sh. M.L. Nagpal R/o, F-8, Vijay Block, Laxmi Nagar, Delhi - 92, working as Sr. Manager, Finance and Accounts, Unitech Group.	9
94	Mohd. Ashraf Nagani S/o Shri Abdul Rashid Nagani R/o Blue haven 1 st Floor, 15 Faruq Umar Street, Agripada, Mumbai-11, employee of DB Group.	2
95	Nilesh R. Doshi age 57 years (D.o.B 28.12.53), S/o Sh. Ramanlal Doshi R/o 84 Duru Mahal, Marine Drive, F Road Mumbai 400002, a practising Chartered Accountant.	3
96	Sanat Kumar Agarwal S/o shri Kanhiya Lal Agarwal R/o 70, Mohan Nagar, Gopal Pura - Bye Pass, Jaipur - 302018, the than Branch Manager, PNB, Forte, Mumbai.	7
97	Satish Seth S/o late Shri P.C. Seth R/o Summar Villa, 7 th Road, Santacruz (E), Mumbai 400055, Director, Reliance Telecom Ltd.	6
98	Uday Sahasrabuddhe, Age - 52 yrs. (D.O.B. 20.01.1959) S/o Shri Gopal Shridhar Sahasrabuddhe R/o A2/803 Vijay Nagar Society, S.N Road, Andheri(East) Mumbai -400069, Assft. Vice President, HDFC Bank Ltd., Forte Mumbai.	6
99	Vishwanath Devaraja Rao (Aged about-52 yrs.) S/o Late Sh. Devaraja Rao R/o A-301, Synchronicity, Insite Nahar, Amrit, Shakti, Chandivali Farm Road Powai, Mumbai -72, working as Sr. Vice President (Commercial), Reliance ADA Group.	3
100	Henry Richard, Registrar of Companies, Mumbai, Maharashtra (statement not recorded).	
101	Sh. Kailash Taparia, Customer Relationship, Executive, SBI, SME, Goregaon(East), (search witness- statement not recorded).	
102	Sh. Yogesh Mukand Kurle, Assistant Clerk, Western Express Highway Branch SBI, SME, Goregaon(East), (search witness- statement not recorded).	
103	Sh. Aseervatham Achary, S/o Late Shri Rajalingam Maria Joseph, R/o B-5/51 (Illrd Floor), Safdarjung Enclave, New Delhi-110029, Permanent R/o 1001, Urvashi-II, Amrapali Royal, Indira Puram, Ghaziabad (UP); (DOB: 23.03.1969)	6
104	Sh T. K. Viswanathan S/o Sh T. V. Kamalassamy, the then Secretary (Law), Ministry of Law & Justice, Govt. of India, now posted as Secretary General, Lok Sabha, Sansad Bhawan, New Delhi R/o AB-16, Pandara Road, New Delhi, permanent R/o 363, Kendriya Vihar, Sector 56, Gurgaon (D.O.B 14.10.1948)	2
105	Shri Ajay Chandra S/o Shri Ramesh Chandra R/o C-41, Mayfair Gardens, Khel Gaon Marg, New Delhi - 16	1
106	Sh D Subba Rao, S/o Sh Late D Mallikarjan Rao, the then Secretary (Finance), Ministry of Finance, Govt. of India, R/o RBI Governor's Bunglow, 5, M L Dahanukar Marg, Mumbai - 400026, permanent R/o 12, Panchavati Society, Road No. 3, Banjara Hills, Hyderabad-500034 (D.O.B 11.08.1949)	6
107	Sh. Viresh Goel s/o Sh. Ramnath Goel, Dy. Advisor (MN), Mahanagar Doorsancar Bhawan, Jawahar Lal Nehru Marg, (Old Minto Road), New Delhi-110002, r/o Flat No. 805 Plot No. B-9/10, Prangan Society, Sector-	2

	62, Noida, UP DOB-04.04.1968	
108	Sh. Pushpendra Kumar Sharma, S/o Sh. Devendra Kumar Sharma, posted as Section Officer (E&S-I), Prime Minister's Office, South Block, New Delhi-110001, R/o Flat No. 214, Vartalok Apartments, Sector 4C, Vasundhara, Ghaziabad (D.O.B : 10.03.1974)	1
109	Sh. Ashok Wadhwa S/o Sh. Murlidhar V. Wadhwa R/o101, Maker Tower A Cuffe Parade Bombay-5.Ambit House 449 Senapati Bapat Marg Lower Parel Mumbai400013.Presently Group CEO Ambit Holdings Private Limited	5
110	Sh. Desh Raj S/o Late Mangat Ram R/o 287, Sec-6, R.K.Puram, New Delhi, aged- 52 yrs., DOB-12.08.1958, presently working as UDC in BSNL Corporate Office, Bharat Sanchar Bhawan, Janpath, New Delhi.	2
111	Sh. V. Mohan, age - 54 yrs., S/o Sh. R.C. Varedarajan, R/o D-114, Panchvati Apptt. Vikas Puri, New Delhi-110018. Presently working as Company Secretary, M/s Parsvnath Development Ltd.	2
112	Shri Gaurav Srivastava, S/o Sh. S.C.Srivastava R/o 1546, Sec-17/C, Gurgaon, presently working as Dy. General Manager (Secretarial) India Bulls House, 448-451, Udyog Vihar, Gurgaon.	2
113	Smt. S.Meenakshi W/o Sh. A.Mohan R/o 68/1, CPWD Quarters, Thirumangalam, Annanagar, Chennai-40 presently working as Dy. R.O.C. in the office of ROC, Chennai.	
114	Shri Satish Agarwal, S/o Damodar Prasad Aggarwal, age-46, DOB-04.01.64 R/o E/213, Dheeraj Upar, Behind Dindoshi Bus Depot Film City Road, Goregaon (E), Mumbai presently working as Accountant, M/s DB Realty, Mumbai	
115	Sh. Ramesh Shenoy, age 61 years (07.10.1949) S/o Late Sh. Ganpati Shenoy, R/o E-115, Bussa Apartments, B.M. Bhargava Marg, Santa Cruz (West), Mumbai-400 054, presently working as Company Secretary of Reliance Infrastructure Ltd., Reliance Energy Centre, Santa Cruz (East), Mumbai-400 055.	3
116	Ms. Reena Saxena w/o Shri Rajeev Saxena presently working as PS to Wireless Advisor at Room NO. 603, 6 th Floor, Sanchar Bhawan, Min. of DoT, New Delhi r/o C-298, Minto Road, New Delhi, permanent r/o 3299, Bazar Sita Ram, Near Chawri Bazar Metro Station, Delhi - 110006	1
117	Shri Deepak Maheshwari S/o Late Krishna Gopal Maheshwari R/o D3703, Ashok Tower, Dr. Ambedkar Road, Mumbai, presently working as Sr. Vice President Project Finance & Chief Financial Officer of Reliance Power Ltd. at Reliance Energy Centre, Santa Cruz (E), Mumbai.	12
118	M.S. Bhavna Kalia, Metropolitan Magistrate Patiala House Court, New Delhi (statement not recorded)	
119	Sh. Arvind Bansal, Metropolitan Magistrate Patiala House Court, New Delhi (statement not recorded)	
120	Sh Vivek Priyadarshi, Supdt. of Police, CBI, ACB, New Delhi (statement not recorded)	
121	Sh Rajesh Chahal, Dy. Supdt. of Police, CBI, ACB, New Delhi (statement not recorded)	

122	Sh V M Mittal, Dy. Supdt. of Police, CBI, ACB, New Delhi (statement not recorded)	
123	Sh S K Sinha, Dy. Supdt. of Police, CBI, ACB, New Delhi (statement not recorded)	
124	Sh Shyam Prakash, Inspector, CBI, ACB, New Delhi (statement not recorded)	
125	Sh Manoj Kumar, Inspector, CBI, ACB, New Delhi (statement not recorded)	

Additional List of witnesses will be submitted, if required.


(Vivek Priyadarshi)
Supdt. of Police
CBI/ACB/New Delhi

LIST OF DOCUMENTS (RC 45 (A)/2009- DLI)

S. No.	Description of Document	No. of Pages
1.	Copy of FIR dated 21.10.2009 in case RC DAI-2009-A-0045 registered in CBI ACB Delhi.	6
2.	Search cum seizure memo dtd. 22.10.2009 at the office premises of M/s Access Service Cell, DoT, GOI, Ashoka Road, New Delhi containing the list of the seized items i.e. 59 policy files.	6
3.	Production cum seizure memo dtd. 22.10.2009 from Sh. S.E. Rizwi, US (T), DOT, Sanchar Bhawan regarding seizure of Diary Registers (7 numbers) of Central Registry Section of DoT.	1
4.	Search cum seizure memo dtd. 22.10.2009 at the office premises of Wireless Planning and Coordination Wing, DoT, Sanchar Bhawan, New Delhi containing the list of the seized items i.e. 25 files	4
5.	One file bearing No. 20-100/2007-AS-I (Vol. I) of AS-I of DoT marked Serial No. 1 pertaining to Review of Licensing Policy (Earlier ref. no. 16-3/2004-BS.II(Pt.) and also Vol. II of the file	Vol. I -1/N to 78/N and 1/C to 385/C (Relevant pages 271/C to 285/C, 131/C to 217/C (Vol. II- Relevant pages 260/C to 276/C)
6.	One file bearing No. 20-100/2007-AS-I (Part A) of AS cell of DoT marked Serial No. 2 pertaining to applications for UAS Licenses ; Press release – for cut-off date	1/N to 8/N (relevant page 1/N) and 1/C to 53/C. (relevant-1/C to 2/C).
7.	One file bearing no. 20-100/2007-AS-I (Pt. C) of AS-I of DoT marked Serial No. 3 pertaining to UAS Licensing alongwith linked file of WPC Wing regarding availability of spectrum in 1800 MHz band.	1/N to 47/N and 1/C to 228/C. Linked file contain pages 1/N and 1/C and 2/C.
8.	One file bearing no. 20-161/2007-AS-I (Pt.) of AS-I of DoT marked Serial No.4 pertaining to TDSAT Court Case COAI Vs UOI petition no. 286 of 2007	1/N to 6/N (relevant pages 1/N to 3/N) and 1/C to 30/C (relevant pages.
9.	One file bearing No. 20-165/2007-AS-I of AS-I of DoT marked Serial No. 6 pertaining to Dual Technology Spectrum – Ref Ministry of Finance.	1/N to 5/N (relevant pages 1/N to 3/N) and 1/C to 74/C (relevant pages

		52/C, 74/C)
10.	One file bearing No. 20-82/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 15 pertaining to UAS Licenses applications from M/s Swan Telecom Pvt. Ltd.	1/N to 23/N and 2/C to 613/C. (13 LOIs available at page 600/C to 612/C)
11.	One file bearing No. 20-82/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 16 pertaining to signing of UAS License agreement with M/s Swan Telecom Pvt. Ltd.	1/N to 26/N and 1/C to 346/C. (relevant pages 22/N to 23/N)
12.	One file bearing No. 20-82/2007-AS-I (Vol-III) of AS-I Section of DoT marked Serial No. 17 pertaining to Grant of UAS Licenses to M/s Swan Telecom Pvt. Ltd.	1/N to 13/N and 1/C to 462/C. (relevant 457/C to 462/C- complaint of Dharampal Sabharwal, 450/C to 453/C - complaint of Subarmanian Swamy)
13.	One file bearing No. 20-126/2007-AS-I(S) (Vol-I) of AS-I Section of DoT marked Serial No. 18 pertaining to UASL applications from M/s Aska Projects Ltd.	1/N to 12/N and 1/C to 135/C. (relevant pages 11/N and 12/N, and 3/C to 38/C containing application for Kerala service area). 3 LOIs available at page 133/C to 135/C.
14.	One file bearing No. 20-126/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 19 pertaining to signing of UAS Licence agreement with Aska Projects Ltd.	1/N to 4/N and 1/C to 219/C. (relevant pages 1/N to 2/N).
15.	One file bearing No. 20-127/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 20 pertaining to UASL applications from M/s Nahan Properties Pvt. Ltd.	1/N to 11/N and 1/C to 144/C. (relevant pages 10/N & 11/N, and 2/C to 39/C containing application for Bihar service area, 6 LOIs available at page 139/C to 144/C.

16.	One file bearing No. 20-127/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 21 pertaining to signing of UAS Licence agreement with M/s Nahan Properties Pvt. Ltd.	1/N to 4/N and 1/C to 671/C. (relevant pages 1/N to 2/N)
17.	One file bearing No. 20-128/2007-AS-I/I(S) (Vol-I) of AS-I Section of DoT marked Serial No. 22 pertaining to UASL application (01) from M/s Unitech Builders & Estates Pvt. Ltd.	1/N to 11/N and 1/C to 124/C. (relevant pages 10/n to 11/N application available at 86/C to 123/C, LOI at page 1 C)
18.	One file bearing No. 20-128/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 23 pertaining to signing of UAS Licence agreement with M/s Unitech Builders & Estates Pvt. Ltd.	1/N to 3/N and 9/C to 88/C. (relevant pages 1/N to 2/N)
19.	One file bearing No. 20-129/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 24 pertaining to UASL application (01) from M/s Unitech Infrastructures Pvt. Ltd.	1/N to 12/N and 1/C to 126/C. (relevant pages 11/N to 12/N and application available at 2/C to 39/C, LOI available at 126 C)
20.	One file bearing No. 20-129/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 25 pertaining to signing of UAS Licence agreement with M/s Unitech Infrastructures Pvt. Ltd.	1/N to 3/N and 1/C to 86/C. (relevant pages 1/N to 3/N)
21.	One file bearing No. 20-130/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 26 pertaining to UASL application (01) from M/s Azare Properties Ltd.	1/N to 11/N and 1/C to 131/C. (relevant pages 10/N to 11/N and application available at 2/C to 39/C, LOI at page 131 C)
22.	One file bearing No. 20-130/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 27 pertaining to signing of UAS Licence agreement with M/s Azare Properties Ltd.	1/N to 3/N and 1/C to 87/C. (relevant pages 1/N to 3/N)
23.	One file bearing No. 20-131/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 28 pertaining to UASL application (06) from M/s Adonis Projects Pvt. Ltd.	1/N to 12/N and 1/C to 144/C (relevant pages 11/N & 12/N and application available at 2/C to 40/C, 6 LOIs at 139 C to 144 C)

24.	One file bearing No. 20-131/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 29 pertaining to signing of UAS Licence agreement with M/s Adonis Projects Pvt. Ltd.	1/N to 4/N and 1/C to 243/C. (relevant pages 1N and 2/N)
25.	One file bearing No. 20-132/2007-AS-I (S) (Vol-I) of AS-I Section of DoT marked Serial No. 30 pertaining to UASL application (01) from M/s Hudson Properties Ltd.	1/N to 11/N and 1/C to 137/C (relevant pages 10/N & 11/N and application available at 99/C to 136/C, LO available at 1/C)
26.	One file bearing No. 20-132/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 31 pertaining to signing of UAS Licence agreement with M/s Hudson Properties Ltd.	1/N to 3/N and 1/C to 87/C. (relevant pages 1/N & 2/N)
27.	One file bearing No. 20-133/2007-AS-I (S) (Vol-I) of AS-I Section of DoT marked Serial No. 32 pertaining to UASL applications (03) from M/s Volga Properties Pvt. Ltd.	1/N to 11/N and 1/C to 130/C (relevant pages 10/N & 11/N and application available at 91/C to 129/C, 3 LOI available at 1 C to 3 C)
28.	One file bearing No. 20-133/2007-AS-I (S) (Vol-II) of AS-I Section of DoT marked Serial No. 33 pertaining to signing of UAS License Agreements with from M/s Volga Properties Pvt. Ltd.	1/N to 3/N and 1/C to 217/C. (relevant pages 1/N and 2/N)
29.	One file bearing No. 20-135/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 34 pertaining to UASL applications (21) from M/s Shyam Telelink Ltd.	1/N to 12/N and 1/C to 369/C (relevant pages 11/N & 12/N and application available at 1/C to 100/C, 21 LOI available at 349 C to 369 C)
30.	One file bearing No. 20-135/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 35 pertaining to signing of UAS License Agreements with M/s Shyam Telelink Ltd.	1/N to 15/N and 1/C to 321/C (relevant pages 13/N, back side of 13/N and 14/N)

31.	One file bearing No. 20-120/2007-AS-I (S) (Vol-I) of AS-I Section of DoT marked Serial No. 36 pertaining to UASL applications of M/s Allianz Infratech (Pvt) Ltd.	1/N to 18/N and 1/C to 183/C. (relevant pages 13/N and 17/N and application available at 1/C to 6/C, 2 LOIs available at 180 C and 181 C)
32.	One file bearing No. 20-120/2007-AS-I (S) (Vol-II) of AS-I Section of DoT marked Serial No. 37 pertaining to signing of UAS License Agreements with M/s Allianz Infratech (Pvt) Ltd.	1/N to 21/N and 1/C to 187/C. (relevant pages 19N and 20/N)
33.	One file bearing No. 20-105/2007-AS-I (S) (Vol-I) of AS-I Section of DoT marked Serial No. 38 pertaining to UASL applications (03) from M/s S Tel Ltd for J&K, Bihar, Orissa, N East, H.P. and Assam.	1/N to 17/N and 1/C to 255/C. (relevant pages 16/N and 17/N and application available at 2/C to 37/C, 6 LOIs available at 242 C to 247 C)
34.	One file bearing No. 20-105/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 39 pertaining to signing of UAS License Agreements with M/s S Tel Ltd.	1/N to 20/N and 1/C to 380/C. (relevant pages at 19/N and back side of 19/N)
35.	One file bearing No. 20-53/2006-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 40 pertaining to UASL applications from M/s Spice Communication Ltd.	1/N to 27/N and 1/C to 623/C. (relevant pages 22/N to 27/N and 4 LOIs at page 17/C to 20/C)
36.	One file bearing No. 20-53/2006-AS-I Vol-I (2) of AS-I Section of DoT marked Serial No. 41 pertaining to UASL applications from M/s Spice Communication Ltd. for UAS Licences for 20 service areas.	1/C to 195/C (relevant pages 150/C to 195/C) Notesheet pages are not there
37.	One file bearing No. 20-53/2006-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 42 pertaining to signing of UAS License Agreements with M/s Spice Communication Ltd.	1/N to 2/N and 1/C to 303/C. (relevant pages 1/N and back of 1/N)
38.	One file bearing No. 20-63/2006-AS-I (Vol-I) (1) of AS-I Section of DoT marked Serial No. 43 pertaining to UASL applications (03) from M/s Idea Cellular Ltd.	1/N to 30/N and 1/C to 271/C. (relevant pages 30/N and application

		available at 165/C to 266/C,)
39.	One file bearing No. 20-63/2006-AS-I (Vol-I) (2) of AS-I Section of DoT marked Serial No. 44 pertaining to UASL application of M/s Idea Cellular Ltd.	1/C to 547/C. (relevant pages, copy of 9 LOIs at page 4/C to 12/C) no notesheet page is there in this file.
40.	One file bearing No. 20-63/2006-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 45 pertaining to signing of UAS License Agreements with M/s Idea Cellular Ltd.	1/N to 34/N and 1/C to 465/C. (relevant pages 31/N to 33/N)
41.	One file bearing No. 20-121/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 46 pertaining to UASL applications (21 service areas) from M/s Shippingstop DoT Com (India) Pvt. Ltd now M/s Loop Telecom Pvt. Ltd	1/N to 15/N and 1/C to 330/C. (relevant pages 14/N and 15/N and application available at 2/C to 36/C, 21 LOIs available at 308 C to 328 C)
42.	One file bearing No. 20-121/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 47 pertaining to signing of UAS License Agreements with M/s Loop Telecom Pvt. Ltd. (formerly M/s Shippingstop DoT Com (India) Pvt. Ltd)	1/N to 2/N in original and photocopy of 1/N to 15/N and 1/C to 465/C. (relevant pages at 1/N to 2/N)
43.	One file bearing No. 20-61/2006-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 48 pertaining to signing of UAS License Agreements with M/s Tata Teleservices Ltd.	1/N to 35/N and 1/C to 430/C. (relevant pages at 32/N to 34/N)
44.	One file bearing No. 20-61/06-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 49 pertaining to LOI- Tata Teleservices Ltd. for UASL in Assam, NE and J&K service areas:	1/N to 31/N and 1/C to 460/C. (relevant pages 29/N to 31/N and application available at 365/C to 388/C, 389/C to 412/C and 416/C to 437/C, LOIs available at 445/C to 446/C)
45.	One file bearing No. 20-119/2007-AS-I (Vol-I) of AS-I Section of DoT marked Serial No. 50 pertaining to UASL applications from M/s Datacom Solutions Pvt. Ltd.	1/N to 10/N and 1/C to 335/C (relevant pages 9/N & 10/N and

		application available at 2/C to 69/C, 22 LOIs available at 314/C to 335/ C)
46.	One file bearing No. 20-119/2007-AS-I (Vol-II) of AS-I Section of DoT marked Serial No. 51 pertaining to signing of UAS License Agreements with M/s Datacom Solutions Pvt. Ltd.	1/N to 16/N and 1/C to 377/C. (relevant pages 11/N and 12/N)
47.	One file bearing No. 10-21/2004/TTSL/ BS.II/ Delhi Part (Vol-I) of AS-III Section of DoT pertaining to amendment to UAS Licenses of M/s Tata Teleservices Ltd. reg. spectrum allocation with merged file no. 20-100/2007-AS-I (Part J) and one linked file bearing no. 18-8/2003/-2007/AS-III-RTL/Assam on the subject UAS License of M/s RTL in Assam Service area after migration from CMTS.	1/N to 5/N and 1/C to 208/C. (relevant pages at 1/N to 4/N) copy of amendment to UAS License regarding (Dual Technology) spectrum allocation. Notesheet 1/N and 2/N merged at 176/C to 186/C, copy of in-principle approval at 192/C to 194/C and Tata Teleservices (Maharashtra) Ltd at page 195/C to 197/C. Pages in linked file 1/N to 14/N (relevant pages 7/N to 12/N) and 1/C to 173/C (relevant pages 18-19/C, 149/C 157/C to 159/C)
48.	One file bearing No. L-14042/43/2004-NTG (Pt.I) of WPC Wing of DoT marked Serial No. 2 pertaining to allocation of GSM spectrum in Assam service area.	1/N to 3/N (Relevant pages 1/N and 3/N correspondence page 3/C to 86/C (all pages are relevant).
49.	One file bearing No. L-14043/04/2006-NTG of WPC Wing of DoT marked Serial No. 3 pertaining to allocation of GSM spectrum in MP service area.	1/N to 17/N (Relevant pages 14/N to 17/N correspondence pages 1/C to 257/C (Relevant pages 169/C to 179/C)

		253/C).
50.	One file bearing No. L-14047/16/2006-NTG of WPC Wing of DoT marked Serial No. 4 pertaining to allocation of GSM spectrum in Kolkata Metro service area.	1/N to 12/N (Relevant pages 11/N and 12/N) correspondence page 1/C to 206/C (Relevant pages 141/C to 206/C).
51.	One file bearing No. L-14047/19/2006-NTG of WPC Wing of DoT marked Serial No. 5 pertaining to allocation of GSM spectrum in Kerala service area.	1/N to 8/N (Relevant pages 1/N to 6/N) correspondence pages 1/C to 154/C (Relevant pages 65/C to 142/C).
52.	One file bearing No. L-14047/34/2004-NTG (Pt-II) of WPC Wing of DoT marked Serial No. 6 pertaining to allocation of GSM spectrum in Rajasthan service area.	1/N to 5/N (Relevant pages 1/N to 5/N) and 1/C to 103/C (all pages are relevant).
53.	One file bearing No. L-14047/02/2004-NTG (Pt.) of WPC Wing of DoT marked Serial No. 7 pertaining to allocation of GSM spectrum in West Bengal service area.	1/N to 3/N (Relevant pages 1/N to 3/N) and 3/C to 64/C (all pages are relevant).
54.	One file bearing No. L-14042/44/99-NTG-II of WPC Wing of DoT marked Serial No. 8 pertaining to allocation of GSM spectrum in Delhi.	1/N to 26/N and 1/C to 274/C (Relevant page 21/N and correspondence pages 1/C to 274/C)
55.	One file bearing No. L-14045/16/2004-NTG of WPC Wing of DoT marked Serial No. 9 pertaining to allocation of GSM spectrum in AP service area.	1/N to 45/N (3 to 14/N, 17,18,19/N are missing (Relevant page 38 to 45/N) correspondence pages 1/C to 366/C (Relevant pages 219/C to 366/C):
56.	One file bearing No. L-14047/20/2006-NTG of WPC Wing of DoT marked Serial No. 10 pertaining to allocation of GSM	1/N to 3/N (Relevant page

	spectrum in Punjab service area.	1/N to 3/N) correspondence pages 4/C to 206/C (Relevant pages 4/C to 96/C).
57.	One file bearing No. L-14043/52/2005-NTG of WPC Wing of DoT marked Serial No. 11 pertaining to allocation of GSM spectrum in UP (West) service area.	1/N to 33/N (Relevant pages 31/N and 32/N) and 23/C to 312/C (Relevant pages 269/C to 289/C and 301/C to 308/C).
58.	One file bearing No. L-14047/34/2004-NTG of WPC Wing of DoT marked Serial No. 12 pertaining to allocation of GSM spectrum in Rajasthan service area.	1/N to 15/N (Relevant page 15/N) and 1/C to 198/C (Relevant pages 143/C to 198/C)
59.	One file bearing No. L-14047/20/2006-NTG (Pt.) of WPC Wing of DoT marked Serial No. 13 pertaining to allocation of GSM spectrum in Punjab service area.	1/N to 10/N (Relevant pages 1/N to 10/N) correspondence pages 1/C to 88/C (all pages are relevant).
60.	One file bearing No. L-14047/03/2005-NTG of WPC Wing of DoT marked Serial No. 14 pertaining to allocation of GSM spectrum in Mumbai Metro service area.	1/N to 14/N (Relevant pages 9/N and 10/N) correspondence page 1/C to 267/C (Relevant pages 91/C to 250/C).
61.	One file bearing No. L-14042/44/99-NTG (Pt-II) of WPC Wing of DoT marked Serial No. 15 pertaining to allocation of GSM spectrum in Bihar service area.	1/N to 12/N (Relevant pages 2/N to 12/N) and 1/C to 187/C (Relevant pages 3/C to 184/C).
62.	One file bearing No. L-14043/10/2006-NTG (Pt.I) of WPC Wing of DoT marked Serial No. 16 pertaining to allocation of GSM spectrum in Haryana service area.	1/N to 9/N (Relevant pages 1/N to correspondence pages 1/C to 73/C (all pages are relevant).

63.	One file bearing No. L-14043/09/2006-NTG (Pt.) of WPC Wing of DoT marked Serial No. 17 pertaining to allocation of GSM spectrum in J&K service area.	1/N to 3/N (Relevant pages 1/N and 3/N) correspondence page 1/C to 116/C (all pages are relevant).
64.	One file bearing No. L-14043/10/2006-NTG of WPC Wing of DoT marked Serial No. 18 pertaining to allocation of GSM spectrum in Tamil Nadu service area including Chennai.	1/N to 11/N (Relevant pages 7/N to 11/N) and 1/C to 239/C (Relevant pages 87/C to 239/C).
65.	One file bearing No. L-14047/23/2006-NTG (Pt-I) of WPC Wing of DoT marked Serial No. 19 pertaining to allocation of GSM spectrum in Gujarat service area.	1/N to 6/N (Relevant pages 1/N to 6/N) and 1/C to 110/C (all pages are relevant).
66.	One file bearing No. L-14043/18/2006-NTG of WPC Wing of DoT marked Serial No. 20 pertaining to allocation of GSM spectrum in UP (East) service area.	1/N to 14/N (Relevant pages 1/N to 10/N) and 1/C to 168/C (Relevant pages 1/C to 144/C).
67.	One file bearing No. L-14047/18/2006-NTG of WPC Wing of DoT marked Serial No. 21 pertaining to allocation of GSM spectrum in Karnataka service area.	1/N to 25/N (Relevant pages 16/N to 19/N) correspondence pages 1/C to 236/C. (Relevant pages 101/C to 214/C).
68.	One file bearing No. L-14047/02/2004-NTG (Pt.) of WPC Wing of DoT marked Serial No. 22 pertaining to allocation of GSM spectrum in Orissa service area.	1/N to 40/N (Relevant page 36/N) correspondence pages 1/C to 226/C. (Relevant pages 159/C to 226/C).
69.	One file bearing No. L-14043/18/2006-NTG of WPC Wing of DoT marked Serial No. 23 pertaining to allocation of additional GSM spectrum in UP (East) service area.	1/N to 6/N (Relevant pages 1/N, 2N, 4N & 5/N) and 1/C to 71/C (Relevant pages 41/C to 68/C).

70.	One file bearing No. L-14043/13/2005-NTG of WPC Wing of DoT marked Serial No. 24 pertaining to allocation of GSM spectrum in Maharashtra service area.	1/N to 25/N (Relevant pages 13/N to 25/N) and 1/C to 330/C (170/C to 330/C are relevant).
71.	One file bearing No. L-14043/52/2005-NTG of WPC Wing of DoT marked Serial No. 25 pertaining to allocation of additional GSM spectrum in UP (West) service area.	1/N to 6/N (Relevant pages 1/N to 6/N) and 1/C to 178/C (Relevant pages 1/C to 113/C).
72.	One file bearing No. L-14047/10/2006-NTG of WPC Wing of DoT pertaining to allocation of GSM spectrum for Dual Technology in 14 service areas to Reliance communications Ltd.	1/N to 14/N (Relevant pages 13/N and 14/N) and 1/C to 310/C (all pages are relevant).
72 (A)	Seizure Memo dt. 07.12.2010 regarding seizure of two files of dual technology in case of Shyam Telelink and HFCL	1 page
73.	One file bearing No. L-14047/20/2006-NTG (Pt.) of WPC Wing of DoT pertaining to allocation of GSM spectrum for Dual Technology in Punjab service area for HFCL.	1/N and 1/C to 28/C (all pages are relevant).
74.	One file bearing No. L-14045/02/2004-NTG (Pt.) of WPC Wing of DoT pertaining to allocation of GSM spectrum for Dual Technology in Rajasthan service area for Shyam Telelink.	1/N and 1/C to 67/C (Relevant pages 48/C to 67/C).
75.	One file bearing No. L-14047/13/2007-NTG (Pt.) of WPC Wing of DoT pertaining to availability of 2G spectrum.	1/N and 1/C to 5/C
76.	One file bearing No. L-14047/06/2008-NTG (Pt.) of WPC Wing of DoT pertaining to Spectrum availability for 2G.	1/N and 1/C to 8/C
77.	Letter vide No. L-14041/28/2010-NTG dated 11.03.2011 signed by Sh. M.K. Rao, Dy. Wireless Advisor(V), DoT, Sanchar Bhawan alongwith One bunch of documents containing photocopies of papers and file nettings contained in the file no. L-14047/22/2004-NTG (Pt.) (N.E. Region) and No. L-14047/02/2004-NTG (Pt-I) (HP Service Area).	The forwarding letter of one page and 1/N to 3/N (Relevant pages 1/N and 3/N) correspondence. pages 3/C to 86/C (all pages are relevant). The applications from the operators and the allotment letter (photocopy) for N.

		East are attached in the document.
78.	Seizure Memo dated 18.03.2011 alongwith File no. 842-725/2005-VAS (Pt.1) seized from Sh. Sudhir Kumar Sinha, Section Officer, DoT	Seizure memo (1 page) The file contains 1 page of notesheet and 11 correspondence pages. (Relevant pages 1/N and 3/C)
79.	Seizure memo dated 10.11.2010 regarding seizure of one file bearing No. MOB-15/Roam-2008 (Pt.) of Wireless Services Operation and Maintenance Division, BSNL	Seizure memo (1 page) the file contains total 1-3 pages 1-3 notesheet and 1 458 correspondence. (relevant page 16/N approval CMD for MOI with Swa Telecom, pag 33/C - DoT letter dated 12.06.2008 regarding amendment of inter service roaming, pag 14/C & 15/C letters of Swa Telecom. date 28.04.2008 and 04.06.2008 of Intra and Int service roaming, pag 84/C - note dated 04.09.08 of Management Committee (MC BSNL, page 89. - decision of MC and 90/C Corrigendum MC decision regarding inter service roaming, page 92/C - BSNL letter to Sw Telecom dat

		05.09.2008, page 302/C to 308/C - MOU between BSNL and Swai Telecom, page 338/C to 346/C - note dated 07.12.2008 for M for intra service area roaming with 7 operators.
80.	Letter vide U.O. No. L-14041/02/2009-NTG dated 04.03.2011 signed by Sh. M.K. Rao, Dy. Wireless Advisor(V), DoT, Sanchar Bhawan forwarding the applications of M/s Tata dated 10-1-2008 for Karnatka Circle, M/s Idea dated Jan 2008 for Karnatka Service Area, M/s Loop dated 11-1-2008 for Orissa and Kerala Service area and M/s S.Tel dated 10-1-2008 for Orissa Telecom Circle..	1 page forwarding letter, the application of M/ Tata (pages 1-4 M/s Idea- (6 to 10), M/s Loop (11-27) and M/ S.Tel (28-48)
81.	Letter vide No. 41-14/2010-Admn.-V dated 01.02.2011 sent by Nirmala Dev, Under Secy.(Admn.II) of DoT forwarding the original file vide No. 41-6/07-Admn. -IV of Admn.IV Section of DoT with respect to transfer/posting of Group-'A' officers in WPC/WMO/RLOs in Department of Telecom .	2 pages covering letter and file containing pages 1-30/N and 1 - 108/C.
82.	One file folder containing letter dated 24.01.2011 of Sh. Anand Dalal, Vice President, Corporate Regulatory Affairs of Tata Tele Services alongwith Annexures from 1 to 14.	Letter 3 pages and Annexures to 14.
83.	Letter dated 28.01.2011 of Sh. Anand Dalal, Vice President, Corporate Regulatory Affairs of Tata Tele Services alongwith duly receipted copies of applications filed on 10.01.2008 in DoT for allocation of GSM Spectrum under Dual Technology.	1 to 81
84.	One letter No. PF.549/Admn.I dated 21.03.2011 of Sh. Gervasis Kulampalli, DoT alongwith copy of order No.12-1/2008-IR dated 22.02.2008 reg. tour of Sh. K.Sridhara, the then Member (T), DoT to Indonesia from 24.02.2008 to 31.08.2008.	Total 3 pages.
85.	Letter No. L-14041/28/2010-NTG dated 04.03.2011 signed by Sh. M.K. Rao, Dy. Wireless Advisor(V), DoT, Sanchar Bhawan forwarding the data regarding availability of 2G Spectrum i.e. one availability of spectrum, list of GSM spectrum not allotted to entire service area and copy of the details of GSM spectrum allotted to the operators as on date.	Total pages from 1 to 22.
86.	Letter No. 103-6/2010/MN dated 04.03.2011 signed by Radha Vedagiri, Sr. Research Office (MN), TRAI regarding forwarding of letter dated 19.10.2007 of Sh. Nripendra Misra, Chairman, TRAI	Total 2 Pages

	Letter No. L-14041/02/2009-NTG dated 08.03.2011 signed by Sh. T. K. Vardakrishnan, Jt. Wireless Advisor, DoT, Sanchar Bhawan.	1 page
87.	Letter No. L-14041/28/2010-NTG dated 09.03.2011 signed by Sh. M.K. Rao, Dy. Wireless Advisor(V), DoT, Sanchar Bhawan.	Total 6 pages.
87-A	Letter No. L-14041/02/2009-NTG dated 08.03.2011 signed by Sh. T.K.Varada Krishnan, Joint Wireless Adviser, DoT, Sanchar Bhawan.	1
88.	Letter dated -10.03.2011 of Ms. Preeti Malhotra, Former Company Secretary, Spice Communications Ltd. directed to Sh. Rajesh Chahal, Dy.SP, CBI, ACB	Total 2 pages
89.	Seizure memo dated 15.03.2011 regarding seizure of File no. L-14047/14/2010-NTG of WPC Wing, Deptt. of Telecommunications marked as "petition no. 299 of 2010 in Hon'ble TDSAT relating to allotment of spectrum to M/s TTSL in Delhi" regarding Duel Technology containing affidavit dated 07.12.2010 filed by WPC	1 page of seizure memo along with only Correspondence pages 1-502).
90.	Letter dated 15.03.2011 of Sh. A.K.Srivastava, the then DDG (AS), DoT addressed to Sh. Rajesh Chahal, Dy.SP, CBI, ACB regarding TDSAT petition no.286 of 2007 (in the matter of COAI and others vs DoT and others) and 299 of 2010 (in the matter of TTSL and another vs DoT and others).	1 page of covering letter alongwith 3 pages enclosures
91.	Seizure memo dated 21.02.2011 regarding seizure of Original Peon Book of C.R.Section, Reception Counter of DoT for the period 01.01.2008 to 01.02.2008 marked as "Vol-I".	1 page of Seizure memo and peon book containing pages from 1 to 185 only
92.	Letter vide No. L-14041/28/2010-NTG dated 28.02.2011 signed by Sh. M.K. Rao, Dy. Wireless Advisor(V), DoT, Sanchar Bhawan forwarding DAK entry register maintained by Joint Wireless Advisor for the year 2008 and list of GSM operators (UAS Licenses) for whom start up spectrum not allotted in the entire service area.	Page 1 covering letter, pages enclosure and register having written pages 1 to 354.
93.	Memo dated 09.02.2011 in RC-DAI-2009-A-0045 signed by Rajesh Chahal, Dy.SP, CBI, ACB, Sh. A.Raja, the then MOC&IT, and independent witnesses namely Rajendra Singh, Inspr. Service Tax, CGO Complex and Amit Yadav, Inspr. Service Tax.	1 page
94.	Letter vide No. L-14041/28/2010-NTG dated 09.02.2011 signed by Sh. T.K.Varada Krishnan, Jt. Wireless Advisor, DoT, Sanchar Bhawan alongwith DAK entry register maintained by Wireless Advisor office for the year 2008	1 page forwardin letter and register having page no. to 191 (relevant pages 14 and 15)

95.	Section diary of CR Section, DoT, New Delhi (Vol-I), for the period 01.01.2008 to 15.07.2008 (marked s no.7)	Relevant entries from s no. 232 to s no. 663.
96.	Letter no. 20-296/2010-AS-I dated 18.01.2011 signed by Sh. Madan Chaurasia, SO(AS-I), DoT forwarding the copies of petition no. 286 of 2007 of COAI, affidavits, petition in High Court etc.	1 page of covering letter and
97.	Copy of petition no. 286 of 2007 before hon'ble TDSAT in the matter of "COAI and Ors. Vs. Uol and another".	1 to 258 (relevant pages 1 to 3 and 92 to 98)
98.	Copy of the affidavit dated 13.11.2007 in petition no. 286 of 2007 and Copy of notesheet of approval.	1 to 13
99.	Copies of orders dated 24.10.2007, 12.11.2007 and 12.12.2007 of TDSAT	1 to 7
100.	Copy of judgment dated 31.03.2009 of TDSAT in petition no. 286 of 2007.	1 to 73
101.	Copy of writ petition (C) no. 9654 of 2007 before hon'ble High Court of Delhi in the matter of "COAI and Ors. Vs. Uol and others".	1 to 280 (Relevant pages 1 to 4 and 50 to 51)
102.	Copy of the judgment dated 22.08.2000 in above Writ Petition (C) no. 9654 of 2007 before Hon'ble High Court of Delhi	1 to 139 (Relevant pages 9 to 12 and 138-139)
103.	Letter no. I-25016/47/2009-SSO dated 10.11.2009 of Mrs. Harcharan Kaur, Under Secretary, M/o Home Affairs regarding forwarding of two visitor registers of DoT Sanchar Bhawan for the month of January 2008.	1 page
104.	Visitor register of Deptt. of Telecommunications, Sanchar Bhawan with effect from 01.01.2008 to 06.02.2008.	Total pages 1 to 302 (relevant pages 80 to 90)
105.	Visitor register of Deptt. of Telecommunications, Sanchar Bhawan with effect from 03.01.2008 to 10.03.2008.	Total pages 1 to 301 (relevant pages 34 to 42)
106.	Letter no. 20-296/2010- AS-I dated 15.03.2011 of Sh Manish Jain, ADG (AS-I), DoT, New Delhi regarding forwarding of two files.	1 page
107.	One file no. 20-161/2007-AS-I (Vol.2) of AS-I Section of DoT on the subject petition no. 286/2007 in TDSAT and W.P No. 9654/2007 in High Court Delhi in the matter of COAI Vs Uol	Pages 1/C to 726/C (Relevant pages 580/C to 603/C)
108.	One file no. 20-161/2007-AS-I (Vol.3) of AS-I Section of DoT on the subject petition no. 286/2007 before Hon'ble TDSAT	Page 1/N to 16/N (relevant pages 2/N to 3/N and 14/N) and 1/C to 533/C (relevant

		pages 345/C to 357/C and 403/C to 533/C)
109.	Search List dtd. 28.10.2009 with respect to search conducted at office premises of M/s Etisalat DB Telecom Pvt. Ltd. located at link Road, Malad, Mumbai containing the list of seized items (total 35 in numbers, however the relevant documents are from sl. no. 1 to 10)	Search list 1 to 9 pages. Total pages of documents from sl. no. 1 to 10 are 424
110.	Letter No. 3623/RC.DAI.2009.A.0045 dtd. 18.03.2011 addressed to Director, CFSL, CGO Complex, Lodhi Road, New Delhi signed by Shri S.K. Palsania, HOB & DIG of Police, CBI, ACB, New Delhi pertaining to forwarding of documents.	Total 6 pages
110 A	Letter No. 4003/RC.DAI.2009.A.0045 dtd. 28.03.2011 addressed to Director, CFSL, CGO Complex, Lodhi Road, New Delhi signed by Shri S.K. Palsania, HOB & DIG of Police, CBI, ACB, New Delhi alongwith specimen handwritings S-1 to S-39	40 pages
111.	Production cum seizure Memo dtd. 30.10.2009 from Shri Naresh Kumar Tomar, Asstt. In the office of Access Services-I, Division, DoT, Sanchar Bhawan, Ashoka Road, New Delhi regarding seizure of DoT files	1 page
112.	Seizure memo dated 25.01.2011 regarding seizure of Note sheet pages, in original, of file no. L-14047/14/2010-NTG of Wireless Planning & Coordination Wing of Department of Telecom (pages 1/N to 18/N)	1
113.	Production cum seizure memo dtd. 29.10.2009 from Mrs. M. Revathi, Asstt. Wireless Advisor (V), WPC Wing, 6 th Floor, Sanchar Bhawan, New Delhi - 01 regarding seizure of one file.	1
114.	Production cum seizure memo dt.d 28.10.2009 from Shri Ramesh Chandra Malik, Engineer in the o/o Wireless Advisor to Govt. of India, DoT, Sanchar Bhawan, N. Delhi regarding seizure of file.	1
115.	Production cum seizure memo dt.d 28.10.2009 from Shri Naresh Kumar Tomar, Asstt. In the o/o Access Services-I, Division, DOT, Sanchar Bhawan, Ashoka Road, New Delhi regarding seizure of files (11 in numbers).	2
116.	Production cum seizure memo dtd. 26.10.09 from Shri T.K. Varada Krishnan, Joint Wireless Advisor, WPC Wing, DoT, Ashoka Road, New Delhi regarding seizure of two files i.e. (i) L-14047/13/2007-NTG and L-14047/06/2007-NTG regarding spectrum availability of 2G.	1
117.	Production cum seizure memo dtd. 07.12.2009 from Shri Amit Kumar Hira, Office, ICICI Bank Ltd., New Delhi Cannought Place, New Delhi regarding seizure of original Vouchers (RTGS requests/ fund transfer request/FD request) of Swan Telecom and Vikata jEngg. Services Pvt. Ltd.	2
118.	Letter No. 3219/RC.DAI.2009.A.0045 dtd. 11.03.2011 addressed to Director, CFSL, CGO Complex, Lodhi Road, New Delhi signed by Shri S.K. Palsania, HOB & DIG of Police, CBI, ACB, New Delhi pertaining to forwarding of documents	21 pages

119.	Letter dtd. 3.12.2009 of Deutsche Bank regarding forwarding the certified true copies of vouchers in r/o A/c No. 0582536-00-0 of M/s Etisalat DB Telecom Pvt Ltd. relating to credit/debit by RTGS on 17.12.2008	8
120.	Letter dtd. 25.11.2009 of SBI, Commercial Divn., New Delhi main Branch, 11 Sansad Marg, New Delhi enclosing therein statement of account of A/c No. 30262627111 w.e.f. 20.10.07 to 31.12.08 of Etisalat DB Telecom Pvt. Ltd.	11
121.	Letter dtd. 26.11.2009 of Union Bank of India, signed by Sh. H.Y. Bhandarkar, AGM regarding details of company and their account no.	2
122.	Letter Dtd. 23.11.2009 signed by Sh. Sujoy Das, Chief Credit & Risk Officer, Infrastructure Leasing & Financial Services Ltd., Bandra East, Mumbai enclosing therein the details of loan extended to Swan Telecom Private Ltd. and copy of Ledger Account	7
123.	Production cum seizure memo dtd. 24.11.2009 from Shri J.P. Gupta, Chief Manager, Oriental Bank of Commerce, Gokul Dham, Goregaon (E), Mumbai - 63 pertaining to seizure of cheques of Rs. 18 crores and 10 cores and request letter for RTGS favouring Swan Telecom Pvt. Lt. Etc.	1
124.	Production cum seizure memo dtd. 24.11.2009 from Shri J.P. Gupta, Chief Manager, Oriental Bank of Commerce, Gokul Dham, Goregaon (E), Mumbai - 63 pertaining to seizure of bank instruments of M/s Swan Telecom Pvt. Ltd., Dynamix Reality, DB Reality Pvt. Ltd., Tiger Trustees Pvt. Ltd.	1
125.	Receipt Memo dtd.. 25.11.2009 from Shri Rajiv Agarwal, Chief Operating Officer, DB House, Yashodham, Goregaon (E), Mumbai pertaining to receipt of Book of accounts of M/s Tiger Trustees, M/s Falgun Consultants Pvt. Ltd., M/s DB Telewimax Pvt. Ltd.	1
126.	Seizure Memo dtd. 07.12.2010 from Sh. M.K. Rao, Dy. Wireless Advisor, WPC Wingham, DoT, Ashoka Road, Sanchar Bhawan, New Delhi regarding seizure of file No. L-14045/02/2004-NTG(Pt) regarding Shyam Telelink, Duel Technology Rajasthan and L-14047/02/2006-NTG(Pt) regarding "Duel Technology (Punjab) (HFCL).	1
127.	Letter No. 103-6/2010-MN dtd. 18 th March 2011 of Shri Radha Vedagiri, Sr. Research Officer (MN) regarding table showing the telecom circle-wise details of Spectrum allocated to all CMTS and UASL Operators upto 2001 and 2007	20
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312.	Certified true copy of UAS License no. 20-220/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Delhi circle	85
313.	Certified true copy of UAS License no. 20-205/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Gujarat circle	85
314.	Certified true copy of UAS License no. 20-206/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Haryana circle	85
315.	Certified true copy of UAS License no. 20-207/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Himachal Pradesh circle	85
316.	Certified true copy of UAS License no. 20-208/2008-Shyam-AS-I dated 07.03.2008 of Shyam Telelink Limited for Jammu & Kashmir circle	85
317.	Certified true copy of UAS License no. 20-209/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Karnataka circle	85
318.	Certified true copy of UAS License no. 20-210/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Kerala circle	85
319.	Certified true copy of UAS License no. 20-221/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Kolkata circle	85

320.	Certified true copy of UAS License no. 20-211/2008-Shyam-AS-I dated 03.03.2008 of Shyam Telelink Limited for Madhya Pradesh circle	85
321.	Certified true copy of UAS License no. 20-212/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Maharashtra circle	85
322.	Certified true copy of UAS License no. 20-222/2008-Shyam-AS-I dated 03.03.2008 of Shyam Telelink Limited for Mumbai circle	85
323.	Certified true copy of UAS License no. 20-213/2008-Shyam-AS-I dated 07.03.2008 of Shyam Telelink Limited for North East circle	85
324.	Certified true copy of UAS License no. 20-214/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Orissa circle	85
325.	Certified true copy of UAS License no. 20-215/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Punjab circle	85
326.	Certified true copy of UAS License no. 20-217/2008-Shyam-AS-I dated 05.03.2008 of Shyam Telelink Limited for Tamil Nadu (including Chennai) circle	85
327.	Certified true copy of UAS License no. 20-219/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Uttar Pradesh (East) circle	85
328.	Certified true copy of UAS License no. 20-218/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for Uttar Pradesh (West) circle	85
329.	Certified true copy of UAS License no. 20-201/2008-Shyam-AS-I dated 04.03.2008 of Shyam Telelink Limited for West Bengal circle	85
330.	Certified true copy of UAS License no. 20-202/2008-Shyam-AS-I dated 29.02.2008 of Spice Communications Ltd. for Andhra Pradesh circle	85
331.	Certified true copy of UAS License no. 20-220/2008-Spice-AS-I dated 03.03.2008 of Spice Communications Ltd. for Delhi circle	85
332.	Certified true copy of UAS License no. 20-206/2008-Spice-AS-I dated 29.02.2008 of Spice Communications Ltd. for Haryana circle	85
333.	Certified true copy of UAS License no. 20-212/2008-Spice-AS-I dated 29.02.2008 of Spice Communications Ltd. for Maharashtra circle	85
334.	Certified true copy of UAS License no. 20-202/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Andhra Pradesh circle	85
335.	Certified true copy of UAS License no. 20-220/2008-Swan-AS-I dated 27.02.2008 of Swan Telecom Pvt. Ltd. for Delhi circle	85
336.	Certified true copy of UAS License no. 20-205/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Gujarat circle	85
337.	Certified true copy of UAS License no. 20-206/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Haryana circle	85

338.	Certified true copy of UAS License no. 20-209/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Karnataka circle	85
339.	Certified true copy of UAS License no. 20-210/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Kerala circle	85
340.	Certified true copy of UAS License no. 20-212/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Maharashtra circle	85
341.	Certified true copy of UAS License no. 20-222/2008-Swan-AS-I dated 27.02.2008 of Swan Telecom Pvt. Ltd. for Mumbai circle	85
342.	Certified true copy of UAS License no. 20-215/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Punjab circle	85
343.	Certified true copy of UAS License no. 20-216/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Rajasthan circle	85
344.	Certified true copy of UAS License no. 20-217/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Tamil Nadu (including Chennai) circle	85
345.	Certified true copy of UAS License no. 20-219/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Uttar Pradesh (East) circle	85
346.	Certified true copy of UAS License no. 20-218/2008-Swan-AS-I dated 03.03.2008 of Swan Telecom Pvt. Ltd. for Uttar Pradesh (West) circle	85
347.	Certified true copy of UAS License no. 20-203/2008-Tata-AS-I dated 03.03.2008 of Tata Teleservices Ltd. for Assam circle	85
348.	Certified true copy of UAS License no. 20-208/2008-Tata-AS-I dated 03.03.2008 of Tata Teleservices Ltd. for Jammu & Kashmir circle	85
349.	Certified true copy of UAS License no. 20-213/2008-Tata-AS-I dated 03.03.2008 of Tata Teleservices Ltd. for North East circle	85
350.	Certified true copy of UAS License no. 20-217/2008- Unitech-AS-I dated 29.02.2008 of Unitech Builders & Estates Pvt. Ltd. for Tamil Nadu (including Chennai) circle	85
351.	Certified true copy of UAS License no. 20-222/2008- Unitech-AS-I dated 29.02.2008 of Unitech Infrastructures Pvt. Ltd. for Mumbai circle	85
352.	Certified true copy of UAS License no. 20-205/2008- Volga -AS-I dated 28.02.2008 of Volga Properties Pvt. Ltd. for Gujarat circle	85
353.	Certified true copy of UAS License no. 20-211/2008- Volga -AS-I dated 28.02.2008 of Volga Properties Pvt. Ltd. for Madhya Pradesh circle	85
354.	Certified true copy of UAS License no. 20-212/2008- Volga -AS-I dated 28.02.2008 of Volga Properties Pvt. Ltd. for Maharashtra circle	85
355.	Certified true copy of UAS License no. 20-211/2008- Allianz -AS-I dated 07.08.2008 of Allianz Infratech Pvt.Ltd. for Madhya Pradesh circle	85

356.	Certified true copy of UAS License no. 20-204/2008- Allianz - AS-I dated 07.08.2008 of Allianz Infratech Pvt. Ltd. for Bihar circle	85
357.	Letter no. 180/31/C/26/2005-E&S.I dt. 09.03.2011 of Sh P K Sharma, Section Officer, Prime Minister's Office, South Block, New Delhi-1 regarding forwarding of letters exchanged between Sh A Raja, MOC&IT and Hon'ble PM	Total page 1
358.	Two original letters dt. 02.11.2007 of Sh A Raja, Minister of Communications & IT written to Hon'ble Prime Minister.	Total pages 7
359.	Office copy of letter dt. 02.11.2007 from Hon'ble PM to Sh A Raja, Minister of Communications & IT.	2
360.	Original letter dt. 06.11.2007 from Secretary Telecommunications to the Principle Secretary to PM	2
361.	Original letter dt. 26.12.2007 from Sh A Raja, Minister of Communications & IT to Hon'ble PM	5
362.	Office copy of acknowledgment of Hon'ble PM dt. 03.01.2008 to the letter dt. 26.12.2007 of Sh A Raja, MOC&IT	1
363.	File no. 3/11/2003-INF (Vol.II) of Ministry of Finance, Deptt. of Economic Affairs, Govt. of India regarding "Committee to examine the optimum spectrum utilization and other issues pricing and allocation of spectrum"	Notesheet pages 1/N to 79/N (relevant pages 1/N to 79/N) and correspondence file pages 1/C to 632/C (relevant pages 190/C to 194/C, 407/C to 416/C, 448/C to 455/C, 475/C to 490/C, 491/C to 529/C and 530/C to 632/C)
364.	Minutes of Meeting of Board of Director, AGM etc. of Swan Telecom Pvt. Ltd.	Pages (1-96),
365.	Minutes of Meeting of Board of Director, AGM etc. of Tiger Traders Pvt. Ltd.	Pages (1-37)
366.	Minutes of Meeting of Board of Director, AGM etc. of Giraffe Consultancy Services Pvt. Ltd.	Pages (1-25)
367.	Minutes of Meeting of Board of Director, AGM etc. of Parrot Consultants Pvt. Ltd.	Pages (1-28)
368.	Minutes of Meeting of Board of Director, AGM etc. of Zebra Consultants Pvt. Ltd.	Pages (1-29)
369.	Minutes of Meeting of Board of Director, AGM etc. of AAA Consultancy Services Company Pvt. Ltd.	Pages (1-29)
370.	Minutes of Meeting of Board of Director, AGM etc. of ADE Ventures Pvt. Ltd.	Pages (1-34)
371.	Minutes of Meeting of Board of Director, AGM etc. of Swan Consultant Pvt. Ltd.	Pages (1-34)
372.	Statuary Register of Giraffe Consultancy Services Pvt. Ltd.	Pages (1-11)
373.	A certified true copies of extract of Board Meetings of Board of Director of Reliance Telecom Ltd.	(page marked 56-70, 15 sheets)

374.	Certified true copy of Shareholder Register of Swan Consultants Pvt. Ltd., ADAE Ventures, AAA Traders Pvt. Ltd, Zebra Consultants Pvt. Ltd, Parrot Consultants Pvt. Ltd. etc.	pages number as 31-55.
375.	Original shares transfer form of Swan Consultants Pvt. Ltd., ADAE Ventures, AAA Traders Pvt. Ltd, Zebra Consultants Pvt. Ltd, Parrot Consultants Pvt. Ltd. etc.	pages number as 6-30
376.	Copy of I-Card, PAN Card etc. of Sh. Gaurang Shah.	Pages 3 - 5
377.	List of Directorship of Sh. Ramesh Shenoy, Company Secretary, Reliance Infrastructure Pvt. Ltd.	Page - 2
378.	A details of the directors of Reliance Telecom Ltd.	Page - 1
379.	One file marked "Reliance Energy" of Tiger Traders Pvt. Ltd.	containing page 1 - 200 (relevant pages 116 to 118)
380.	One file marked (Shivam Enterprises) of Tiger Trustee Pvt. Ltd. containing miscellaneous documents	1 - 328 (relevant pages 151-157)
381.	Voucher dated 02 nd March, 2007 of Oriental Buildtech Pvt. Ltd. regarding receipt of Rs. 100 crores from Sonata Investments Pvt. alongwith E-mails dated 01 st March, 2007 of Sh. Hari Nair to Yogesh Sharma and others giving RTGS details of Giraffe Consultancy Services Pvt. Ltd. and E-mail dated 01 st March, 2007 of Sh. Yogesh Sharma to Deepak Maheshwari giving RTGS detail of Oriental Buildtech Pvt. Ltd.	2 sheets
382.	Voucher dated 02 nd March, 2007 of Anantraj Agencies Pvt. Ltd. regarding payment of Rs. 100 crores to Giraffe Consultancy Services Pvt. Ltd. alongwith E-mails dated 01 st March, 2007 of Sh. Hari Nair to Yogesh Sharma and others giving RTGS details of Giraffe Consultancy Services Pvt. Ltd. and E-mail dated 01 st March, 2007 of Sh. Yogesh Sharma to Deepak Maheshwari giving RTGS detail of Oriental Buildtech Pvt. Ltd.	3 sheets
383.	Original voucher of Anantraj Industries Ltd. dated 26.03.2009 regarding receipt of Rs. 100 Crores	1 sheet
384.	Original voucher of Oriental Buildtech Pvt. Ltd. dated 26.03.2009 alongwith RTGS advises regarding payment of Rs. 100 crores to Sonata Investments Ltd.	3 sheets
385.	E-mails dated 01 st March, 2007 of Sh. Hari Nair to Yogesh Sharma and others giving RTGS details of Giraffe Consultancy Services Pvt. Ltd. and E-mail dated 01 st March, 2007 of Sh. Yogesh Sharma to Deepak Maheshwari giving RTGS detail of Oriental Buildtech Pvt. Ltd.	1 sheets
386.	Original account opening form of Swan Telecom Pvt. Ltd. pertaining to account no. 00600310010740 at HDFC Mumbai	pages - 1 to 28.
387.	Original account opening form of Swan Capital Pvt. Ltd. pertaining to account no. 039305000144 at ICICI Bank, Mumbai	pages - 1 to 68.
388.	Letter dated 20.10.2007 of STPL to ICICI regarding change of authorized signatory to A/c No.039305000144 alongwith specimen signature card etc	pages 1 to 15
389.	Original account opening form of Tiger Traders Pvt. Ltd. pertaining to account no. 405036247 at ICICI Bank, Mumbai	pages 1 to 83
390.	Original account opening form of Giraffe Consultancy pertaining to account no. 0393050146 at ICICI Bank, Mumbai	pages - 1 to 67

391.	Photocopy of account opening form of Parrot Consultants Pvt. Ltd. pertaining to account no. 405036248 at ICICI Bank, Mumbai	pages 1 to 70
392.	Original account opening form of Zebra Consultants Pvt. Ltd. pertaining to account no. 405036249 at ICICI Bank, Mumbai.	pages 1 to 40.
393.	Original letter dated 25.10.2006 of Zebra Consultants Pvt. Ltd. addressed to ICICI Bank Ltd., Churchgate, Mumbai, regarding amendment of authorized signatories for operating account no. 000405036249 at ICICI Bank, Mumbai.	pages 1 to 15
394.	Original letter dated 28.09.2006 of Swan Consultants Pvt. Ltd. regarding additional signatures and photographs of authorized persons to operate account no. 405036172 at ICICI Bank, Mumbai.	pages 1 to 19
395.	Account opening form of Swan Consultants Pvt. Ltd. pertaining to account no. 405036172 at ICICI Bank, Mumbai.	pages 1 to 33.
396.	Photocopy of account opening form of BSES Ventures Pvt. Ltd. pertaining to account no. 405014012 at ICICI Bank, Mumbai.	pages 1 to 20
397.	Original account opening form of Sonata Investments Ltd. pertaining to account no. 405014012 at ICICI Bank, Mumbai.	pages 1 to 11
398.	Account opening form in respect of A/c No.039305000928 of Vikata Engineering Services Pvt. Ltd. pertaining to ICICI Bank, Mumbai.	pages 1 to 37
399.	Original account opening form of ADAE Ventures Pvt. Ltd. pertaining to account no. 405033611 at ICICI Bank, Mumbai.	pages 1 to 37
400.	Original letter dated 25.10.2006 regarding amendment of authorized signatories alongwith specimen signature card in respect of ADAE Ventures Pvt. Ltd. pertaining to account no. 000405033611 at ICICI Bank, Mumbai.	pages 1 to 18
401.	Photocopy of account opening form of AAA Consultancy Services Company Pvt. Ltd. pertaining to account no. 000405024101 at ICICI Bank, Mumbai.	pages 1 to 38
402.	Original letter dated 09.05.2006 regarding amendment of authorized signatories alongwith specimen signature card in respect of AAA Consultancy Services Company Pvt. Ltd. pertaining to account no. 000405024101 at ICICI Bank, Mumbai.	pages 1 to 32
403.	Original Account Opening form in respect of Reliance Communications Ltd., A/c No. 802873018 (new account no. 0600310001874) at HDFC Bank, Mumbai.	pages 1 to 15
404.	Original Account Opening form in respect of Reliance Telecom Ltd., A/c No. 0450999008 (new account no. 02400310001115) at HDFC Bank, Mumbai.	pages 1 to 49
405.	Statement of account of M/s Swan Telecom Pvt. Ltd. account no. 0600310010740 at HDFC, Mumbai for the period of 01.05.2007 to 31.05.2007 alongwith photocopy of the account opening form of the said account.	pages 1 to 62.
406.	Statement of account of M/s Estisalat DB Telecom Pvt. Ltd. in respect of account no. 039305000144 at ICICI Bank, Mumbai for the period of 04.08.2006 to 31.03.2008.	5 sheets

407.	Statement of account of M/s Tiger Traders Pvt.,Ltd. in respect of account no. 000405036247 at ICICI, Mumbai for the period of 31.03.2006 to 31.03.2007	4 sheets
408.	Statement of account of M/s Sidharth Consultancy Services Pvt. Ltd. account no. 039305000146 at ICICI, Mumbai for the period of 05.08.2006 to 05.08.2007	4 sheets
409.	Statement of account of M/s Swan Infonet Services Pvt. Ltd. account n o. 000405036248 at ICICI, Mumbai for the period of 31.03.2006 to 31.03.2008.	3 sheets
410.	Statement of account of M/s Swan Advisory Services Pvt. Ltd. account no. 000405036249 at ICICI, Mumbai for the period of 31.03.2006 to 31.03.2008.	4 sheets
411.	Statement of account of M/s Swan Consultants Pvt. Ltd. account no. 000405036172 at ICICI, Mumbai for the period of 28.03.2006 to 27.03.2007.	15 sheets
412.	Statement of account of M/s Sonata Investments Ltd. account no. 503448231 at ICICI, Mumbai for the period of 01.04.2008 to 31.03.2008.	Pages 1 to 120 (relevant page - 92)
413.	Statement of account of M/s Swan Ventures Pvt. Ltd. account no. 000405033611 at ICICI, Mumbai for the period of 02.02.2006 to 31.03.2008.	7 sheets
414.	Statement of account of M/s Vikata Engineering Services Pvt. Ltd. account n o. 039305000928 at ICICI Bank, Mumbai for the period of 27.03.2007 to 31.03.2008.	3 sheets
415.	Statement of account of M/s Swan Sorority Finance Pvt. Ltd. account n o. 000405024101 at ICICI Bank, Mumbai for the period of 03.02.2005 to 31.03.2005.	6 sheets
416.	Letter no. F.No. 3/92/2010/CL-II dated 25.03.2011 of Sh. M.S. Pachouri, Assistant Director/Inspection, Ministry of Corporate Affairs, New Delhi alongwith Report No. ROC/STA/234/B/S/TS/163071/663-664 dated 11.03.2011 of Sh. Henry Rechar, ROC, Mumbai	Pages 1 - 53
417.	RTGS advise dated 02.03.2007 of Giraffe Consultancy Services Pvt. Ltd. to ICICI Bank, Mumbai regarding transfer of Rs. 95.51 Crores to Tiger Traders Pvt. Ltd.	1
418.	RTGS advise dated 11.10.2007 of Giraffe Consultancy Services Pvt. Ltd. to ICICI Bank, Mumbai regarding transfer of Rs. 3 Crores to Tiger Traders Pvt. Ltd. alongwith Letter No. ICBK/BBR/2010-11/DC/5422 Dated 24.02.2011 of ICICI Bank, Mumbai regarding clarifications of Rs. 3 crores transaction in the statement of account.	1
419.	RTGS advise dated 02.03.2007 of Swan Telecom Pvt. Ltd. to HDFC Bank Ltd., Mumbai regarding transfer of Rs. 974,49,04,000/- to Reliance Communication Ltd. and RTGS dt. 27.06.2007	(Two Sheets)
420.	RTGS advise dated 22.05.2007 of Swan Telecom Pvt. Ltd. to HDFC Bank Ltd., Mumbai regarding transfer of Rs. 700 crores to Vikata Engineering Services Pvt. Ltd.	1
421.	RTGS advise dated 25.05.2007 of Swan Telecom Pvt. Ltd. to	1

	HDFC Bank Ltd., Mumbai regarding transfer of Rs. 100 crores to Vikata Engineering Services Pvt. Ltd.	
422.	RTGS advise dated 22.06.2007 of Swan Telecom Pvt. Ltd. to HDFC Bank Ltd., Mumbai regarding transfer of Rs. 10 crores to Swan Consultants Pvt. Ltd.	1
423.	RTGS advise dated 10.12.2007 of Swan Consultancy Services Pvt. Ltd. to ICICI Bank Ltd., Mumbai regarding transfer of Rs. 1.85 crores to Swan Consultants Pvt. Ltd.	1
424.	ICICI Bank Ltd. cheque no. 608751 dated 25.10.2006 of AAA Traders Pvt. Ltd. for Rs. 5 lakhs issued in favour of Tiger Trader Pvt. Ltd. alongwith pay-in-slip updated 27.10.2006 for Rs. 5 lakhs.	1
425.	ICICI Bank Ltd. Pay-in-slip dated NIL for Rs. 1 lakh in favour of Tiger Traders Pvt. Ltd.	1
426.	Certified copy of RTGS advise of Swan Consultants Pvt. Ltd. dated 22.01.2007 to ICICI Bank, Mumbai transfer of Rs. 3 crores to Tiger Traders Pvt. Ltd.	1
427.	HDFC Cheque no. 000782 dated 21.08.2007 for Rs. 5 Crores issued by Reliance Communications Infrastructure Ltd. in favour of Reliance Communication Ltd. alongwith pay-in-slip dated 21.08.2007 for Rs. 5 Crores.	Two sheets
428.	RTGS advise dated 22.01.2007 of Reliance Telecom Ltd. to HDFC Bank regarding transfer of Rs. 28.297 crores to Swan Capital Pvt. Ltd.	1
429.	A bunch of original RTGS advices including RTGS advise dated 22.01.2007 of ADAE Ventures Pvt. Ltd. to ICICI Bank Ltd, Mumbai regarding transfer of Rs. 3 crores to Swan Consultants,	38 vouchers (relevant voucher 23)
430.	A bunch of original RTGS advices including RTGS advice dated 22.01.2007 of Swan Consultants Pvt. Ltd. to ICICI Bank Ltd, Mumbai regarding transfer of Rs. 3 crores to Tiger Traders Pvt. Ltd. and RTGS advices dated 25.05.2007 of Vikata Engineering Services Pvt. Ltd. to ICICI Bank Ltd, Mumbai regarding transfer of Rs. 100 crores to Swan Consultants Pvt. Ltd.	36 voucher (relevant 3 & 10)
431.	ICICI Bank Ltd. Cheque no. 123852 dated 31.03.2006 for Rs. 50,000 issued by Tiger Traders Pvt. Ltd. to Zebra Consultants Pvt. Ltd.	1
432.	ICICI Bank Ltd. Cheque no. 123851 dated 31.03.2006 for Rs. 50,000 issued by Tiger Traders Pvt. Ltd. to Zebra Consultants Pvt. Ltd.	1
433.	ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 1 lakh in favour of Zebra Consultants Pvt. Ltd.	1
434.	ICICI Bank Ltd. Cheque no. 123853 dated 31.03.2006 for Rs. 50,000 issued by Tiger Traders Pvt. Ltd. to Parrot Consultants Pvt. Ltd.	1
435.	ICICI Bank Ltd. Cheque no. 123854 dated 31.03.2006 for Rs. 50,000 issued by Tiger Traders Pvt. Ltd. to Parrot Consultants Pvt. Ltd.	1
436.	ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 1 lakh in favour	1

	of Parrot Consultants Pvt. Ltd.	
437.	ICICI Bank Ltd. Cheque no. 123902 dated 31.03.2006 for Rs. 50,000 issued by Parrot Consultants Pvt. Ltd. to Tiger Traders Pvt. Ltd. alongwith ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 1 lakh in favour of Tiger Traders Pvt. Ltd.	2 sheets
438.	ICICI Bank Ltd. Cheque no. 124002 dated 31.03.2006 for Rs. 50,000 issued by Zebra Consultants Pvt. Ltd. to Tiger Traders Pvt. Ltd. alongwith ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 50,000 in favour of Tiger Traders Pvt. Ltd.	2 sheets
439.	ICICI Bank Ltd. Cheque no. 123901 dated 31.03.2006 for Rs. 50,000 issued by Parrot Consultants Pvt. Ltd. in favour of Zebra Consultants Pvt. Ltd. alongwith ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 50,000 in favour of Zebra Consultants Pvt. Ltd.	2 sheets
440.	ICICI Bank Ltd. Cheque no. 124001 dated 31.03.2006 for Rs. 50,000 issued by Zebra Consultants Pvt. Ltd. in favour of Parrot Consultants Pvt. Ltd. alongwith ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 50,000 in favour of Parrot Consultants Pvt. Ltd.	2 sheets
441.	ICICI Bank Ltd. Cheque no. 124003 dated 31.03.2006 for Rs. 33,000 issued by Zebra Consultants Pvt. Ltd. in favour of Swan Consultants Pvt. Ltd.	1
442.	ICICI Bank Ltd. Cheque no. 123903 dated 31.03.2006 for Rs. 33,000 issued by Parrot Consultants Pvt. Ltd. in favour of Swan Consultants Pvt. Ltd.	1
443.	ICICI Bank Ltd. Cheque no. 123855 dated 31.03.2006 for Rs. 34,000 issued by Tiger Traders Pvt. Ltd. in favour of Swan Consultants Pvt. Ltd. alongwith ICICI Bank pay-in-slip dated 31.05.2006 for Rs. 1,00,000 in favour of Swan Consultants Pvt. Ltd.	1
444.	HDFC Bank Ltd. Cheque no. 856152 dated 22.05.2007 for Rs. 700 crores issued by Reliance Communications Ltd. in favour of Swan Telecom Pvt. Ltd.	1
445.	HDFC Bank Ltd. Cheque no. 856161 dated 25.05.2007 for Rs. 100 crores issued by Reliance Communications Ltd. in favour of Swan Telecom Pvt. Ltd.	1
446.	HDFC Bank Ltd. Cheque no. 856259 dated 27.06.2007 for Rs. 90 crores issued by Reliance Communications Ltd. in favour of Swan Telecom Pvt. Ltd.	1
447.	HDFC Bank Ltd. Cheque no. 856247 dated 22.05.2007 for Rs. 10 Crores issued by Reliance Communications Ltd. in favour of Swan Telecom Pvt. Ltd.	1
448.	RTGS advise dated 02.03.2007 of Reliance Telecom Ltd. to HDFC Bank Ltd., Mumbai regarding transfer of Rs. 9,74,49,04,000/- to Swan Telecom Pvt. Ltd.	2 sheets
449.	File containing various papers including NOA dated 01.03.2007 for purchase of 10,500 Telecommunications equipment placed by STPL on Reliance Communications Ltd., NOA dated 21.05.2007 for purchase of 37,000 Telecommunications equipment placed by STPL on Vikata Engineering Pvt. Ltd.	pages 1-105, (relevant pages 68 to 71).

	alongwith forwarding letter	
450.	Copies of I-Card, PAN Card, IT>Returns, Bank Statement etc of Sh. Surendra Pipara, Group President of Reliance ADA Group alongwith forwarding letter.	page 1 to 65
451.	Copies of I-Card, PAN Card, IT>Returns etc of Sh. Hari Nair, of Reliance ADA Group alongwith forwarding letter.	page 1 to 12.
452.	Copies of I-Card, PAN Card, IT>Returns etc of Sh. Ashish Karyekar, of Reliance ADA Group alongwith forwarding letter.	page 1 to 40
453.	Copies of I-Card, PAN Card, IT>Returns etc of Sh. Ashish Tambawala, of Reliance ADA Group alongwith forwarding letter.	page 1 to 21
454.	Copies of I-Card, PAN Card, IT>Returns etc of Sh. Bharat Amberkar, of Reliance ADA Group alongwith forwarding letter.	page 1 to 19
455.	Copies of PAN Card, IT>Returns etc of Sh. Hasit N. Shukla, of Reliance ADA Group alongwith forwarding letter.	page 1 to 35
456.	SBI cheque No.155626 dated 26.03.2009 of Anant Raj Industries Ltd. in favour of Echo Buildtech Pvt. Ltd. alongwith SBI Pay-in-slip dated 26.03.2009 for Rs.35.40 crores in favour of Echo Buildtech Pvt. Ltd.	2 sheets
457.	SBI cheque No.801522 dated 26.03.2009 of Echo Buildtech Pvt. Ltd. in favour of Adarsh Villas Pvt. Ltd. alongwith SBI Pay-in-slip dated 26.03.2009 for Rs.35.40 crores in favour of Adarsh Villas Pvt. Ltd.	2 sheets
458.	SBI cheque No.431569 dated 26.03.2009 of Adarsh Villas Pvt. Ltd. in favour of Himland Buildtech Pvt. Ltd. alongwith SBI Pay-in-slip dated 26.03.2009 for Rs.35.40 crores in favour of Himland Buildtech Pvt. Ltd.	2 sheets
459.	SBI cheque No.818418 dated 26.03.2009 of Himland Buildtech Pvt. Ltd. in favour of Oriental Buildtech Pvt. Ltd. alongwith SBI Pay-in-slip dated 26.03.2009 for Rs.35.40 crores in favour of Oriental Buildtech Pvt. Ltd.	2 sheets
460.	RTGS advise dated 26.03.2009 of Oriental Buildtech Pvt. Ltd. to SBI, Connaught Circus, New Delhi regarding transfer of Rs.100 Crores to Reliance Energy Centre.	1
461.	Certified copy of the Account Opening Form of Anant Raj Industries Pvt. Ltd. with SBI Janpath, New Delhi in respect of account no. 10185879028	1
462.	Certified copy of the Account Opening Form of Anant Raj Agencies Pvt. Ltd. with SBI Janpath, New Delhi in respect of account no. 10185775620	1
463.	RTGS Advise of Oriental Buildtech Pvt. Ltd. to SBI, New Delhi regarding transfer of Rs. 100 crores to Sonata Investments Ltd. Reliance Energy Centre.	1
464.	RTGS Advise of Swan Consultants Pvt. Ltd. to SBI, New Delhi regarding transfer of Rs. 100 crores to Anantraj Industries Ltd.	1
465.	Statement of account of Anantraj Industries Ltd. of Account no. 10185879028 maintained with SBI, New Delhi	page 1 – 66 (relevant pages 53)
466.	Statement of account of Anantraj Agencies Pvt. Ltd. of Account no. 10185775620 maintained with SBI, New Delhi	Pages 1-42 (relevant pages 5)

		& 6)
467.	Certified copy of Account opening form of Oriental Buildtech Pvt. in respect of account no. 30014633468 with SBI, New Delhi	Pages 1 to 40
468.	Statement of account of account no. 30014633468 of Oriental Buildtech Pvt. Ltd. with SBI, New Delhi	Pages 1-10
469.	SBI Cheque no. 878337 dated 02.03.2007 for Rs. 100 crores issued by Oriental Buildtech Pvt. Ltd. in favour of Anantraj Agencies Pvt. Ltd. alongwith SBI pay-in-slip 02.03.2007 for Rs. 100 crores.	1
470.	Voucher no. NIL dated 02.03.2007 of Oriental Buildtech Pvt. Ltd. regarding debit/credit of Rs. 100 crores between Anantraj Agencies Pvt. Ltd., SBI & Oriental Buildtech Pvt. Ltd.	1
471.	Letter dated 02.03.2007 of Oriental Buildtech Pvt. Ltd. to account C&I for issuance of cheque of Rs. 100 crores in favour of Anantraj Agencies Pvt. Ltd. payable at SBI, New Delhi	1
472.	Anantraj Agencies Pvt. Ltd. Voucher no. SBI-M-18 dated 02.03.2007 regarding debit/credit Rs. 100 crores between SBI, oriental Buidtech Pvt. Ltd. and Anantraj Agencies Pvt. Ltd.	1
473.	Photocopy of cheque no. 878337 dated 02.03.2007 favouring Anantraj Agencies Pvt. Ltd. issued by Oriental Buildtech Pvt. Ltd. and certified by Yogesh Sharma dated 01.03.2011.	1
474.	Certified true copy of RTGS message dated 26.03.2009	1
475.	Attested copy of statement of account of Reliance Telecom Ltd. account no. 02400310001115 for the period from 01.07.2002 to 31.07.2002	pages 1 to 66 (relevant pages 58)
476.	Original account opening form enclosing Board Resolution regarding authorized signatory, specimen signature card etc. of Reliance Communication account no. 00600310001874 maintained with HDFC Bank alongwith seizure memo.	pages 1 to 8
477.	Attested copy of statement of account of Reliance Communication Ltd. account no. 0600310001874 maintained HDFC Bank, Maneck Ji Wadia Building, Ground Floor, Mumbai from 31.12.2006 to 31.01.2007	pages 1 - 96 (relevant pages 20, 40, 54-55, 67)
478.	Attested copy of document in respect of Swan Capital Pvt. Ltd. received from ROC, Mumbai including form -32, form 2, copy of certificate of name change, audited balance sheet etc.	Pages 1 to 291 (relevant pages 1-118)
479.	Attested copy of document in respect of Majestic Infracon Pvt. Ltd. (earlier known as Tiger Traders Pvt. Ltd.) received from ROC, Mumbai including form-2, copy of certificate of name change, audited balance sheet etc.	pages 1 to 164 (relevant pages 1-40)
480.	Attested copy of document in respect of Majestic Infracon Pvt. Ltd. (earlier known as Tiger Traders Pvt. Ltd.), received from ROC, Mumbai including Form-32, form-2, copy of certificate of name change, audited balance sheet etc.	pages 1 to 93.
481.	Attested copy of document in respect of Siddharth Consultancy Services Pvt. Ltd. (earlier known as Giraffe Consultancy Services Pvt. Ltd.), received from ROC, Mumbai including Form-32, Form-1A, Form-1B, Form-18, Form-20B, audited balance sheet etc.	pages 1 to 114 (relevant pages 1-37)

482.	Attested copy of document in respect of Siddharth Consultancy Services Pvt. Ltd. (earlier known as Giraffe Consultancy Services Pvt. Ltd.), received from ROC, Mumbai including Form-32 etc.	pages 1 to 65.
483.	Attested copy of document in respect of Swan Advisory Services Pvt. Ltd. (earlier known as Zebra Consultants Services Pvt. Ltd.), received from ROC, Mumbai including certificate of incorporation, Form-23AC, audited balance sheet etc.	pages 1 to 114. (relevant 1 to 38)
484.	Attested copy of document in respect of Swan Infonet Services Pvt. Ltd. (earlier known as Parrot Consultants Pvt. Ltd.), received from ROC, Mumbai including certificate of incorporation, Form-23AC, audited balance sheet etc.	pages 1 to 110. (relevant pages 1 to 38)
485.	Photocopy of document in respect of Swan Infonet Services Pvt. Ltd. (earlier known as Parrot Consultants Services Pvt. Ltd.), received from ROC, Mumbai including Form-32 etc.	pages 1 to 22.
486.	Attested copy of document in respect of Reliance Telecom Pvt. Ltd. received from ROC, Mumbai including Form 20B, Annual Return, auditors report, Form-23ACA etc	Pages 1 to 200 (relevant pages 1 to 50)
487.	Attested copy of document in respect of Reliance Infrastructure Ltd. / Reliance Energy Ltd. received from ROC, Mumbai including Form-23, memorandum of association and article of association, Form-23AC, Annual Report 2006-07.	pages 1 to 366. (relevant pages 222 to 225)
488.	Attested copy of document in respect of Reliance Energy Investments Pvt. Ltd. / BSES Ventures Ltd. (earlier known as Sonata Investments Ltd.) received from ROC, Mumbai including Form-32, Form-23, Form-5, auditors report etc.	pages 1 to 148. (relevant pages 1 to 14, 26)
489.	Attested copy of document in respect of BSES Securities Pvt. Ltd. (now known as Reliance Energy Management Services Pvt. Ltd.) received from ROC, Mumbai including Board Resolution, Form- 20B, Form-23AC, Form-5, auditors report etc. containing	pages 1 to 140. (relevant pages 1 to 3, 31 to 41)
490.	Attested copy of document in respect of Powersurfer Interactive (India) Ltd. received from ROC, Mumbai including, Form-20B, Form-32, etc.	pages 1 to 30. (relevant pages 1 to 12)
491.	Copy of statement of accounts no. 003601521235(ICICI Bank Ltd.) and 05011140031180(HDFC Bank Ltd.) in the name of Mohd. Ashraf Nagani alongwith with forwarding letter.	pages 1 to 10
492.	Copy of statement of accounts no. 50612010056063 (Syndicate Bank) in the name of Sh. Ashraf Y. Saleh alongwith with forwarding letter.	pages 1 to 5
493.	Copy of statement of accounts no. 50612210000839 (Syndicate Bank) in the name of Mohd. Imran A. Saleh alongwith with forwarding letter.	pages 1 to 3
494.	Copy of statement of accounts no. 034801500028 (ICICI Bank Ltd.), 05011140029136 (HDFC Bank Ltd.) and 23810212239 at Standard Chartered Bank in the name of Faiyaz A. Peer Mohd. alongwith with forwarding letter.	pages 1 to 18
495.	Copy of statement of accounts no. 013110007838 (DENA Bank), in the name of Parul Mehta, alongwith with forwarding letter.	pages 1 to 12

496.	Copy of statement of accounts no. 064010100001687 (Axis Bank), in the name of Ujjwal V. Mehta alongwith with forwarding letter.	pages 1 to 6
497.	Copy of I-Card, PAN Card, ITR, Form-16 etc. of Sh. Ramesh G. Shenoy, Sr. Vice-President, Reliance Energy Pvt. Ltd.	1
498.	Certificated dated 22.03.2011 of Mohd. Afzal Lodhi, Company Secretary, Etisalat DB Telecom Pvt. Ltd. alongwith production memo	2 sheets
499.	Letter dated 11.02.2011 of Sh. Saif Attar, Company Secretary, Ambit Holdings Pvt. Ltd.	1 sheet
500.	Correspondence file containing copy of correspondences between Swan Telecom Pvt. Ltd. and PNB, Mumbai	pages 1 to 454 alongwith production memo (relevant pages 7 to 12, 35 to 39)
501.	Letter No. ICBK/CIBD/BBR/2009-10/PC/1549 dtd. Dec. 3, 2009 of ICICI Bank, Churchgate Branch, Mumbai, enclosing therein the original vouchers of M/s Etisalat BD Telecom Pvt. Ltd. A/c No. 039305000144	1
502.	RTGS advices dt. 21.08.2007 and 07.01.2008 of Swan Telecom Ltd. for transfer of Rs. 5 crores and 100 crores respectively.	2 sheet
503.	Eight original RTGS voucher dtd. 18-10-2007 with respect to transfer of One Hundred Crore each from account no. 039305000928 of Vikata Engineering Services Pvt. Ltd. maintained that ICICI Bank to a/c no. 039305000144 of Swan Telecom Pvt. Ltd. maintained at ICIC Bank.	8 sheet
504.	Nine original RTGS voucher dtd. 18-10-2007 with respect to transfer of Rs. One Hundred Crore from account no. 039305000144 of Swan Telecom Pvt. Ltd. maintained at ICICI Bank to a/c no. 000405066430 of DB Tele Wimax Pvt. Ltd	9 sheet
505.	Letter dtd 12.10.2007 of Reliance Communication Ltd. , Mumbai, addressed to ICICI Bank Ltd. for transfer of Rs. 69,49,04000 from a/c no. 000405004799 to a/c no. 039305000144 of Swam Telecom Ltd.	1
506.	Letter dtd 12.10.2007 of Reliance Communication Infrastructure Ltd. , Mumbai, addressed to ICICI Bank Ltd. for transfer of Rs. 69,49,04000 from a/c no. 000405007647 to a/c no. 000405004799 of Reliance Communication Ltd.	1
507.	RTGS advices dt. 12.12.2007, email dt. 13.12.2007, FD Check List letters dt. 05.03.2007 and 23.01.2007 of Swan Capital for fix deposits	6 sheets
508.	Forwarding letter dated 31.03.2011 of Assistant Vice-President , HDFC Bank to DSP, CBI	1 sheet
509.	Production cum Seizure memo dated 09.02.2011 regarding seizure of documents from Sh. Amit Vij, CSM, ICICI Bank Ltd., New Delhi	1 sheet
510.	Production cum Seizure memo dated 08.02.2011 regarding seizure of documents from Sh. Amit Vij, CSM, ICICI Bank Ltd., New Delhi	1-sheet

511.	Production cum Seizure memo dated 05.02.2011 regarding seizure of documents from Sh. Yogesh Sharma, Vice President, Anantraj Group of Industries	4 sheets
512.	Production cum Seizure memo dated 01.02.2011 regarding seizure of documents from Sh. Amit Vij, CSM, ICICI Bank Ltd., New Delhi	2 sheets
513.	Production cum Seizure memo dated 24.01.2011 regarding seizure documents from Sh. Hari Nair, Reliance ADA Group	1
514.	Production cum Seizure memo dated 21.03.2011 regarding seizure documents from SBI, Janpath, New Delhi.	1
515.	Letter dated 17.02.2011 of Sh. Hari Nair, address to Sh. Shyam Prakash, Inspector of CBI/ACB, New Delhi	1
516.	Letter no. HBL/ MARSHAL/ AJG/ 695/2010-11 dated 14.02.2011 of Sh. Uday Sahasrabuddhe AVP, HDFC Bank Ltd.	1
517.	Letter no. HDFCBANK/ MARSHAL /680 /2010-11 dated 27.01.2011 of Sh. Amarjeet Singh Kabo, Sr. Manager, HDFC Bank Ltd.	1
518.	Production cum Seizure memo dated 17.01.2011 regarding seizure documents from Sh. Hariharan Krishanan, Officer Scale-I, PNB House Fort Mumbai.	1
519.	Letter no. HBL/MARSHAL/ADG MISC/2010-11 dated 05.03.2011 of Sanjay Dumle of HDFC Bank Ltd.	2 sheets
520.	Letter dated 04.03.2011 of Sh. Amit Sarin to CBI	1
521.	Letter dated 07.03.2011 of Sh. Amit Sarin to CBI.	1
522.	Production cum Seizure memo dated 01.03.2011 regarding seizure documents from Sh. Amit Vij, Customer Service Manager, ICICI Bank Ltd.	1
523.	Production cum Seizure memo dated 01.03.2011 regarding seizure documents from Yogesh Sharma, Vice-President, Finance, Anantraj Industries Ltd.	1
524.	Production cum Seizure memo dated 21.02.2011 regarding seizure documents from Sh. Amit Vij, Customer Service Manager, ICICI Bank Ltd.	1
525.	Production cum Seizure memo dated 24.02.2011 regarding seizure documents from Sh. Amit Khot, Dy. Branch Manager, ICICI Bank Ltd. Nariman Point, Mumbai	1
526.	Production cum Seizure memo dated 19.03.2011 regarding seizure documents from Sh. Mahesh Sood, Sr. Assistant, SBI, Connaught Circus, New Delhi	1
527.	Search List dated 28/29.10.2009 regarding search at office premises of Etisalat DB Telecom Pvt. Ltd. Goregaon, Mumbai	1
528.	Specimen handwriting / Signature of Sh. Ashish Karyekar S/o Late Sudhakar D. Karyekar marked as S1 to S14.	1
529.	Production cum Seizure memo dated 11.03.2011 regarding seizure documents from Sh. Hasit Shukla, Company Secretary, Reliance Communication Ltd.	1
530.	Production cum Seizure memo dated 09.03.2011 regarding seizure documents from Sh. Uday Sahasrabuddhe, HDFC Fort, Mumbai.	2 sheets

531.	Letter dated 25.03.2011 of Sh. M.S. Pachouri, Asstt. Director (Insp.) Ministry of Corporate Affairs, forwarding report of ROC, Mumbai	1
532.	Letter no. 842-Misc/2010-AS-IV dated 01.02.2011 along with enclosure relating to details of LDs imposed on various service providers.	15 pages
533.	Letter no. PAO(HQ)/LDPayments/Misc/396 dated 04.03.2011 from Director (Accounts-II), DoT, New Delhi relating to amount of LD paid by various service providers till date.	13 pages
534.	Seizure memo dated 23.03.2011 regarding seizure of Original Property Tax File of C-6/39, Second Floor, SDA, New Delhi	1 page
535.	MCD Property Tax File of C-6/39, Second Floor, Safdarjung Development Area, New Delhi	1-77 page
536.	Letter dated 23.03.11 containing attested copy of lease deed dated 03.03.09 executed between Shri Ravindra kumar Chandolia and M/s Associated Hotels Pvt. Ltd.	11 pages
537.	Attested copy of statement of account of account no. 524-1-016586-3 in Standard Chartered Bank, Chanakyapuri, New Delhi	12 pages
538.	Letter no. 301-14/2011-ER dt. 18.03.2011 regarding circle wise & overall ARPU for GSM service for the quarters of the year 2003 and 2007	3
539.	Certified copy of list of files pertaining to AS&WA which was sent from Secretary (T), DoT office to the then MOC&IT office between 23.06.2008 to 27.02.2008	4
540.	Production cum Seizure memo dated 1.12.2010 between Shyam Prakash and P.L. Mallik, Deputy Registrar, ROC, Delhi	1
541.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (Delhi) Pvt. Ltd.	1 to 403 (Relevant Pages 179-183, 184-189, 319-321, 332-333, 342-348, 364-369, 373-403)
542.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (Tamilnadu) Pvt. Ltd.	1 to 631 (Relevant Pages 427-431, 584-589, 557 to 559)
543.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (South) Pvt. Ltd.	1 to 422 (Relevant Pages 264-268, 385-389, 394-422)
544.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (Kolkata) Pvt. Ltd.	1 to 390 (Relevant Pages 328-330, 390)
545.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (North) Pvt. Ltd.	1 to 384 (Relevant Pages 173-182, 196-200)
546.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (East) Pvt. Ltd.	1 to 481 (Relevant Pages 212-215, 250-254, 262-267)
547.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (Mumbai) Pvt. Ltd.	1 to 458 (Relevant Pages 240-244, 246-250, 210-214,

		255-257, 258-260, 261-262, 264-268, 277-281)
548.	Attested photocopy received from ROC, Delhi in respect of Unitech wireless (West) Pvt. Ltd.	1 to 387 (Relevant Pages 308-311, 359-387)
549.	Production cum Seizure memo dated 20.12.2010 between Shyam Prakash and Gulab Chand, LDC, ROC, Delhi	1
550.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (Delhi) Pvt. Ltd.	1-49
551.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (East) Pvt. Ltd.	1-49
552.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (Kolkata) Pvt. Ltd.	1-50
553.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (Mumbai) Pvt. Ltd.	1-42
554.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (North) Pvt. Ltd.	1-42
555.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (South) Pvt. Ltd.	1-51
556.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (Tamilnadu) Pvt. Ltd.	1-43
557.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless (West) Pvt. Ltd.	1-51
558.	Certified copies of documents like SRN No., date of approval and CIN No. related with Unitech Wireless Ltd.	1-15
559.	Production cum Seizure Memo dated 25.11.2009 between Vivek Priyedarshi and Gaurav Jain	Page No.1
560.	Minutes books of Unitech Wireless Tamil Nadu.	Total Pages 1 to 152 (Relevant Pages 1 to 9, 39 to 40, 41 to 47, 51 to 53, 61 to 65, 81 to 89, 93 to 101, 107 to 111, 113 to 120, 125 to 151)
561.	Minutes books of Unitech Wireless Mumbai.	Total Pages 1 to 138 (Relevant Pages 1 to 6, 37 to 45, 49 to 51, 63 to 65, 79 to 87, 95 to 101, 113 to 137)
562.	Minutes books of Unitech Wireless South.	Total Pages 1 to 140 (Relevant Pages 1 to 6, 37 to 39, 41 to 46, 49 to 51, 61 to 64, 77 to 85, 89 to 92, 93 to 96, 115 to 125, 127 to 139)

563.	Minutes books of Unitech Wireless North.	Total Pages 1 to 136 (Relevant Pages 1 to 7, 37 to 46, 49 to 51, 63 to 65, 77 to 86, 89 to 99, 111 to 135)
564.	Minutes books of Unitech Wireless Kolkata.	Total Pages 1 to 152 (Relevant Pages 1 to 9, 43 to 51, 55 to 57, 69 to 72, 87 to 95, 99 to 104, 107 to 109, 127 to 137, 139 to 151)
565.	Minutes books of Unitech Wireless Delhi.	Total Pages 1 to 156 (Relevant Pages 1 to 6, 47 to 53, 57 to 59, 73 to 76, 91 to 99, 103 to 108, 111 to 113, 125 to 155)
566.	Minutes books of Unitech Wireless East.	Total Pages 1 to 148 (Relevant Pages 1 to 9, 43 to 52, 69 to 71, 87 to 96, 99 to 109, 123 to 148)
567.	Minutes books of Unitech Wireless West.	Total Pages 1 to 134 (Relevant Pages 1 to 6, 37 to 43, 47 to 49, 75 to 83, 87 to 94, 109 to 133)
568.	Seizure memo dated 9.2.2011 alongwith Attested photocopies of documents seized vide vide this memo from Sh P L Malik, Dy Registrar, O/o ROC, Delhi & Haryana.	Total pages 1 + 374
569.	Production cum Seizure memo dated 28.1.2011 between Sh. Anil Rustgi and Inspector Shyam Prakash	1
570.	Certificate of registration in respect of Unitech Builders & Estate Pvt. Ltd.	1
571.	Certificate of registration in respect of Hudson Properties Ltd.	1
572.	Certificate of registration in respect of Volga Properties Pvt. Ltd.	1
573.	Certificate of registration in respect of Adonis Projects Pvt. Ltd.	1
574.	Certificate of registration in respect of Nahan Properties Pvt. Ltd.	1
575.	Certificate of registration in respect of Unitech Infrastructures Pvt. Ltd.	1
576.	Certificate of registration in respect of ASKA Projects Ltd.	1
577.	Forwarding letter of Sh Anil Rustgi, Company Secretary, Unitech Wireless (Tamil Nadu) Pvt. Ltd. dt. 15.11.2010, alongwith its enclosures	1+72

578.	Letter dated 2.11.2010 of Anil Rustgi addressed to Shyam Prakash, alongwith its enclosures	1 + 181
579.	Duplicate copy of statement recorded u/s164 Cr.PC of Ashish Karyekar	5
580.	Duplicate copy of statement recorded u/s164 Cr.PC of Ashok Wadwah	4
581.	Duplicate copy of statement recorded u/s164 Cr.PC of Deodutt Pandit	5
582.	Duplicate copy of statement recorded u/s164 Cr.PC of Sh. A.K. Srivastava	9
583.	Duplicate copy of statement recorded u/s164 Cr.PC of Ramji Singh Kushvaha	9
584.	Duplicate copy of statement recorded u/s164 Cr.PC of Sh. Ashirvatham Achary	10
585.	Duplicate copy of statement recorded u/s164 Cr.PC of Sh. R.P. Aggarwal	3
586.	DoT letter no. 20-296/2010-AS-I dated 30.03.2011 alongwith certified copies of following documents: (a) National Telecom Policy 1994 (Annexure I) (b) New Telecom Policy 1999 (Annexure II) (c) Addendum to NTP 1999 (Annexure III) (d) Guidelines dated 25.01.2001 for issue of License for Basic service (Annexure IV) (e) Guidelines dated 14.12.2005 for Unified Access Services License (Annexure V) (f) Guidelines dated 11.11.2003 for Unified Access (Basic & Cellular) Services License (Annexure VI)	1 + 78
587.	One DoT file no. 20/231/2004-BS.III (Vol.8) on the subject UAS applications of M/s Aircel Ltd. for Mumbai, Maharashtra, Karnataka, Rajasthan, Delhi, Gujarat and AP	Notesheet pages 1 to 27/N (relevant pages 16/N to 18/N) and correspondence pages 1 to 813 (relevant pages nil)
588.	One DoT file no. 20-231/2004-BS.III (Vol.7) on the subject Application for UASL in Mumbai Metro by M/s Aditya Birla Telecom Ltd.	Notesheet pages 1/N to 8/N (relevant pages 7-8/N) and correspondence pages 1/C to 89/C (relevant pages nil)
589.	One DoT file no. 20-231/2003-BS.III (Vol.III) on the subject issue of UASLs to M/s Dishnet Wireless Ltd.	Notesheet pages 1/N to 49/N (relevant pages 39/N to 42/N) and correspondence pages 1 to 615

		(relevant pages nil)
590.	One DoT file no. 20-231/2004-BS.III (Vol.4) on the subject UAS applications of M/s Vodafone Essar Spacetel Ltd.	Notesheet pages 1/N to 88/N (relevant pages 48/N (a) to 48/N (c) and 49/N to 50/N) and correspondence pages 1/C to 754/C (relevant pages nil)
591.	One DoT file no. 808-26/2003-VAS of VAS-II Cell of DoT on the subject Group of Ministers on Telecom matters marked Sl. no. 7	Notesheet pages 1/N to 35/N (relevant pages 3/N, 5/N, 8/N, 11/N, 12/N, 14/N, 17/N, 23/N) and correspondence pages 1/C to 540/C (relevant pages 1/C to 2/C, 22/C, 23/C to 59/C, 209/C to 212/C, 235/C to 237/C, 287/C to 292/C, 341/C to 343/C, 344/C to 374/C, 376/C, 404/C to 410/C, 411/C and 438/C to 451/C)
592.	One DoT file no. 20-231/2003-BS-III (LOIs for UASL) on the subject Grant of Unified Access Services License marked Sl. no. 8	Notesheet pages 1/N to 24/N (relevant pages 4/N to 6/N) and correspondence pages 1/C to 349/C (relevant pages nil)
593.	Letter no. 103-6/2010-MN dt. 31.03.2011 from Ms. Radha Vedagiri, Senior Research Officer (MN) regarding following TRAI recommendations	1 page
594.	TRAI Recommendations dated 20.02.2003 on 5-6 th CMTS operators	5 page
595.	TRAI Recommendations dated 27.10.2003 on "Unified Licensing Regime"	Total pages 1 to 49. (Relevant pages 21 to 23, 30, 31)

596.	TRAI Recommendations dated 28.8.2007 on "Review of license terms and conditions and capping of number of access providers"	Total pages 1 to 184 (relevant pages 44, 47, 135 to 142)
597.	TRAI Recommendations dated 11.5.2010 on "Spectrum Management and Licensing Framework"	Total pages 1 to 358 (relevant pages 231 to 248)
598.	Letter no. 20-296/2010-AS-I dt. 01.04.2011 of Sh Manish Jain, ADG (AS-I) Access Services Wing, Deptt. of Telecommunications, New Delhi, alongwith: (a) File No. 20-100/2007-AS-I (Part-N), in original, with notesheet from 1-3/N and Correspondence portion from 1-48/C (b) Original notesheet dated 07.01.2008 by Sh R K Chandolia, PS to the then Hon'ble MOC&IT regarding letters exchanged between Hon'ble PM and Hon'ble MOC&IT apprising Hon'ble PM of the latest developments in the telecom sector alongwith the enclosures therein.	Letter - 1 page File - notesheet 1/N to 3/N and 1/C to 38/C relevant page (3/C to 8/C) Page 1 to 14
599.	Voucher dated 27.09.2006 for Rs. 1,00,000/- containing 3 cheques no. 888861 dated 30.03.2006 amounting Rs. 33,330/-, 788159/- dated 30.03.2006 amounting Rs. 33,340/-, 199711 amounting Rs. 33,330 from account of Ashish Sudhakar Karyekar account no. 020901504149, Paresh P. Rathod account no. 020901505979 & Dinesh Modi account no. 000401541997 respectively to credit the account no. 000405036172 of Swan Consultants Pvt. Ltd.	(4-sheets)
600.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 199710 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000401541997 of Dinesh Modi to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)
601.	Voucher dated 27.09.2006 for Rs. 33,330/- containing a cheque no. 123863 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 020901504149 of Ashish Sudhakar Karyekar.	(Two sheets)
602.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 123864 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 000401542095 of Sandeep Bandekar.	(Two sheets)
603.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 123912 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000405036248 of Parrot Consultants	(Two sheets)

	Pvt. Ltd. to credit the account no. 080401541997 of Dinesh Modi.	
604.	Voucher dated 30.03.2006 for Rs. 16,670/- containing a cheque no. 123865 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 000401541997 of Dinesh Modi.	(Two sheets)
605.	Voucher dated 27.09.2006 for Rs. 33,330/- containing a cheque no. 123906 dated 30-03-2006 and a pay in slip, Debit account no. 000405036248 of Parrot Consultants Pvt. Ltd. to credit the account no. 000401541997 of Dinesh Modi.	(Two sheets)
606.	Voucher dated 27.09.2006 for Rs. 16,660/- containing a cheque no. 124013 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036249 of Zebra Consultants Pvt. Ltd. to credit the account no. 000401541997 of Dinesh Modi.	(Two sheets)
607.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 123913 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036248 of Parrot Consultants Pvt. Ltd. to credit the account no. 000401541997 of Dinesh Modi.	(Two sheets)
608.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 124010 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036249 of Zebra Consultants Pvt. Ltd. to credit the account no. 000401536906 of Sujit Rao. (Two sheets)	
609.	Voucher dated 27.09.2006 for 33,340/- containing a cheque no. 124012 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036249 of Zebra Consultants Pvt. Ltd. to credit the account no. 020901505979 of Paresh Rathod.	(Two sheets)
610.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 123911 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036248 of Parrot Consultants Pvt. Ltd. to credit the account no. 020901505979 of Paresh Rathod.	(Two sheets)
611.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 124011 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036249 of Zebra Consultants Pvt. Ltd. to credit the account no. 020901505979 of Paresh Rathod.	(Two sheets)
612.	Voucher dated 27.09.2006 containing a cheque no. 123861 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 020901504149 of Ashish Karyekar.	(Two sheets)
613.	Voucher dated 27.09.2006 for Rs. 33,330/- containing a cheque no. 123858 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 020901504149 of Ashish Karyekar.	(Two sheets)
614.	Voucher dated 27.09.2006 for Rs. 33,340/- containing a cheque no. 124006 dated 30-03-2006 and a pay in slip, Debit account	(Two sheets)

	no. 000405036249 of Zebra Consultants Pvt. Ltd. to credit the account no. 020901505979 of Paresh Rathod.	
615.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 123908 dated 30-03-2006 and a pay in slip dated 27.09.2006, Debit account no. 000405036248 of Parrot Consultants Pvt. Ltd. to credit the account no. 020901504149 of Ashish Karyekar.	(Two sheets)
616.	Voucher dated 27.09.2006 for Rs. 33,000/- containing a cheque no. 590067 dated 30-03-2006 and a pay in slip, Debit account no. 000405036172 of Swan Consultants Pvt. Ltd. to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
617.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 888863 dated 30-03-2006 and a pay in slip, Debit account no. 020901504149 of Ashish Karyekar to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
618.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 788161 dated 30-03-2006 and a pay in slip, Debit account no. 020901505979 of Paresh Rathod to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
619.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 199709 dated 30-03-2006 and a pay in slip, Debit account no. 000401541997 of Dinesh Modi to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
620.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 877122 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 000401542095 of Sandeep Bandekar to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
621.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 888862 dated 30-03-2006 and a pay in slip dated 27-09-2006, Debit account no. 020901504149 of Ashish Karyekar to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
622.	Voucher dated 27.09.2006 for Rs. 34,000/- containing a cheque no. 590066 dated 30-03-2006 and a pay in slip, Debit account no. 000405036172 of Swan Consultants Pvt. Ltd. to credit the account no. 000405036248 of Parrot Consultants Pvt. Ltd.	(Two sheets)
623.	Voucher dated 27.09.2006 for Rs. 33,330 containing a cheque no. 199708 dated 30-03-2006 and a pay in slip, Debit account no. 000401541997 of Dinesh Modi to credit the account no. 000405036248 of Parrot Consultants Pvt. Ltd.	(Two sheets)
624.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 888858 dated 30-03-2006 and a pay in slip dated 27-09-06, Debit account no. 020901504149 of Ashish Sudhakar Karyekar to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)
625.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 758580 dated 30-03-2006 and a pay in slip dated 27-09-06, Debit account no. 000401536906 of Sujit Rao to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)

626.	Voucher dated 27.09.2006 for Rs. 34,000/- containing a cheque no. 590065 dated 30-03-2006 and a pay in slip, Debit account no. 000405036172 of Swan Consultants Pvt. Ltd. to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)
627.	Voucher dated 27.09.2006 for Rs. 25,000/- containing a cheque no. 788156 dated 30-03-2006 and a pay in slip, Debit account no. 020901505979 of Paresh Rathod to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)
628.	Voucher dated 31.05.2006 for Rs. 2,00,000/- containing a cheque no. 198220 dated 31-03-2006 and a pay in slip dated 30-05-2006, Debit account no. 000405024101 of AAA Consultancy Services to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(Two sheets)
629.	Voucher dated 31.05.2006 for Rs. 2,00,000/- containing a letter dated 31.05.2006, debit account no. 000405033611 of ADAE Ventures to credit the account no. 000405024101 of AAA Consultancy Services Pvt. Ltd.	(One sheet)
630.	Voucher dated 07.08.2006 for Rs. 94,990/- containing two cheques no. 474990 and 518053 for dated 04.08.2006 and pay-in-slip. Debit account no. 000405011010 of Reliance Energy Management Services Pvt. Ltd. and 000405011035 of Powersurfer Interactive (India) Pvt. Ltd. to credit the account no. 039305000144 of Swan Capital Pvt. Ltd.	(3 sheets)
631.	Voucher dated 13.10.2006 for Rs. 1,00,000/- containing cheque no. 073151 dated 07.10.2006, debit account no. 000405024101 of AAA Consultancy Services Pvt. Ltd. to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd.	(1 sheet)
632.	Voucher dated 13.10.2006 for Rs. 50,000/- containing cheque no. 123867 dated 07.10.2006 and pay-in-slip, debit account no. 000405036247 of Tiger Traders Pvt. Ltd to credit the account no. 000405036249 of Zebra Consultants Pvt. Ltd.	(Two sheets)
633.	Voucher dated 13.10.2006 for Rs. 50,000/- containing cheque no. 123866 dated 07.10.2006 and pay-in-slip, debit account no. 000405036247 of Tiger Traders Pvt. Ltd to credit the account no. 000405036248 of Parrot Consultants Pvt. Ltd.	(Two sheets)
634.	Voucher dated 22.01.2007 for Rs. 2,70,30,000/- containing a letter dated 22.01.2007, debit account no. 000405036247 of Tiger Traders Pvt. Ltd to credit the account no. 0393305000144 of Swan Telecom Pvt. Ltd.	(One sheet)
635.	Voucher dated 02.03.2007 for Rs. 100,00,00,000/- containing a letter dated 02.03.2007, debit account no. 000405010319 of Reliance Energy Ltd to credit the account no. 000405014012 of Sonata Investments Ltd.	(One sheet)
636.	Voucher dated 02.03.2007 for Rs. 100,00,00,000/- containing a letter dated 02.03.2007, debit account no. 000405014012 of Sonata Investments Ltd. to credit the account no. 30014633468 of Oriental Buildtech Pvt. Ltd. (account of State Bank of India, Connaught Circus Branch, New Delhi)	(One sheet)
637.	Voucher dated 31.07.2007 for Rs. 129,00,00,000/- containing a letter dated 31.07.2007, debit account no. 0393305000144 of	(One sheet)

	Swan Telecom Pvt. Ltd. to credit the account no. 000405027547 of Reliance Liquidity Fund.	
638.	Voucher dated 12.10.2007 for Rs. 3,00,00,000/- containing a letter dated 11.10.2007, debit account no. 000405036247 of Tiger Traders Pvt. Ltd to credit the account no. 000405036172 of Swan Consultants Pvt. Ltd.	(One sheet)
639.	Voucher dated 18.10.2007 for Rs. 100,00,00,000/- containing a letter dated 18.10.2007, debit account no. 000405066431 of Dynamix Balwas Infrastructure Pvt. Ltd. to credit the account no. 000405036247 of Tiger Traders Pvt. Ltd	(One sheet)
640.	Voucher dated 19.10.2007 for Rs. 100,00,00,000/- containing 10 letters dated 18.10.2007 each amounting Rs. 10 crores, debit account no. 000405036247 of Tiger Traders Pvt. Ltd. to credit the account no. 039305000146 of Giraffe Consultancy Services Pvt. Ltd.	(10 sheets)
641.	Voucher dated 19.10.2007 for Rs. 100,00,00,000/- containing a letter dated 18.10.2007, debit account no. 039305000146 of Giraffe Consultancy Services Pvt. Ltd. to credit the account no. 000405036172 of Swan Consultants Pvt. Ltd.	(One sheet)
642.	Voucher dated 19.10.2007 for Rs. 97,00,00,000/- containing a letter dated 18.10.2007, debit account no. 039305000144 of Swan Telecom Pvt. Ltd. to credit the account no. 000405066430 of DB Tele Wimax Pvt. Ltd.	(One sheet)
643.	Voucher dated 19.10.2007 for Rs. 100,00,00,000/- containing 10 letters dated 19.10.2007 each amounting Rs. 10 crores, debit account no. 000405036172 of Swan Consultants Pvt. Ltd. to credit the account no. 000405014012 of Sonata Investments Ltd.	(10 sheets)
644.	Voucher dated 17.12.2007 for Rs. 900,00,00,000/- containing a letter dated 17.12.2007, debit account no. 039305000928 of Vikata Engineering Services Pvt. Ltd. to credit the account no. 000405011713 of Reliance Capital Ltd.	(One sheet)
645.	Attested copy of signatures cards dated 21.11.2006 of M/s Parrot Consultants Pvt. Ltd. account no. 000405036248 along with other documents including the extract of Board Resolution dated 22 nd May, 2006 regarding authorized Bank Signatories from time to time etc.	Pages 1 to 21
646.	Attested copy of statement of accoynts of account no. 000405036172 of M/s Swan Consultants Pvt. Ltd. from the period 01 st April, 2008 till 31 st March, 2009 containing 11 pages along with a certificate u/s 65 B(2)(a) to 65 B (2) (b) of Evidence Act, 1872.	Pages 1 to 11

647.	Certified true copy of account opening form for current a/c no. 000405004799 of M/s Reliance Communications Ltd. along with documents including extract of Board Resolution regarding authorized bank signatories etc.	Pages 1 to 17
648.	Certified true copy of account opening form for current a/c no. 000405007647 of M/s Reliance Infocom Ltd. (Earlier known as Reliance Communication Ltd.) along with documents including extract of Board Resolution regarding authorized bank signatories etc.	Pages 1 to 63.
649.	Production cum seizure memo dated 01.05.2011	Pages 10 pages
650.	Flow chart containing transfer of funds to STPL and its associates.	1
651.	Letter No. ROC/IFCI/Misc./12679 dated 31.03.2011 written by Sh. Prem Lal Malik, Dy. Registrar of Companies, NCT of Delhi & Haryana addressed to Shyam Prakash, Inspr. CBI, ACB, N.Delhi.	8
652.	Letter no. 103-6/2010-MN dated 23.03.2011 signed by Sh. Viresh Goel, Dy. Advisor (MN) of TRAI.	9
653.	Letter dated 04.02.2011 signed by Sh. Saif Attar, Chief Operating Officer of Ambit Holdings Pvt. Ltd.	14
654.	Letter no.20-296/2010 signed by Sh. A.K.Mittal, DDG (AS) of DoT, regarding details of entry fee received in respect of 122 UAS Licences granted in 2008 & requisite fee received in respect of the UAS licences amended for usages of dual technology spectrum.	2

(Note – Documents from serial no.132 to 356 are the applications and certified copies of UAS Licenses granted to the companies in 2008. Photocopies of the same have not prepared being voluminous in nature and these are more or less replica to each other. If required, the accused persons may inspect the same in the court).

If required, additional list of documents will be submitted in the court.


(Vivek Priyadarshi)
Supdt. of Police
CBI/ACB/New Delhi

Sanction Order for Prosecution of Shri Ravindra Kumar Chandolla (Indian Economic Service 1984) the then Private Secretary to Minister of Communication & Information Technology and presently Economic Adviser (Under Suspension), Tariff Commission in Case No. RC-DAI-2009-A-0045 of CBI

Whereas, it is alleged :-

1. Whereas Central Bureau of Investigation (CBI), Anti corruption Branch at New Delhi registered an FIR No. RC DAI 2009 A 0045 on 21.10.2009 against unknown officials of Department of Telecommunications, Govt. of India, unknown private persons/companies and others for the commission of offences punishable under section 120-B, IPC, 13(2), r/w. 13(1)(d) of Prevention of Corruption Act 1988. It was alleged in the said FIR that unknown officials of Deptt. of Telecommunications, Govt. of India entered into a criminal conspiracy with unknown private persons the object of which was the allotment of Letters of Intent, Unified Access Services (UAS) Licenses and 2G spectrum during 2007-2008.

2. Whereas it is further alleged in the said FIR:-

- (a) That the entry fee for the new pan India UAS licenses in the year 2008 was kept by Department of Telecommunications (DOT) as Rs. 1658/- Crores, at which price the Cellular Mobile Telephone Service (CMTS) licenses were awarded by DOT after auction in the year 2001. These UAS licenses, issued in 2008 were issued on First-come-First served basis without any competitive bidding.
- (b) That a press release was issued by Department of Telecom (DoT) on 24.9.2007, which appeared in the newspapers on 25.9.2007 mentioning that the new applications for UAS licenses will not be accepted by the DoT after 1.10.2007 till further orders. However, applications received upto 25.9.2007 only were considered, which was also against the recommendations of Telecom Regulatory Authority of India (TRAI) that no cap should be placed on the number of Access Service Providers in any service area.
- (c) That even First Come First Served policy was implemented by the DOT in a manner which resulted into wrongful gain to certain companies. Further, there are allegations that the suspect officials of DoT had selectively leaked the information to some of the applicants regarding the date of issuance of letter of intent on 10.01.2008. In the letter of intent, an arbitrary condition was incorporated that whosoever deposits the fees (as per Letters of Intent - LOI conditions) first would be the first to get license. Since some of the applicants, who had this information, were ready with the amount and they were able to deposit the fee earlier than others. Thus, favour was allegedly shown to some applicants by way of leaking the information about the date of issuance of Letter of Intent.

Ramesh

(Sd/-) **R. GOPALAN**
Joint Secretary (EA)
Ministry of Finance
and Secy, Dept. of Eco. Affairs
Govt. of India
New Delhi

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(d) That although, the FDI limit was increased from 49 to 74% in December, 2005, but there was no lock-in period or restriction imposed on sale of equity or issuance of additional equity. As a result of this M/s Swan Telecom Pvt. Ltd., which paid to DOT Rs. 1537 Crores for UAS Licenses of 13 circles, affloaded its 45% equity to M/s Etisalat of UAE for Rs. 4200 crores. Similarly, M/s Unitech Wierless (8 Group companies), which paid to DOT Rs. 1658 crores for UAS Licenses of all 22 circles, affloaded its 60% equity to M/s Teleor of Norway for Rs. 6100 crores. These stakes were sold by the said companies even before the roll out of services by them. The estimated loss to Government by grant of licenses to these two companies alone comes to Rs. 7105 crores. On pre rate basis, the estimated for all 122 UAS Licenses issued in 2008 is more than Rs. 22000 crores.

3. And whereas it is alleged that investigation has revealed that accused Ravindra Kumar Chandolia (IES: 1084) while working as Private Secretary to accused A Raja, then Minister of Communications & Information Technology (MOCIT) entered into a Criminal Conspiracy with accused A Raja, Siddhartha Behura then Secretary (T) (w.e.f. 01.01.2008), Shahid Balwa, Vinod Goenka both Directors of Swan Telecom Pvt. Ltd., Sanjay Chandra, Managing Director of Unitech Ltd. with unknown others and played an active role in determining the cutoff date for the applications for issue of UAS Licenses received upto 25.9.2007 in order to show undue favour to M/s Swan Telecom Pvt. Ltd. and M/s Unitech Ltd.

4. And whereas it is alleged that investigation has revealed that accused Ravindra Kumar Chandolia assisted co accused A Raja the then MOC&IT in drafting the letters dtd. 2.11.2007 and 26.12.2007 sent by accused A Raja to the Hon'ble Minister of India incorporating the substantial change in existing policy for the grant of UAS Licenses to the applicants in pursuance of conspiracy with other co accused persons/companies.

5. And whereas it is further alleged that investigation has revealed that accused Ravindra Kumar Chandolia in pursuant to the said criminal conspiracy was instrumental in determining the methodology for distribution of Letters of Intent to UASL applicant companies on 10.01.2008 when he suggested a plan of placing four counters in Committee Room of Sanchan Bhawan for distribution of said LOIs which was unprecedented and against the spirit of simultaneous and First come First Served Policy. Accused Ravindra Kumar Chandolia had come to DDG (AS) Shri A. K. Srivastava in the morning of 10.1.2008 with the plan of formation of four counters and insisted that the Minister wanted to get the LOIs distributed on 10.01.2008 itself. Thereafter, he took Shri Srivastava in the chamber of accused Siddartha Behura, Secretary where he alongwith Shri Behura asked Shri Srivastava to distribute to LOIs from four counters on 10.01.2008 itself. From this design specially M/s Swan Telecom Pvt. Ltd. and M/s Unitech Ltd. were unduly benefited in order to fixing their priority over other applicants who had submitted their applications earlier than the said companies for issue of UAS Licenses.

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R. Gopalani

(श्री. राजेश्वर/ R. GOPALANI)
श्री. (आई.एस. 1084) / Secretary (IA)
पूर्व सचिव / Ministry of Finance
संचार और सूचना / Dept. of Inf. Affairs
नया दिल्ली / New Delhi

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6. And whereas it is further alleged that investigation has revealed that accused Ravindra Kumar Chandolia in pursuance to the above criminal conspiracy also played an active role in getting the GSM spectrum allocated to M/s Swan Telecom Pvt. Ltd. in Delhi service area by WPC Wing of DoT whereas as per the policy of DoT the priority for allocation of spectrum in Delhi service area was of Tata Teleservices Ltd. which was a Dual Technology license. Accused R K. Chandolia by abusing his official position as a public servant pressurized and threatened Shri R. P. Aggarwal, the then Wireless, WPC to put up the file for allocation of spectrum to Swan Telecom Pvt. Ltd. It clearly shows his criminal conspiracy with Shri-A. Raja in getting the spectrum allotted to Swan Telecom prior to M/s Tata Tele Services Limited did not get the spectrum in Delhi, because in Delhi available spectrum was sufficient to cater to the requirement only one licensee, and got fragmented/partial spectrum in several other service areas.

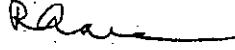
7. And whereas the above said acts and omissions constitute commission of offences punishable under section 120-B r/w 420 IPC and also under section 13 (2) r/w 13 (1) (d) of PC Act-1988 by the accused Ravindra Kumar Chandolia, the then PS to the Minister of Communications & IT.

8. And whereas, the Central Government being the authority competent to remove Shri Ravindra Kumar Chandolia, (IES:1984) then Private Secretary to the Minister of Communications & IT presently working as Economic Adviser, Tariff Commission, Govt. of India, Lok Nayak Bhawan, New Delhi, after fully and carefully examining the material placed before him i.e. FIR, case diaries, statements of witnesses recorded U/s 161 Cr.PC and relevant documents collected during investigation in respect of the said allegations and circumstances of the case considered that the said Shri Ravindra Kumar Chandolia is liable to be prosecuted by a court of competent jurisdiction for the said offences.

Now, therefore, the Central Government do hereby accord sanction as required u/s 19(1) (a) of the Prevention of Corruption Act, 1988 for the prosecution of Shri Ravindra Kumar Chandolia (IES: 1984) for the commission of aforesaid offences, and for taking cognizance of the said offences by a court of competent jurisdiction.

By order and in the name of the President

Place : New Delhi


Name and Designation : R. GOPALAN,
SECRETARY
(ECONOMIC AFFAIRS)

Date : 1.4.2011

Office Seal

(आर० गोपालन/R. GOPALAN)
सचिव (आर्थिक मामले)/Secretary (EA)
वित्त विभाग/Ministry of Finance
आर्थिक मामले/Dept. of Eco. Affairs
भारत सरकार/Govt. of India
नई दिल्ली/New Delhi

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